

Massive Neutrinos, Neutrino Mixing, Oscillations, Leptonic CP Violation and Beyond

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Plan of Lectures

- 1. Introduction.**
- 2. Massive Neutrinos, Neutrino Mixing and Oscillations.**
- 3. Three Neutrino Mixing: Current Status.**
- 4. Open Questions.**
- 5. Determining the Type of Neutrino Mass Spectrum.**
- 6. Dirac and Majorana CP Violation in the Lepton Sector.**
- 7. The Nature of Massive Neutrinos.**
- 6. Dirac and Majorana Leptonic CP-Violation and Leptogenesis.**
- 8. Conclusions.**

3 Families of Fundamental Particles

$$\begin{pmatrix} \nu_e & u \\ e & d \end{pmatrix} \quad \begin{pmatrix} \nu_\mu & c \\ \mu & s \end{pmatrix} \quad \begin{pmatrix} \nu_\tau & t \\ \tau & b \end{pmatrix} \quad + \text{ their antiparticles}$$

- 3 types (flavours) of active ν 's and $\tilde{\nu}$'s
- The notion of "type" ("flavour") - dynamical;
- $\nu_e: \nu_e + n \rightarrow e^- + p; \quad \nu_\mu: \pi^+ \rightarrow \mu^+ + \nu_\mu$; etc.
- $\nu_l \neq \nu_{l'}, \tilde{\nu}_l \neq \tilde{\nu}_{l'}, l \neq l' = e, \mu, \tau; \nu_l \neq \tilde{\nu}_{l'}, l, l' = e, \mu, \tau.$

The states must be orthogonal (within the precision of the corresponding data): $\langle \nu_l' | \nu_l \rangle = \delta_{ll'}$, $\langle \tilde{\nu}_l' | \tilde{\nu}_l \rangle = \delta_{ll'}$, $\langle \tilde{\nu}_l' | \nu_l \rangle = 0$.

- Data (relativistic ν 's): ν_l ($\tilde{\nu}_l$) - predominantly LH (RH).
Standard Model: $\nu_l, \tilde{\nu}_l - \nu_{lL}(x)$;

$\nu_{lL}(x)$ form doublets with $l_L(x)$, $l = e, \mu, \tau$:

$$\begin{pmatrix} \nu_{lL}(x) \\ l_L(x) \end{pmatrix} \quad l = e, \mu, \tau.$$

- No (compelling) evidence for existence of (relativistic) ν 's ($\tilde{\nu}$'s) which are predominantly RH (LH): ν_R ($\tilde{\nu}_L$.)

If $\nu_{\tilde{R}}$, $\tilde{\nu}_L$ exist, must have much weaker interaction than ν_l , $\tilde{\nu}_l$: ν_R , $\tilde{\nu}_L$ - "sterile", "inert".

In the formalism of the SM, ν_R and $\tilde{\nu}_L$ - RH ν fields B. Pontecorvo, 1967 $\nu_R(x)$; can be introduced in the SM as $SU(2)_L$ singlets.

No experimental indications exist at present whether the SM should be minimally extended to include $\nu_R(x)$, and if it should, how many $\nu_R(x)$ should be introduced.

$\nu_R(x)$ appear in many extensions of the SM, notably in $SO(10)$ GUT's.

The RH ν 's can play crucial role

- i) in the generation of $m(\nu) \neq 0$,
- ii) in understanding why $m(\nu) \ll m_l, m_q$,
- iii) in the generation of the observed matter-antimatter asymmetry of the Universe (via leptogenesis).

The simplest hypothesis is that to each $\nu_{lL}(x)$ there corresponds a $\nu_{lR}(x)$, $l = e, \mu, \tau$.

$\nu_{lR}(x)$ in the SM: $Q_{el} = 0$, $T_W = 0$, thus $Y_W = 0$; $\nu_{lR}(x)$ - have no gauge couplings.

SM + $m(\nu) \neq 0$: $L_l = const.$, $l = e, \mu, \tau$;

$L \equiv L_e + L_\mu + L_\tau = const.$

Compelling Evidences for ν -Oscillations

- ν_{atm} : SK UP-DOWN ASYMMETRY
 θ_{z-} , L/E - dependences of μ -like events
Dominant $\nu_{\mu} \rightarrow \nu_{\tau}$ K2K, MINOS; CNGS (OPERA)
- ν_{\odot} : Homestake, Kamiokande, SAGE, GALLEX/GNO
Super-Kamiokande, SNO, BOREXINO; KamLAND
Dominant $\nu_e \rightarrow \nu_{\mu, \tau}$ BOREXINO
- LSND: Dominant $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$;
MiniBOONE 2010: $\nu_{\mu} \rightarrow \nu_e$ incompatible, $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$ compatible (!?)

$$\nu_{lL}(x) = \sum_{j=1}^n U_{lj} \nu_{jL}(x), \quad \nu_{jL}(x) : m_j \neq 0; \quad l = e, \mu, \tau.$$

$$\nu_{lL} = \sum_{j=1}^n U_{lj} \nu_{jL} \quad l = e, \mu, \tau; \quad n \geq 3.$$

$n > 3$: possible, e.g. if $\nu_{lR}(x)$ present in the SM;
 at least 3 ν_j “light”, choose: $\nu_1, \nu_2, \nu_3, m_{1,2,3} \lesssim 1$ eV.

All compelling data compatible with 3- ν mixing:

$$\nu_{lL} = \sum_{j=1}^3 U_{lj} \nu_{jL} \quad l = e, \mu, \tau.$$

$\nu_j, m_j \neq 0$: Dirac or Majorana particles.

ν -mixing: flavour neutrino oscillations possible.

ν_μ, E ; at distance L : $P(\nu_\mu \rightarrow \nu_\tau) \neq 0, P(\nu_\mu \rightarrow \nu_\mu) < 1$

$P(\nu_l \rightarrow \nu_{l'}) = P(\nu_{l'} \rightarrow \nu_l; E, L; U, m_j^2 - m_k^2)$

Three Neutrino Mixing

$$\nu_{iL} = \sum_{j=1}^3 U_{ij} \nu_{jL} .$$

U is the Pontecorvo-Maki-Nakagawa-Sakata (PMNS) neutrino mixing matrix,

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}$$

- U - $n \times n$ unitary:

$$n \quad 2 \quad 3 \quad 4$$

mixing angles: $\frac{1}{2}n(n-1)$ 1 3 6

CP-violating phases:

- ν_j – Dirac: $\frac{1}{2}(n-1)(n-2)$ 0 1 3

- ν_j – Majorana: $\frac{1}{2}n(n-1)$ 1 3 6

$n = 3$: 1 Dirac and

2 additional CP-violating phases, Majorana phases

Majorana Neutrinos

Can be defined in QFT using fields or states.

Fields: $\chi_k(x)$ - 4 component (spin 1/2), complex, m_k

Majorana condition:

$$C (\bar{\chi}_k(x))^T = \xi_k \chi_k(x), \quad |\xi_k|^2 = 1, \quad C^{-1} \gamma_\mu C = -\gamma_\mu^T; \quad C^T = -C, \quad C^{-1} = C^\dagger$$

- Invariant under proper Lorentz transformations.
- Reduces by 2 the number of components in $\chi_k(x)$.

Implications:

$$U(1) : \chi_k(x) \rightarrow e^{iQ\alpha} \chi_k(x) - \text{impossible}$$

- $\chi_k(x)$ cannot absorb phases.
- $Q_{U(1)} = 0 : Q_{el} = 0, L_l = 0, L = 0, \dots$
- $\chi_k(x)$: 2 spin states of a spin 1/2 absolutely neutral particle
- $\chi_k \equiv \bar{\chi}_k$

Propagators: $\Psi(x)$ –Dirac, $\chi(x)$ –Majorana

$$\langle 0|T(\Psi_\alpha(x)\bar{\Psi}_\beta(y))|0\rangle = S_{\alpha\beta}^F(x-y),$$

$$\langle 0|T(\Psi_\alpha(x)\Psi_\beta(y))|0\rangle = 0, \quad \langle 0|T(\bar{\Psi}_\alpha(x)\bar{\Psi}_\beta(y))|0\rangle = 0.$$

$$\langle 0|T(\chi_\alpha(x)\bar{\chi}_\beta(y))|0\rangle = S_{\alpha\beta}^F(x-y),$$

$$\langle 0|T(\chi_\alpha(x)\chi_\beta(y))|0\rangle = -\xi^* S_{\alpha\kappa}^F(x-y)C_{\kappa\beta},$$

$$\langle 0|T(\bar{\chi}_\alpha(x)\bar{\chi}_\beta(y))|0\rangle = \xi C_{\alpha\kappa}^{-1} S_{\kappa\beta}^F(x-y)$$

$$U_{CP} \chi(x) U_{CP}^{-1} = \eta_{CP} \gamma_0 \chi(x'), \quad \eta_{CP} = \pm i.$$

PMNS Matrix: Standard Parametrization

$$U = V \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\frac{\sigma_{21}}{2}} & 0 \\ 0 & 0 & e^{i\frac{\sigma_{31}}{2}} \end{pmatrix}$$

$$V = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

- $s_{ij} \equiv \sin \theta_{ij}$, $c_{ij} \equiv \cos \theta_{ij}$, $\theta_{ij} = [0, \frac{\pi}{2}]$,
- δ - Dirac CP-violation phase, $\delta = [0, 2\pi]$,
- α_{21} , α_{31} - the two Majorana CP-violation phases.
 $\Delta m_{ji}^2 \equiv m_j^2 - m_i^2$.

- $\Delta m_{\odot}^2 \equiv \Delta m_{21}^2 \cong 7.6 \times 10^{-5} \text{ eV}^2 > 0$, $\sin^2 \theta_{12} \cong 0.305$, $\cos 2\theta_{12} \gtrsim 0.26$ (3σ),
- $|\Delta m_{\text{atm}}^2| \equiv |\Delta m_{31}^2| \cong 2.35 \times 10^{-3} \text{ eV}^2$, $\sin^2 2\theta_{23} \cong 1$,
- θ_{13} - the CHOOZ angle: $\sin^2 \theta_{13} < 0.041$ (0.050) 2σ (3σ) (see further).

Neutrino Oscillations in Vacuum

Suppose at $t = 0$ in vacuum

$$|\nu_e\rangle = |\nu_1\rangle \cos\theta + |\nu_2\rangle \sin\theta,$$

$$|\nu_\mu(\tau)\rangle = -|\nu_1\rangle \sin\theta + |\nu_2\rangle \cos\theta; \quad \nu_{1,2}: \quad m_{1,2} \neq 0$$

After time t in vacuum

$$|\nu_e\rangle_t = e^{-iE_1 t} |\nu_1\rangle \cos\theta + e^{-iE_2 t} |\nu_2\rangle \sin\theta, \quad E_{1,2} = \sqrt{p^2 + m_{1,2}^2}$$

$$A(\nu_e \rightarrow \nu_\mu; t) = \langle \nu_\mu | \nu_e \rangle_t = \frac{1}{2} \sin 2\theta (e^{-iE_2 t} - e^{-iE_1 t})$$

$$P(\nu_e \rightarrow \nu_\mu; t) = \frac{1}{2} \sin^2 2\theta (1 - \cos(E_2 - E_1)t)$$

$$P(\nu_e \rightarrow \nu_e; t) \equiv P_{ee} = 1 - P(\nu_e \rightarrow \nu_\mu; t)$$

V. Gribov, B. Pontecorvo, 1969

Neutrinos are relativistic: $t \cong L$, $E_2 - E_1 \cong (m_2^2 - m_1^2)/(2p)$

$$(E_2 - E_1)t \cong (m_2^2 - m_1^2)L/(2p) = 2\pi \frac{L}{L_{osc}^{vac}}, \quad L_{osc}^{vac} \equiv \frac{4\pi E}{\Delta m^2}$$

$$P(\nu_e \rightarrow \nu_\mu; t) = \frac{1}{2} \sin^2 2\theta \left(1 - \cos 2\pi \frac{L}{L_{osc}^{vac}}\right), \quad L_{osc}^{vac} = \frac{4\pi E}{\Delta m^2}$$

$$L_{osc}^{vac} \cong 2.5 \text{ m} \frac{E[\text{MeV}]}{\Delta m^2[\text{eV}^2]}$$

$$E \cong 3 \text{ MeV}, \quad \Delta m^2[\text{eV}^2] \cong 8 \times 10^{-5} : L_{osc}^{vac} \cong 100 \text{ km}$$

$$E \cong 1 \text{ GeV}, \quad \Delta m^2[\text{eV}^2] \cong 2.5 \times 10^{-3} : L_{osc}^{vac} \cong 1000 \text{ km}$$

Effects of oscillations observable if

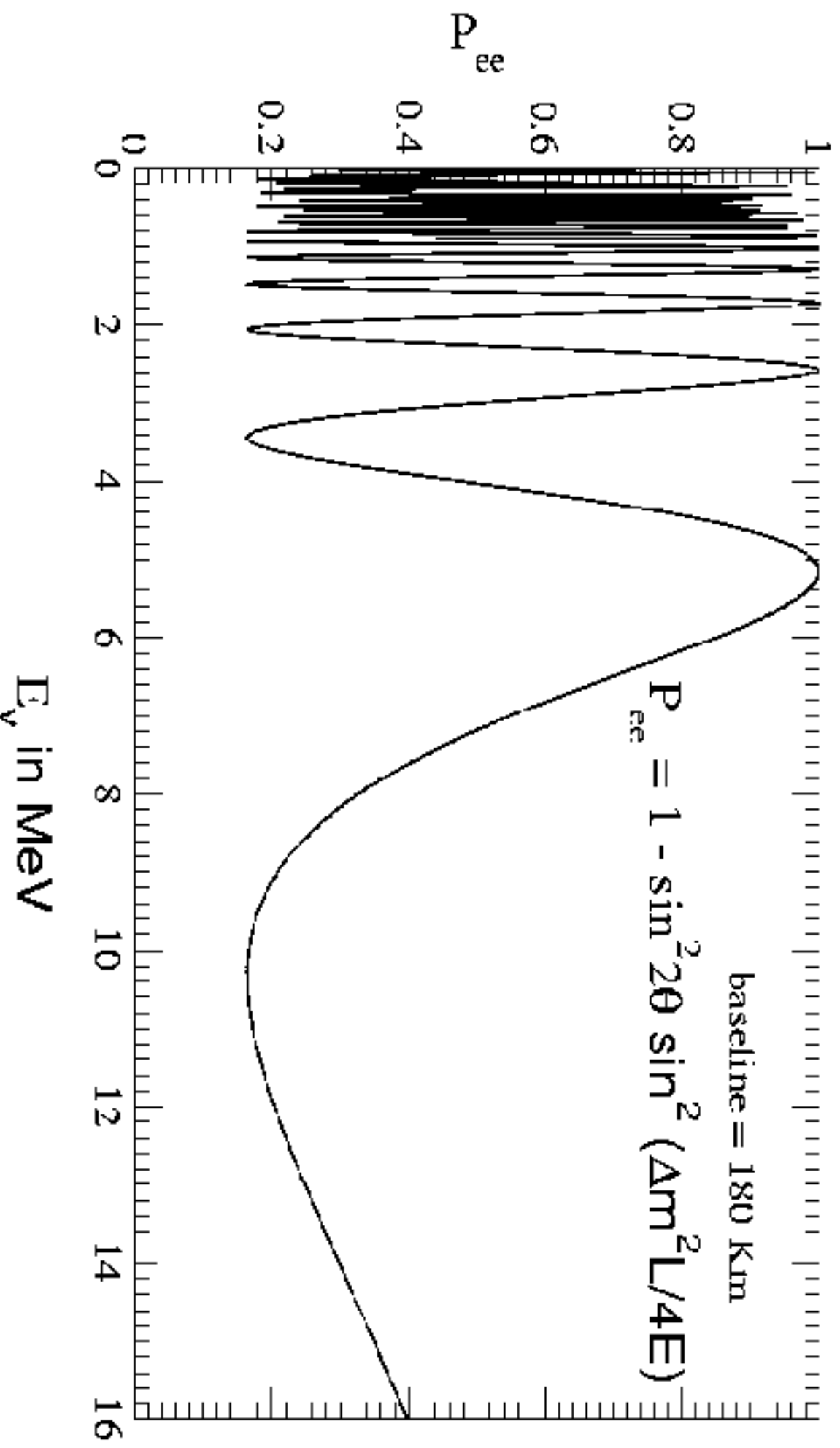
$$\sin^2 2\theta - \text{*sufficiently large*}, \quad L \gtrsim L_{osc}^{vac}$$

Two basic parameters: $\sin^2 2\theta$, Δm^2

SK, K2K, MINOS; CNGS (OPERA): dominant $\nu_\mu \rightarrow \nu_\tau$

KamLAND: $\bar{\nu}_e \rightarrow \bar{\nu}_e$; $\bar{\nu}_e \rightarrow (\bar{\nu}_\mu + \bar{\nu}_\tau)/\sqrt{2}$

$\nu_e \rightarrow \nu_e$



Source	Type of ν	\bar{E} [MeV]	L [km]	$\min(\Delta m^2)$ [eV ²]
Reactor	$\bar{\nu}_e$	~ 1	1	$\sim 10^{-3}$
Reactor	$\bar{\nu}_e$	~ 1	100	$\sim 10^{-5}$
Accelerator	$\nu_\mu, \bar{\nu}_\mu$	$\sim 10^3$	1	~ 1
Accelerator	$\nu_\mu, \bar{\nu}_\mu$	$\sim 10^3$	1000	$\sim 10^{-3}$
Atmospheric ν 's	$\nu_{\mu,e}, \bar{\nu}_{\mu,e}$	$\sim 10^3$	10^4	$\sim 10^{-4}$
Sun	ν_e	~ 1	1.5×10^8	$\sim 10^{-11}$

Correspond to: CHOOZ ($L \sim 1$ km), KamLAND ($L \sim 100$ km), $\bar{\nu}_e$ disappearance; $E = (1.8 \div 8.0)$ MeV;

to accelerator experiments - past ($L \sim 1$ km);

recent, current: K2K ($L \sim 250$ km), MINOS ($L \sim 730$ km), ν_μ disappearance; OPERA ($L \sim 730$ km), $\nu_\mu \rightarrow \nu_\tau$;

T2K ($L \sim 250$ km), **future** NO ν A ($L \sim 800$ km), ν_μ disappearance, $\nu_\mu \rightarrow \nu_e$; $E \sim 1$ GeV;

SK experiment studying atmospheric $\nu_\mu, \bar{\nu}_\mu, \nu_e, \bar{\nu}_e$ ($E \cong 0.1 \div 100$ GeV), and solar ν_e ($E \cong 5 \div 14$ MeV) oscillations, and to the solar ν experiments ($E \cong 0.29 \div 14$ MeV).

$$|\nu_l\rangle = \sum_j U_{lj}^* |\nu_j; \tilde{p}_j\rangle, \quad l = e, \mu, \tau$$

$\pi^+ \rightarrow \mu^+ + \nu_\mu$ decay at rest:

$$E_j = E + m_j^2 / (2m_\pi), \quad p_j = E - \xi m_j^2 / (2E), \quad E = (m_\pi / 2) (1 - m_\mu^2 / m_\pi^2) \cong 30 \text{ MeV}, \quad \xi = (1 + m_\mu^2 / m_\pi^2) / 2 \cong 0.8.$$

Taking $m_j = 1 \text{ eV}$: $E_j \cong E (1 + 1.2 \times 10^{-16})$,
 $p_j \cong E (1 - 4.4 \times 10^{-16})$.

Problem avoided if one uses the fact that the ν_j state is entangled with the μ^+ state.

$$A(\nu_l \rightarrow \nu_{l'}) = \sum_j U_{lj} D_j U_{j l'}^\dagger, \quad l, l' = e, \mu, \tau,$$

$$D_j = e^{-i\vec{p}_j(x_f - x_0)} = e^{-i(E_j T - p_j L)}, \quad p_j \equiv |\mathbf{p}_j|.$$

$$\begin{aligned} \delta\varphi_{jk} &= (E_j - E_K)T - (p_j - p_k)L \\ &= (E_j - E_K) \left[T - \frac{E_j + E_K}{p_j + p_k} L \right] + \frac{m_j^2 - m_k^2}{p_j + p_k} L; \end{aligned}$$

First term - negligible:

- **L and T related: $T = (E_j + E_k) L / (p_j + p_k) = L / \bar{v}$,**

$$\bar{v} = (E_j / (E_j + E_k)) v_j + (E_k / (E_j + E_k)) v_k - \text{the "average" velocity of } \nu_j \text{ and } \nu_k,$$

$$v_{jk} = p_{j,k} / E_{j,k};$$

- $E_j = E_k = E_0$;

- $p_j = p_k = p$

(additionally suppressed by $(m_j^2 + m_k^2) / p^2$: $L = T$ up to $\sim m_{j,k}^2 / p^2$);

- **$E_j \neq E_k$, $p_j \neq p_k$, $j \neq k$: the same conclusion**
(neutrinos are relativistic, $L \cong T$ up to corrections $\sim m_{j,k}^2 / E_{j,k}^2$).

$$\delta\varphi_{jk} \cong \frac{m_j^2 - m_k^2}{2p} L = 2\pi \frac{L}{L_{jk}^{\nu}} \text{sgn}(m_j^2 - m_k^2), \quad p = (p_j + p_k)/2,$$

$$L_{jk}^{\nu} = 4\pi \frac{p}{|\Delta m_{jk}^2|} \cong 2.5 \text{ m} \frac{p[\text{MeV}]}{|\Delta m_{jk}^2|[\text{eV}^2]}$$

is the neutrino oscillation length associated with Δm_{jk}^2 .

- One can safely neglect the dependence of p_j and p_k on the masses m_j and m_k and consider p to be the zero neutrino mass momentum, $p = E$.
- The phase $\delta\varphi_{jk}$ is Lorentz invariant.

$$\sigma_{m^2} = \sqrt{(2E\sigma_E)^2 + (2p\sigma_p)^2}$$

Condition for producing coherently ν_1, ν_2, \dots :

$$\sigma_{m^2} > |\Delta m_{jk}^2|$$

The equation used above corresponds to a plane wave description of the propagation of neutrinos ν_j . It accounts only for the movement of the center of the wave packet describing ν_j . In the wave packet treatment of the problem, the interference between the states of ν_j and ν_k is subject to a number of conditions, the localisation condition (in space and time) and the condition of overlapping of the wave packets of ν_j and ν_k at the detection point being the most important. For relativistic neutrinos, the localisation condition in space reads: $\sigma_{xP}, \sigma_{xD} < L_{jk}^{\nu} / (2\pi)$, $\sigma_{xP(D)}$ being the spatial width of the production (detection) wave packet. Thus, the interference will not be suppressed if the spatial width of the neutrino wave packets determined by the neutrino production and detection processes is smaller than the corresponding oscillation length in vacuum. In order for the interference to be nonzero, the wave packets describing ν_j and ν_k should also overlap in the point of neutrino detection. This requires that the spatial separation between the two wave packets at the point of neutrinos detection, caused by the two wave packets having different group velocities $v_j \neq v_k$, satisfies $|(v_j - v_k)T| \ll \max(\sigma_{xP}, \sigma_{xD})$. If the interval of time T is not measured, T in the preceding condition must be replaced by the distance L between the neutrino source and the detector.

Examples

- Spatial localisation condition

ΔL - dimensions of the ν - source (and/or detector):

$$2\pi\Delta L/L_{jk}^{\nu} \lesssim 1.$$

- Time localisation condition

ΔE - detector's energy resolution:

$$2\pi(L/L_{jk}^{\nu})(\Delta E/E) \lesssim 1.$$

If $2\pi\Delta L/L_{jk}^{\nu} \gg 1$, and/or $2\pi(L/L_{jk}^{\nu})(\Delta E/E) \gg 1$,

$$\bar{P}(\nu_l \rightarrow \nu_{l'}) = \bar{P}(\bar{\nu}_l \rightarrow \bar{\nu}_{l'}) \cong \sum_j |U_{lj}|^2 |U_{l'j}|^2$$

Atmospheric Neutrinos $\nu_\mu, \bar{\nu}_\mu, \nu_e, \bar{\nu}_e, E \sim 1 \text{ GeV}$ (0.20 - 100 GeV)

$$\nu_\mu + N \rightarrow \mu^- + X, \quad \bar{\nu}_\mu + N \rightarrow \mu^+ + X$$

$$\nu_e + N \rightarrow e^- + X, \quad \bar{\nu}_e + N \rightarrow e^+ + X$$

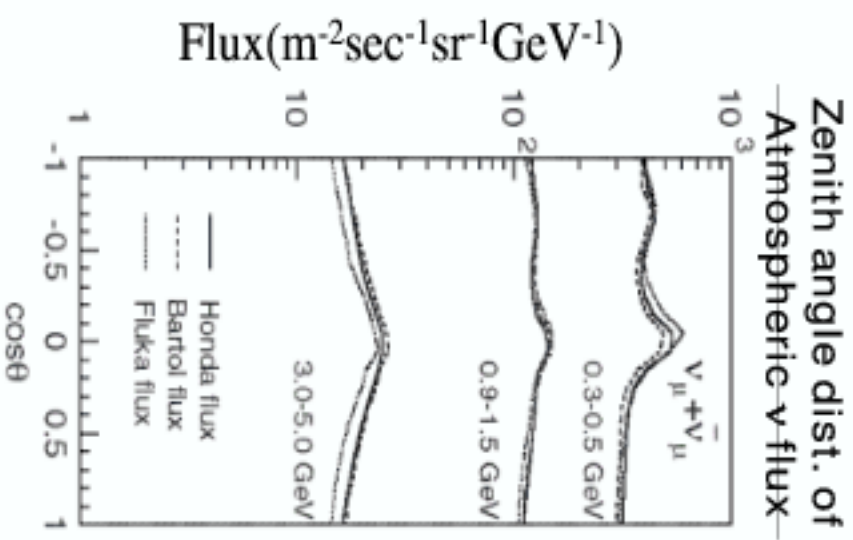
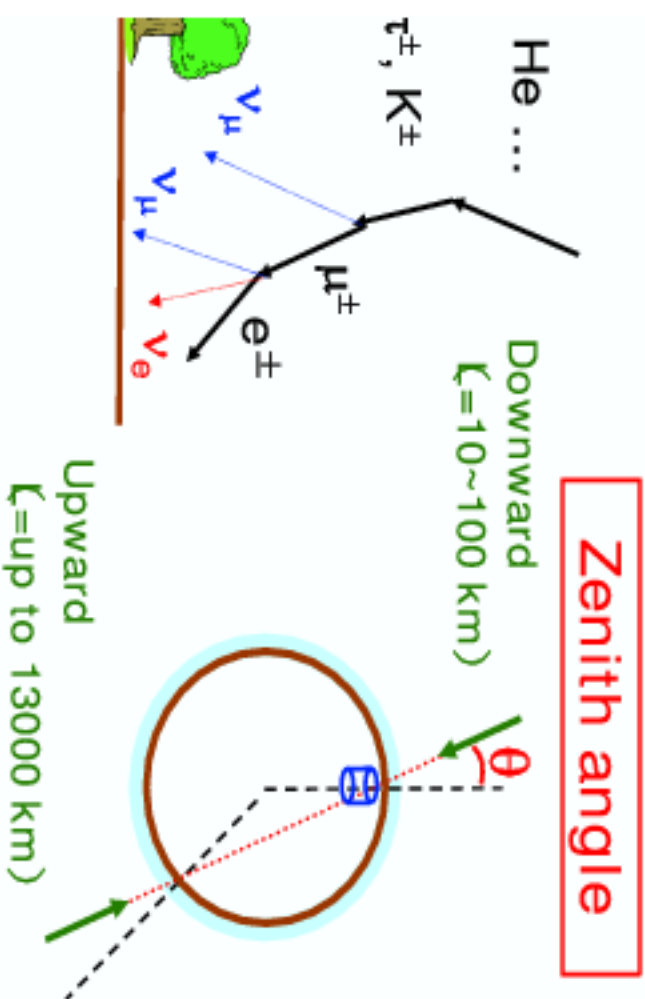
K2K, MINOS, T2K, ν_μ ($\bar{\nu}_\mu$), $E \sim 1 \text{ GeV}$

$$\nu_\mu + N \rightarrow \mu^- + X \quad (\nu_e + N \rightarrow e^- + X)$$

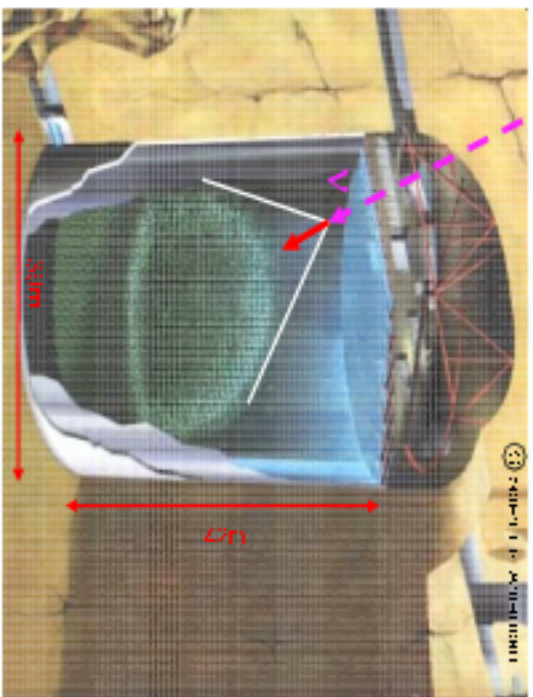
Reactor $\bar{\nu}_e, E \sim 2 \text{ MeV}$: CHOOZ, KamLAND, Double
Chooz, RENO, Daya Bay ($E \cong 2 - 8 \text{ MeV}$)

$$\bar{\nu}_e + p \rightarrow e^+ + n$$

Atmospheric neutrinos



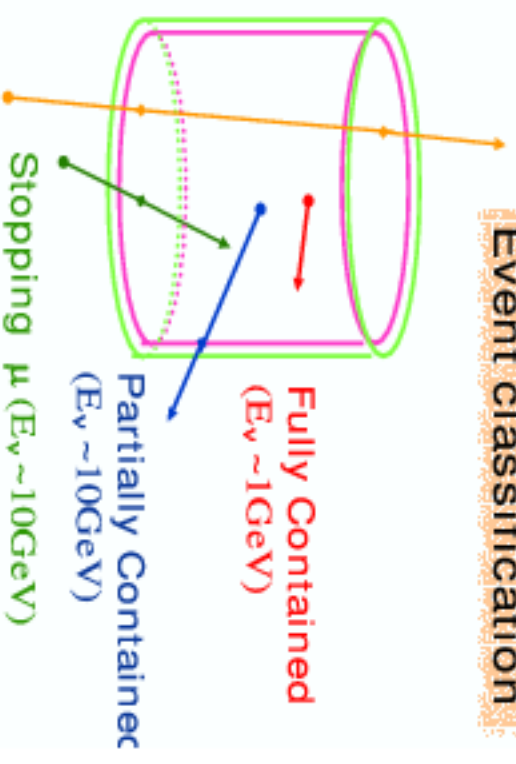
Ev > a few GeV
Up/Down Symmetry



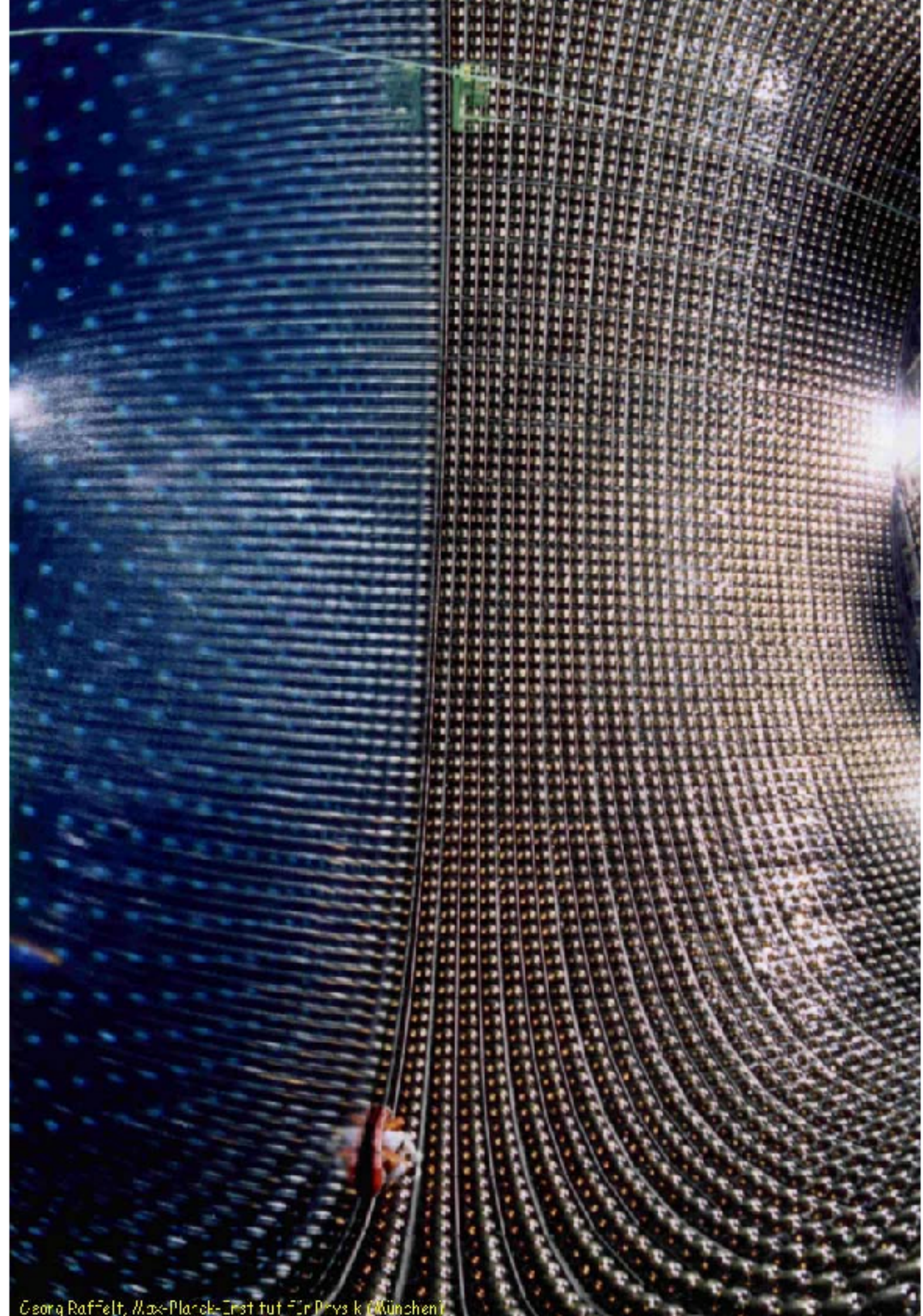
Water Cherenkov detector

1000 m underground
 50,000 ton (22,500 ton fid.)
 Inner-detector(ID): 11,146
 Outer-detector(OD): 1,885

Event classification



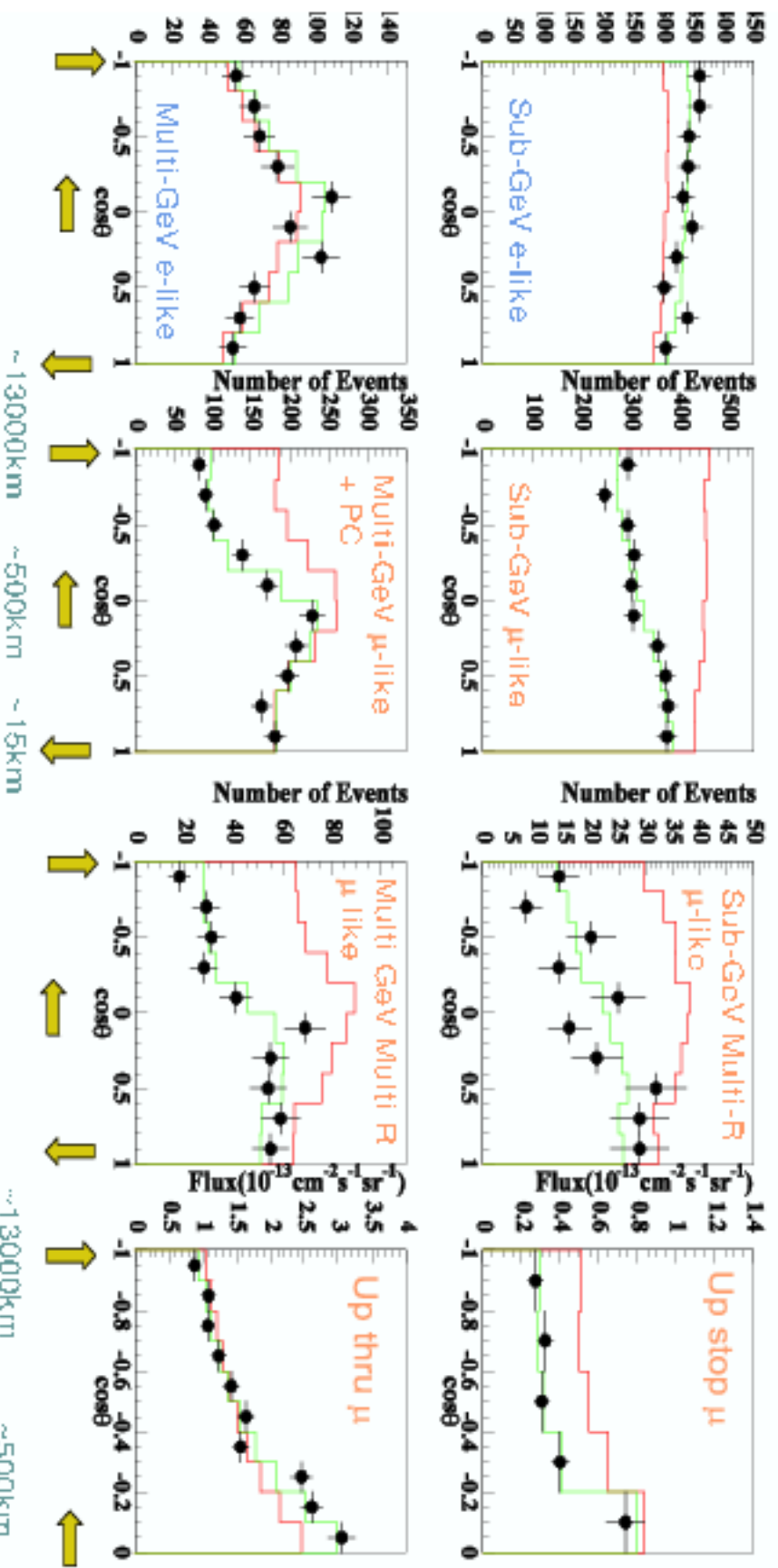
Through-going μ ($E_\nu \sim 100\text{GeV}$)
 20 inch PMTs(SK-1)
 8 inch PMTs



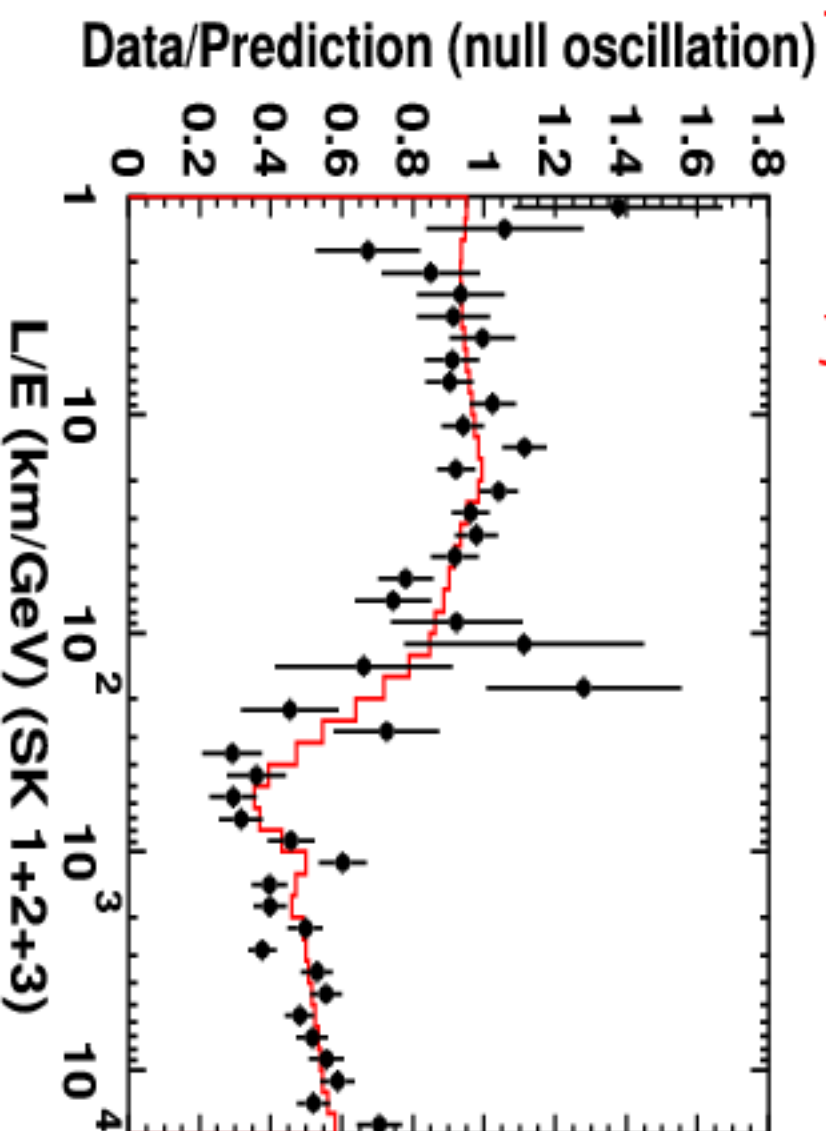
Zenith angle distributions

$\nu_\mu \leftrightarrow \nu_\tau$
2-flavor oscillations

Best fit
Null oscillation



SK: L/E Dependence, μ -Like Events

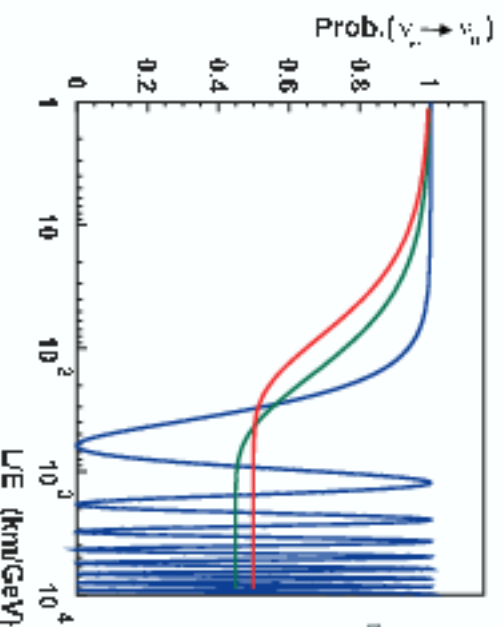


L/E analysis

Neutrino oscillation : $P_{\mu\mu} = 1 - \sin^2 2\theta \sin^2(1.27 \frac{\Delta m^2 L}{E})$

Neutrino decay : $P_{\mu\mu} = (\cos^2 \theta + \sin^2 \theta \times \exp(-\frac{m}{2\tau} \frac{L}{E}))^2$

Neutrino decoherence : $P_{\mu\mu} = 1 - \frac{1}{2} \sin^2 2\theta \times (1 - \exp(-\gamma_0 \frac{L}{E}))$

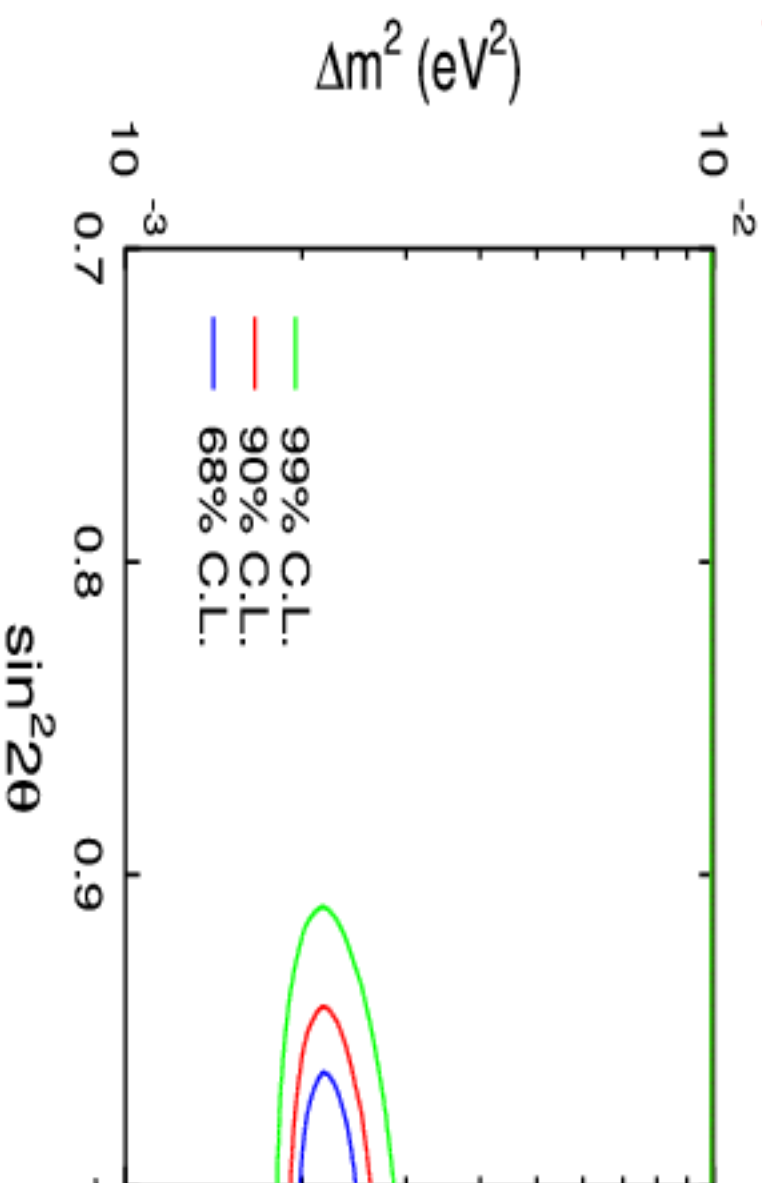


Use events with high resolution in L/E

→ The first dip can be observed

- Direct evidence for oscillations
- Strong constraint to oscillation parameters, especially Δm^2 value

SK: Atmospheric ν Data



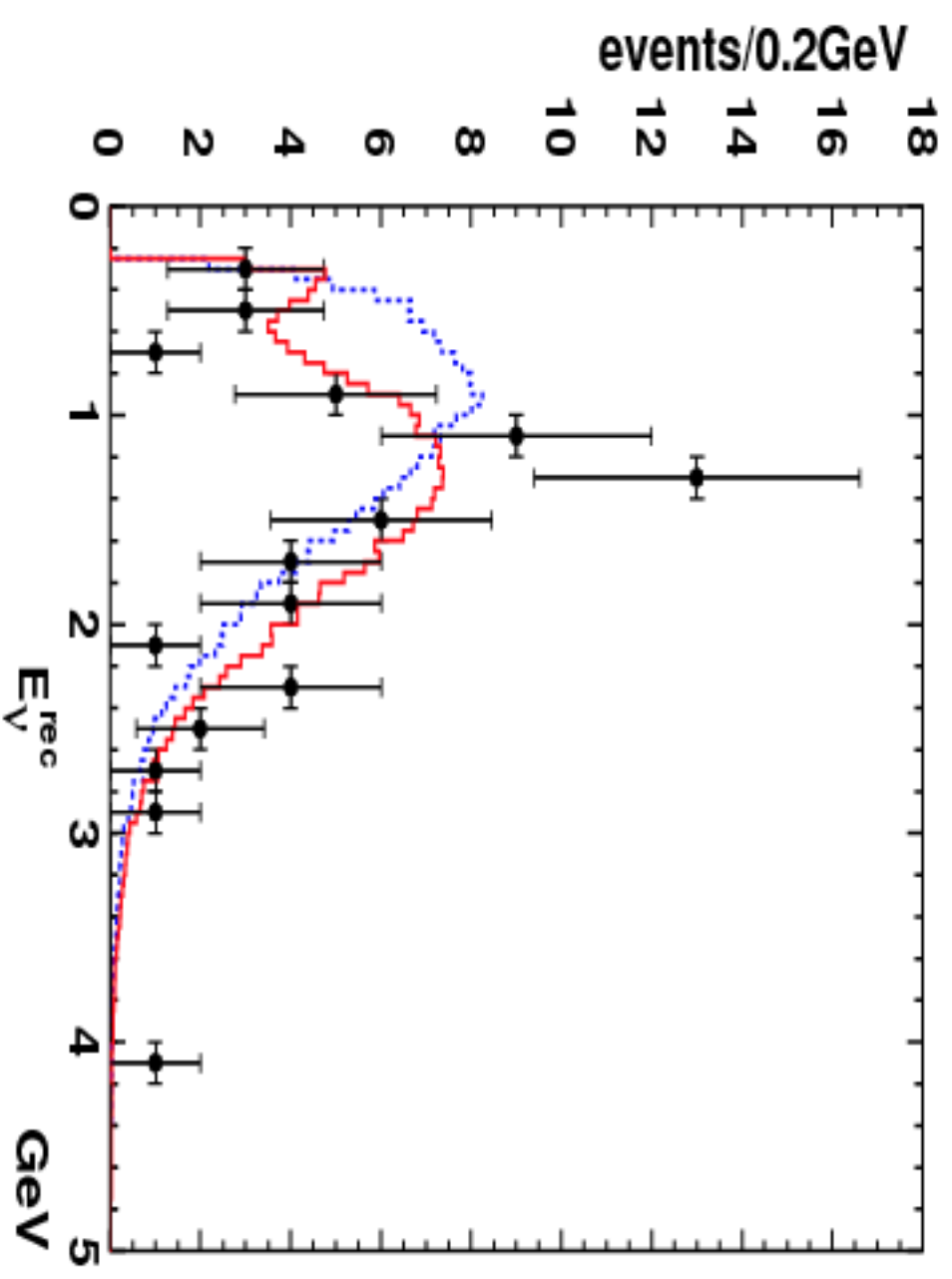
$\Delta m_{\text{atm}}^2 \equiv \Delta m_{31}^2 = 2.4 \times 10^{-3} \text{ eV}^2$, $\sin^2 2\theta_{\text{atm}} \equiv \sin^2 2\theta_{23} = 1.0$;

$\Delta m_{31}^2 = (1.9 - 2.9) \times 10^{-3} \text{ eV}^2$, $\sin^2 2\theta_{23} \geq 0.92$, 99% C.L.

- sign of Δm_{atm}^2 not determined. If $\theta_{23} \neq \frac{\pi}{4}$: θ_{23} , ($\frac{\pi}{4} - \theta_{23}$) ambiguity.

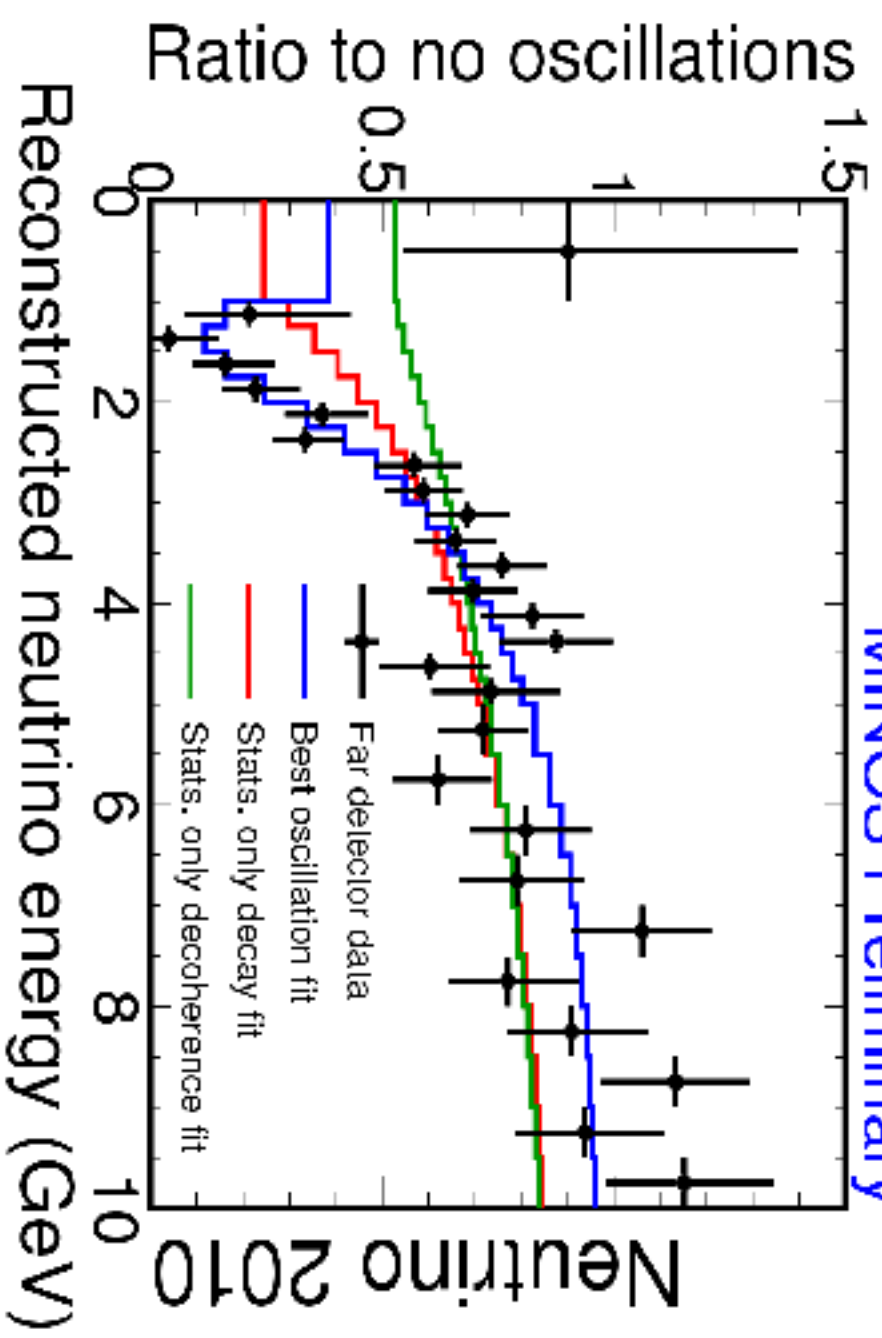
3- ν mixing: $\Delta m_{31}^2 > 0$, $m_1 < m_2 < m_3$ (NH); $\Delta m_{31}^2 < 0$, $m_3 < m_1 < m_2$ (IH).

K2K: ν_μ Spectrum

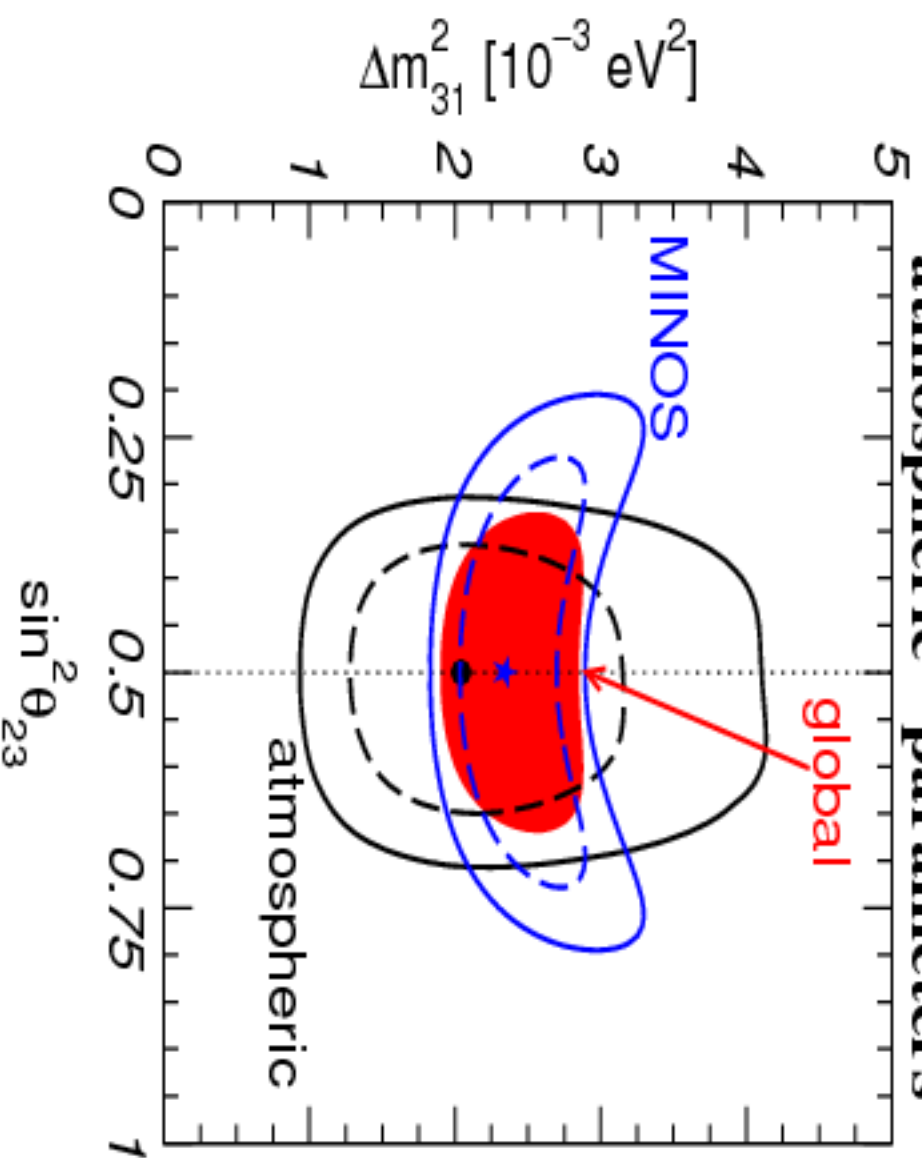


MINOS: ν_μ Spectrum

MINOS Preliminary



"atmospheric" parameters



T. Schwetz, arXiv:0710.5027 [hep-ph]

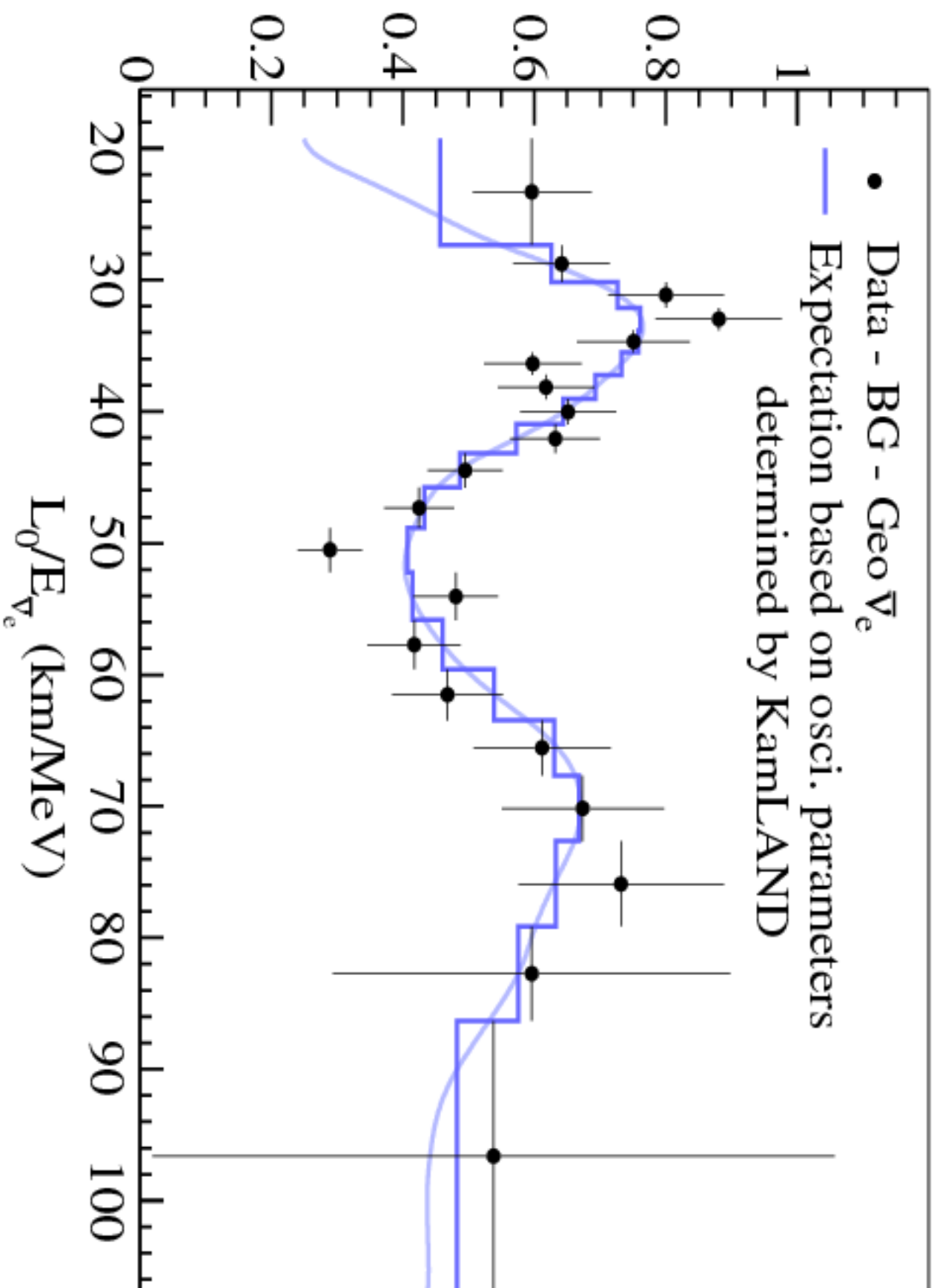
- sign of Δm_{atm}^2 not determined;

3- ν mixing: $\Delta m_{31}^2 > 0$, $m_1 < m_2 < m_3$ (normal ordering (NO));

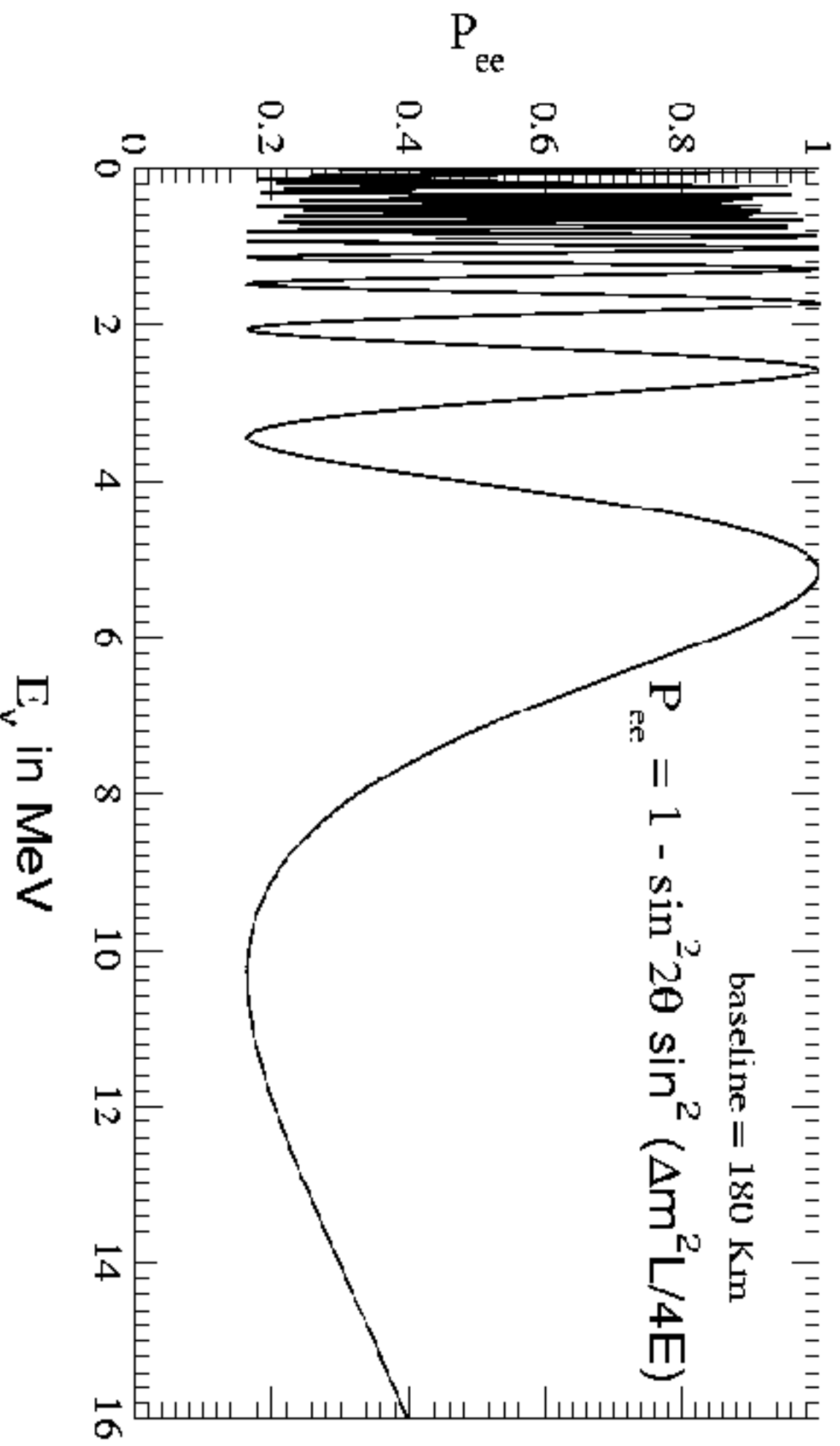
$\Delta m_{31}^2 < 0$, $m_3 < m_1 < m_2$ (Inverted ordering (IO)).

- If $\theta_{23} \neq \frac{\pi}{4}$: θ_{23} , $(\frac{\pi}{4} - \theta_{23})$ ambiguity.

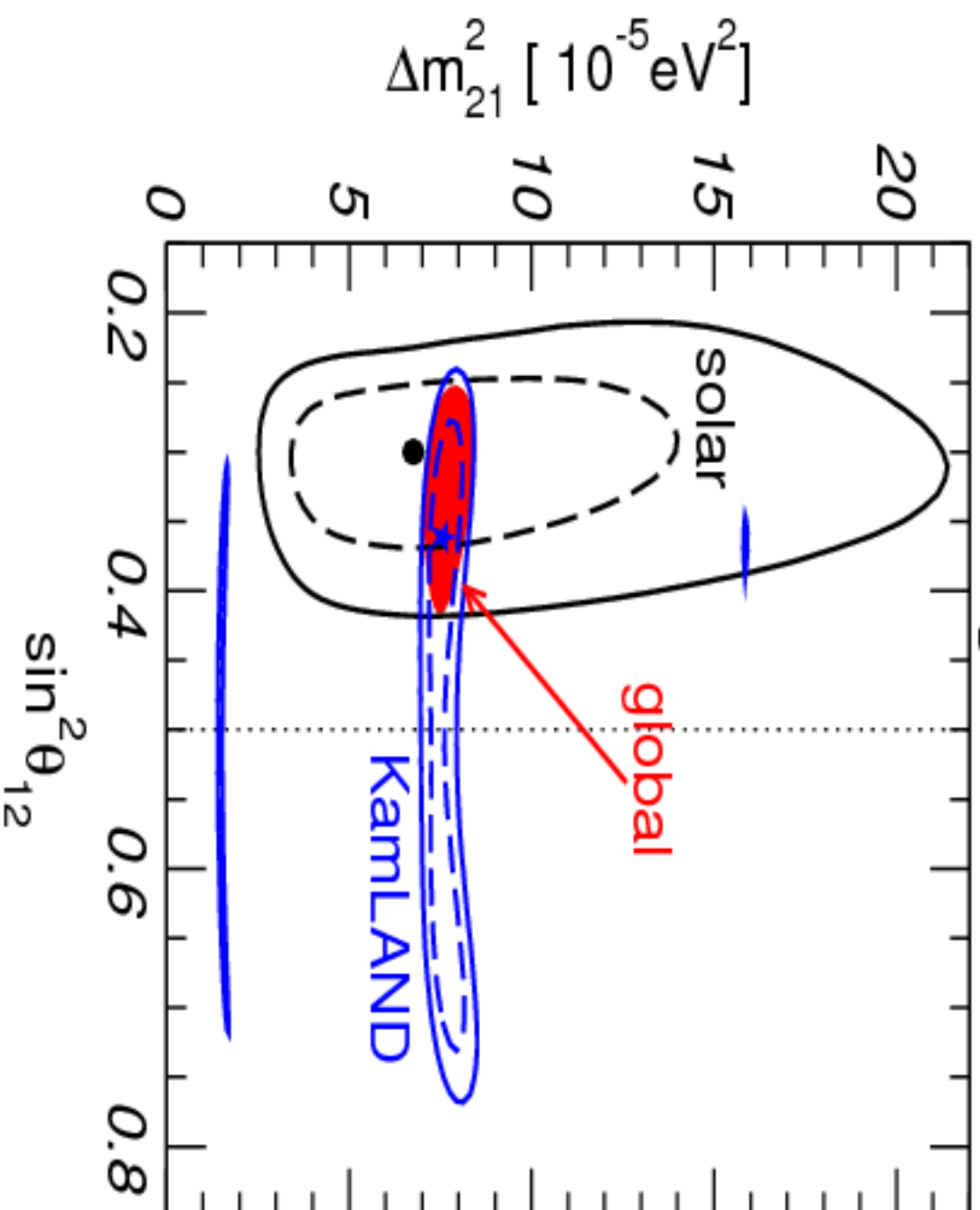
Survival Probability



$\nu_e \rightarrow \nu_e$



"solar" parameters



Matter Effects in Neutrino Oscillations

Matter can affect strongly ν -oscillations:

Mean free path in matter with $\bar{\rho} = \bar{\rho}(Earth)$:

$E \sim 1$ MeV, $L_f \sim 5 \times 10^{11}$ km; $R_E = 6371$ km

$E \sim 1$ GeV, $L_f \sim 5 \times 10^5$ km

ν coherent scattering on e^- , p , n - effective potential (index of refraction)

$$V_{e\mu} = V(\nu_e) - V(\nu_\mu) = \sqrt{2}G_F N_e$$

$$\bar{V}_{e\mu} = V(\bar{\nu}_e) - V(\bar{\nu}_\mu) = -\sqrt{2}G_F N_e$$

$$V_{\mu\tau} = V(\nu_\mu) - V(\nu_\tau) = 0 \text{ (leading order)}$$

$V_{e\mu} \neq \bar{V}_{e\mu}$: CP, CPT violated

L. Wolfenstein, 1978; V. Barger et al., 1980; P. Langacker et al., 1983;

S.P. Mikheyev, A.Yu. Smirnov, 1985; etc.

$$i \frac{d}{dt} \begin{pmatrix} A_\alpha(t, t_0) \\ A_\beta(t, t_0) \end{pmatrix} = \begin{pmatrix} -\epsilon(t) & \epsilon'(t) \\ \epsilon'(t) & \epsilon(t) \end{pmatrix} \begin{pmatrix} A_\alpha(t, t_0) \\ A_\beta(t, t_0) \end{pmatrix} \quad (1)$$

where $\alpha = \nu_e$, $\beta = \nu_{\mu(\tau)}$.

$$\epsilon(t) = \frac{1}{2} \left[\frac{\Delta m^2}{2E} \cos 2\theta - \sqrt{2} G_F N_e(t) \right],$$

$$\epsilon'(t) = \frac{\Delta m^2}{4E} \sin 2\theta, \text{ with } \Delta m^2 = m_2^2 - m_1^2.$$

In matter, $H_m = H_0 + H_{int}$.

$H_0 |\nu_{1,2}\rangle = E_{1,2} |\nu_{1,2}\rangle$, not eigenstates of H_m .

Consider first $N_e = \text{const.}$

$$H_m |\nu_{1,2}^m\rangle = E_{1,2}^m |\nu_{1,2}^m\rangle.$$

Then at $t = 0$ in matter

$$|\nu_e\rangle = |\nu_1^m\rangle \cos\theta_m + |\nu_2^m\rangle \sin\theta_m,$$

$$|\nu_{\mu(\tau)}\rangle = -|\nu_1^m\rangle \sin\theta_m + |\nu_2^m\rangle \cos\theta_m;$$

$$\sin 2\theta_m = \frac{\epsilon'}{\sqrt{\epsilon'^2 + \epsilon^2}} = \frac{\tan 2\theta}{\sqrt{\left(1 - \frac{N_e}{N_e^{\text{res}}}\right)^2 + \tan^2 2\theta}},$$

$$\cos 2\theta_m = \frac{1 - N_e/N_e^{\text{res}}}{\sqrt{\left(1 - \frac{N_e}{N_e^{\text{res}}}\right)^2 + \tan^2 2\theta}},$$

$$N_e^{\text{res}} = \frac{\Delta m^2 \cos 2\theta}{2E\sqrt{2G_F}} \cong 6.56 \times 10^6 \frac{\Delta m^2 [\text{eV}^2]}{E [\text{MeV}]} \cos 2\theta \text{ cm}^{-3} N_A,$$

$$E_2^m - E_1^m = \frac{\Delta m^2}{2E} \left(\left(1 - \frac{N_e}{N_e^{\text{res}}}\right)^2 \cos^2 2\theta + \sin^2 2\theta \right)^{\frac{1}{2}}$$

$$P_m^{2\nu}(\nu_e \rightarrow \nu_\mu) = |A_\mu(t)|^2 = \frac{1}{2} \sin^2 2\theta_m \left[1 - \cos 2\pi \frac{L}{L_m^1} \right],$$

$$L_m = \frac{E_2^m - E_1^m}{2\pi} = L^{\nu} \left(\left(1 - \frac{N_e}{N_e^{res}} \right)^2 \cos^2 2\theta + \sin^2 2\theta \right)^{-\frac{1}{2}}.$$

The resonance condition: $N_e = N_e^{res} = \frac{\Delta m^2 \cos 2\theta}{2E\sqrt{2}G_F}$

At the resonance:

$$\sin^2 2\theta_m = 1, \min(E_2^m - E_1^m), L_m^{res} = L^{\nu} / \sin 2\theta.$$

Limiting cases:

$$N_e \ll N_e^{res}: \theta_m \cong \theta, E_{1,2}^m \cong E_{1,2}, L_m \cong L^{\nu}.$$

$$N_e \gg N_e^{res}: \theta_m \cong \frac{\pi}{2}, \nu_e \rightarrow \nu_\mu \text{ suppressed.}$$

$$\text{In this case: } |\nu_e\rangle \cong |\nu_2^m\rangle, |\nu_\mu\rangle = -|\nu_1^m\rangle.$$

Antineutrinos: $N_e \rightarrow (-N_e)$

$\Delta m^2 \cos 2\theta > 0$: $\bar{\nu}_e \rightarrow \bar{\nu}_\mu$ suppressed by matter; $\nu_e \rightarrow \nu_\mu$ can be enhanced.

$\Delta m^2 \cos 2\theta < 0$: $\nu_e \rightarrow \nu_\mu$ suppressed by matter; $\bar{\nu}_e \rightarrow \bar{\nu}_\mu$ can be enhanced.

Oscillations in matter (Earth, Sun) are neither CP- nor CPT- invariant.

Earth: $\bar{N}_e^{mant} \sim 2.3 N_A \text{ cm}^{-3}$, $\bar{N}_e^{core} \sim 6.0 N_A \text{ cm}^{-3}$

$P^{m}(\nu_e \rightarrow \nu_\mu; t) = \frac{1}{2} \sin^2 2\theta_m \left(1 - \cos 2\pi \frac{L}{L_{osc}^m}\right)$, $L_{osc}^m \sim L_{osc}^{vac}$

$$\sin^2 2\theta_m = \frac{\sin^2 2\theta}{\left(1 - \frac{N_e}{N_e^{res}}\right)^2 \cos^2 2\theta + \sin^2 2\theta}, \quad N_e^{res} \equiv \frac{\Delta m^2 \cos 2\theta}{2E\sqrt{2}G_F}$$

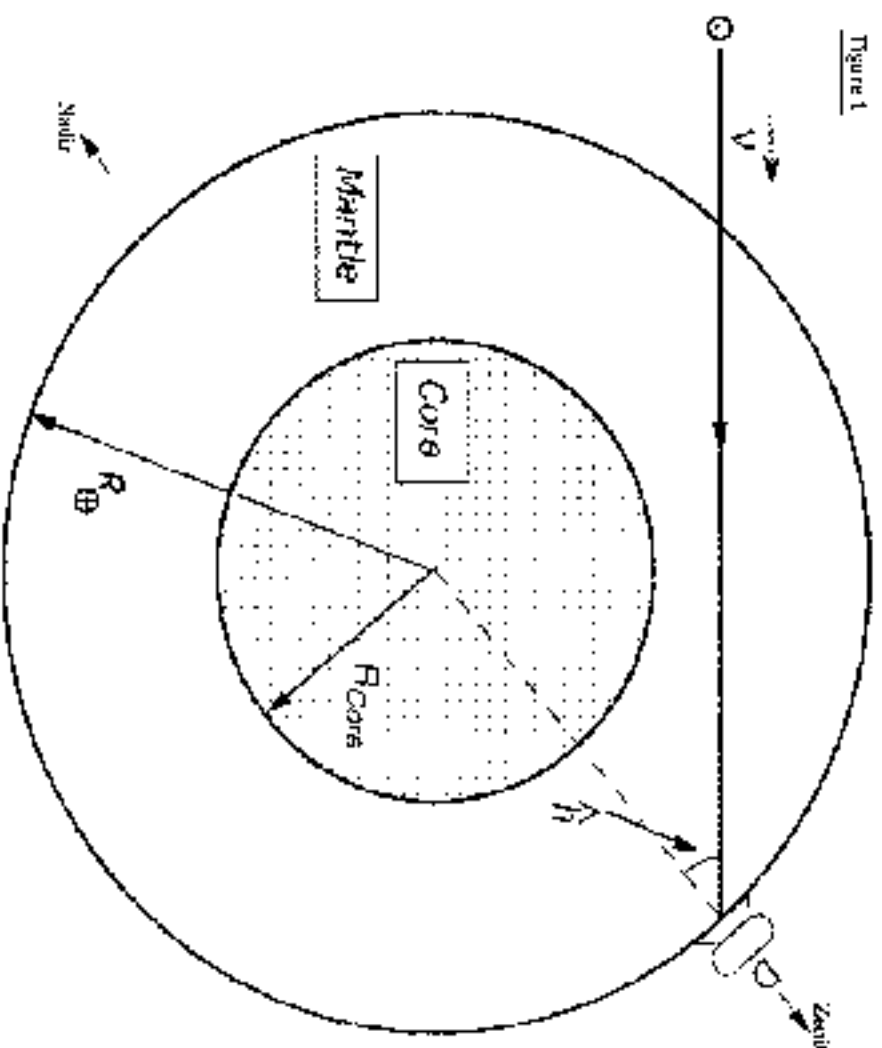
$N_e = N_e^{res}$: MSW resonance

$\Delta m^2 \cos 2\theta > 0$: $\nu_e \rightarrow \nu_\mu$

$\Delta m^2 \cos 2\theta < 0$: $\bar{\nu}_e \rightarrow \bar{\nu}_\mu$

The Earth

Figure 1



Earth: $R_{core} = 3446$ km, $R_{mantle} = 2885$ km

Earth: $\bar{N}_e^{mant} \sim 2.3 N_A \text{ cm}^{-3}$, $\bar{N}_e^{core} \sim 5.7 N_A \text{ cm}^{-3}$

The Earth

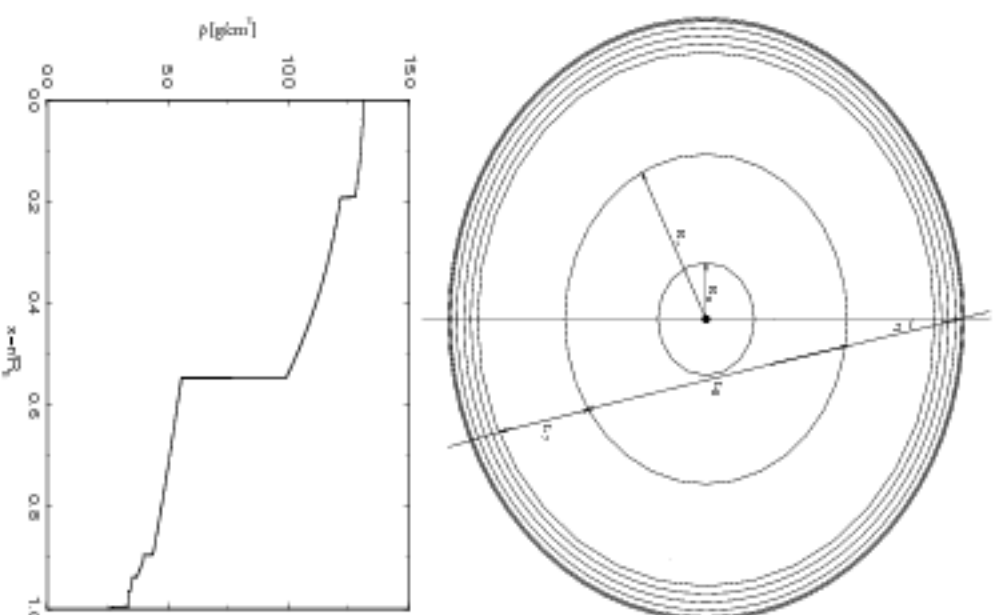
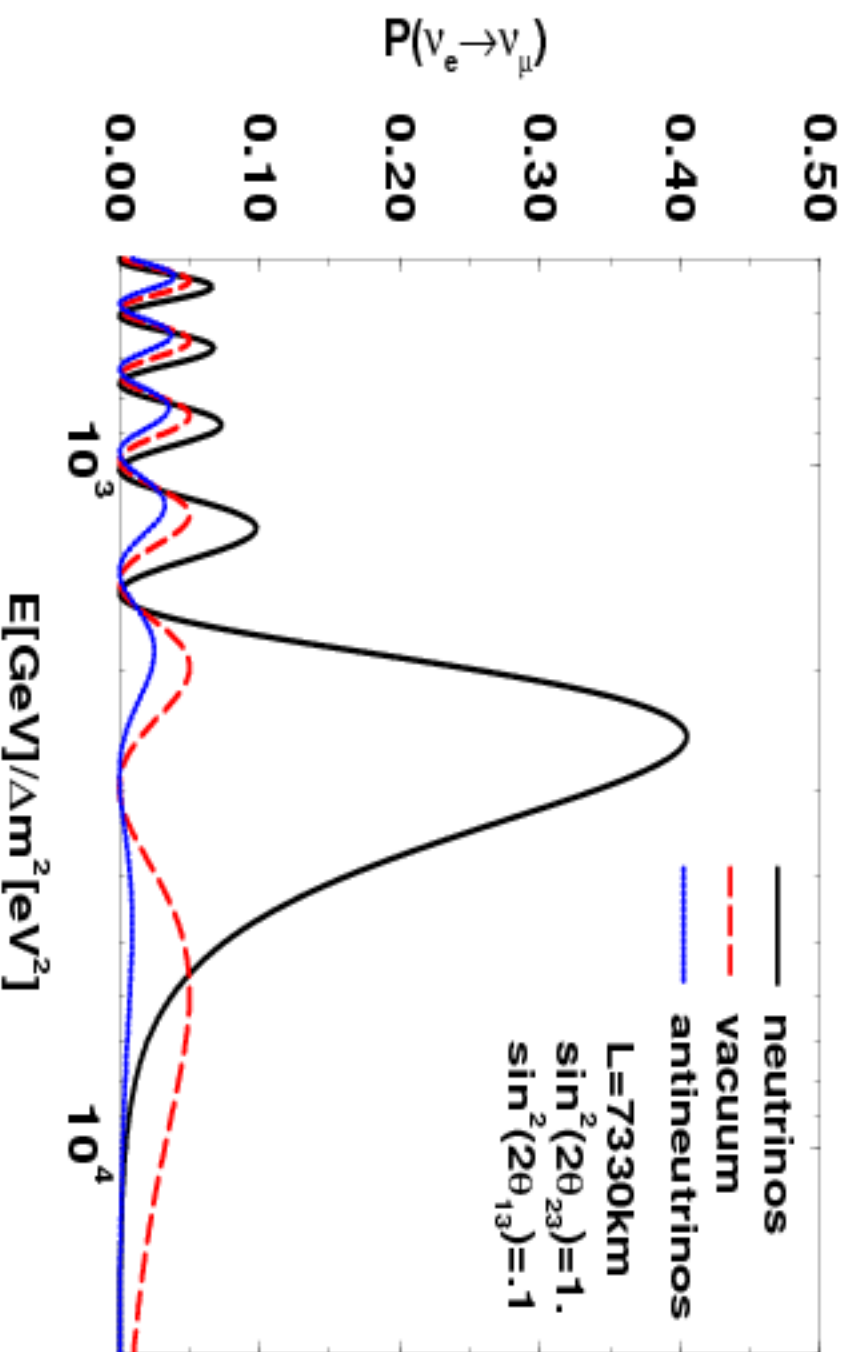


FIG. 1. Density profile of the Earth.

$R_c = 3446$ km, $R_m = 2885$ km; $\bar{N}_e^{\text{mant}} \sim 2.3 N_A \text{ cm}^{-3}$, $\bar{N}_e^{\text{core}} \sim 5.7 N_A \text{ cm}^{-3}$

Earth matter effect in $\nu_\mu \rightarrow \nu_e, \bar{\nu}_\mu \rightarrow \bar{\nu}_e$ (MSW)

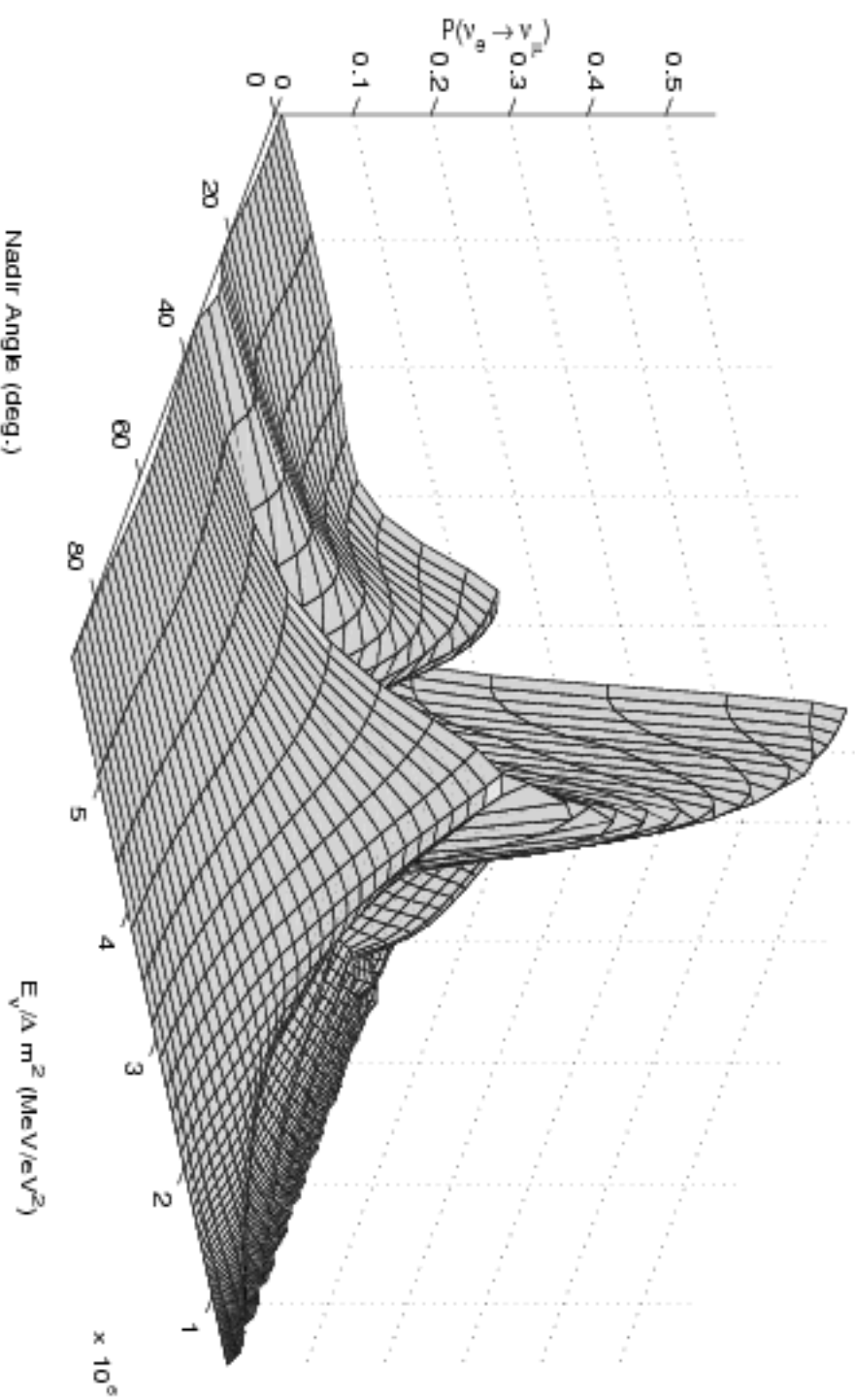


$$\Delta m^2 = 2.5 \times 10^{-3} \text{ eV}^2, E^{\text{res}} = 7.5 \text{ GeV}; P^{3\nu} = \sin^2 \theta_{23} P_m^{2\nu} = 0.5 P_m^{2\nu};$$

$$N_c^{\text{res}} \cong 2.3 \text{ cm}^{-3} N_A; L_m^{\text{res}} = L^\nu / \sin 2\theta \cong 7500/0.33 \text{ km}; 2\pi L/L_m \cong \pi.$$

Earth matter effects in $\nu_\mu \rightarrow \nu_e$, $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ (NOLR)

$$\sin^2 2\theta_\nu = 0.010$$



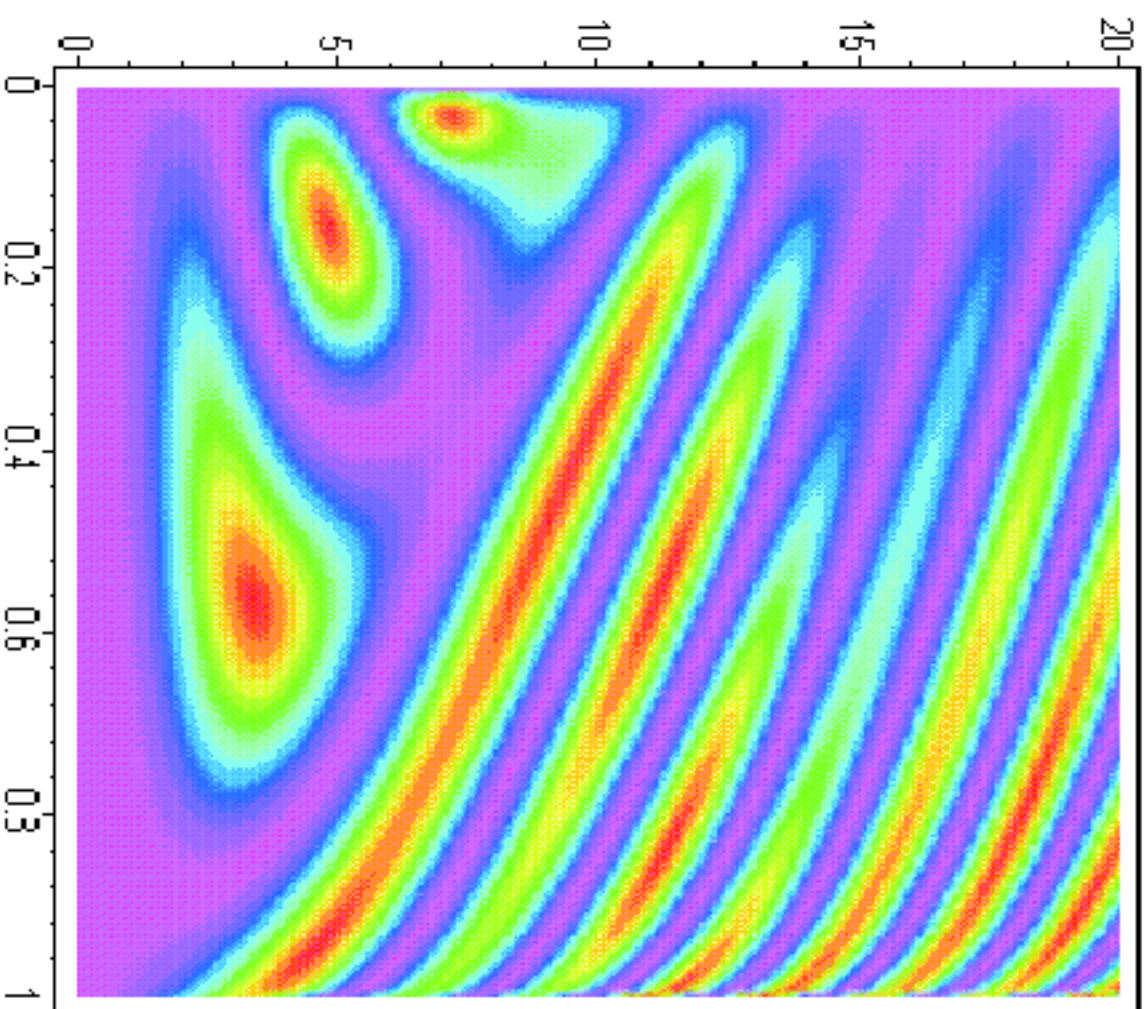
S.T.P., 1998;

M. Chizhov, M. Maris, S.T.P., 1998; M. Chizhov, S.T.P., 1999

$P(\nu_e \rightarrow \nu_\mu) \equiv P_{2\nu} \equiv (s_{23})^{-2} P_{3\nu}(\nu_{e(\mu)} \rightarrow \nu_{\mu(e)})$, $\theta_\nu \equiv \theta_{13}$, $\Delta m^2 \equiv \Delta m_{g\text{tm}}^2$;

Absolute maximum: Neutrino Oscillation Length Resonance (NOLR);

Local maxima: MSW effect in the Earth mantle or core.



$(s_{23})^{-2} P_{3\nu}(v_{e(\mu)}) \rightarrow v_{\mu(e)} \equiv P_{2\nu}$; **NOLR: "Dark Red Spots"**, $P_{2\nu} = 1$;
Vertical axis: $\Delta m^2/E$ [$10^{-7} eV^2/MeV$]; **horizontal axis:** $\sin^2 2\theta_{13}$; $\theta_n = 0$

- For Earth center crossing ν 's ($\theta_n = 0$) and, e.g. $\sin^2 2\theta_{13} = 0.01$, **NOLR** occurs at $E \cong 4$ **GeV** ($\Delta m^2(\text{atm}) = 2.5 \times 10^{-3} \text{ eV}^2$).

S.T.P., hep-ph/9805262

- For the Earth core crossing ν 's: $P_{2\nu} = 1$ **due to NOLR** when

$$\tan \Phi_{\text{man}}/2 \equiv \tan \phi' = \pm \sqrt{\frac{-\cos 2\theta''_m}{\cos(2\theta''_m - 4\theta'_m)}}$$

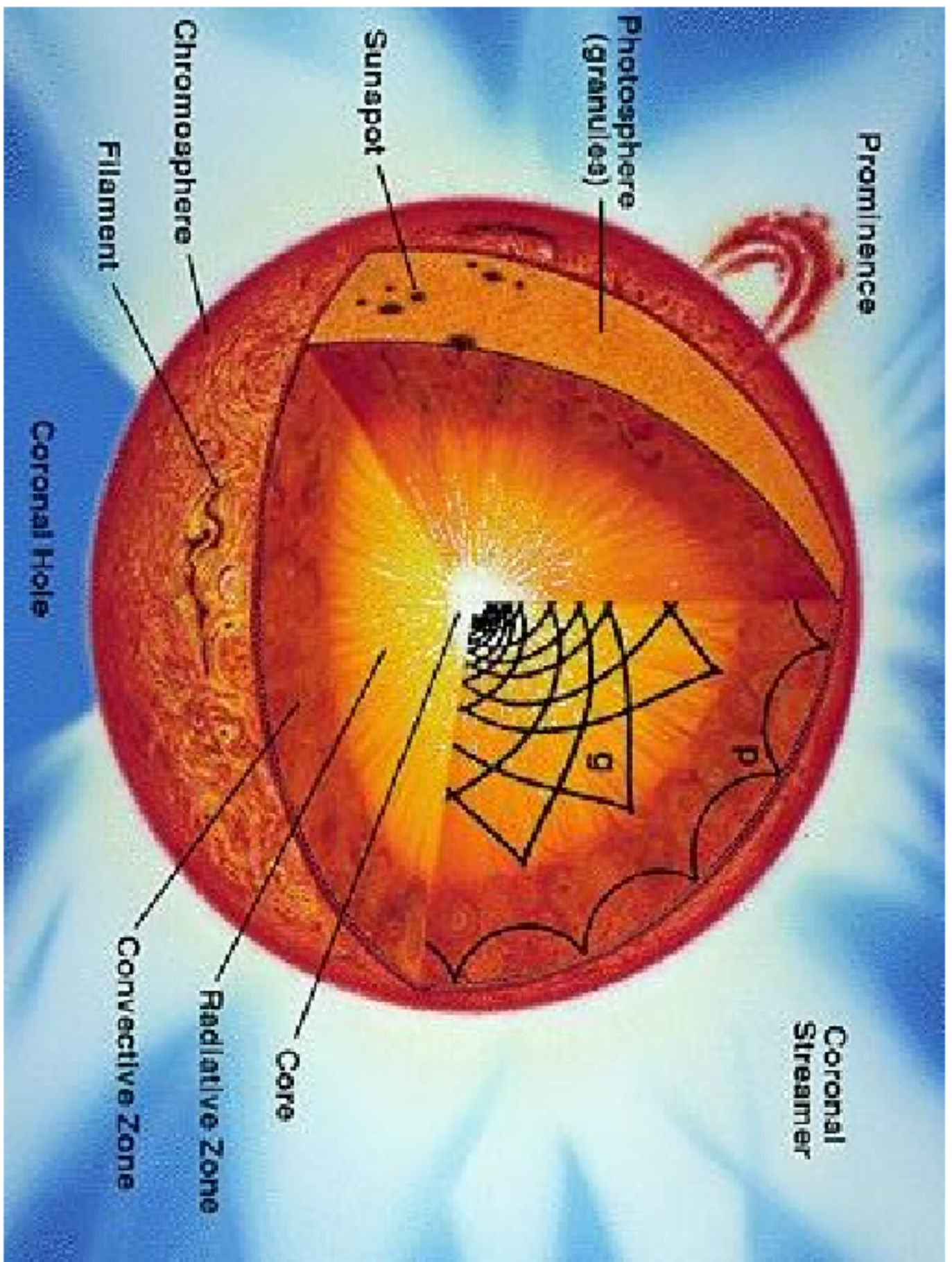
$$\tan \Phi_{\text{core}}/2 \equiv \tan \phi'' = \pm \sqrt{\frac{\cos 2\theta'_m}{-\cos(2\theta''_m) \cos(2\theta'_m - 4\theta'_m)}}$$

Φ_{man} (Φ_{core}) - phase accumulated in the Earth mantle (core),
 θ'_m (θ''_m) - the mixing angle in the Earth mantle (core).

$P_{2\nu} = 1$ **due to NOLR** for $\theta_n = 0$ (Earth center crossing ν 's) at,

e.g. $\sin^2 2\theta_{13} = 0.034$; 0.154, $E \cong 3.5$; 5.2 **GeV** ($\Delta m^2(\text{atm}) = 2.5 \times 10^{-3} \text{ eV}^2$).

M. Chizhov, S.T.P., Phys. Rev. Lett. 83 (1999) 1096 (hep-ph/9903399); Phys. Rev. Lett. 85 (2000) 3979 (hep-ph/0504247); Phys. Rev. D63 (2001) 073003 (hep-ph/9903424).



Solar Neutrino Production: pp Chain

REACTION	TERM. (%)	ENERGY (MeV)
$p + p \rightarrow {}^2\text{H} + e^+ + \nu_e$	(99.96)	≤ 0.420
or		
$p + e + p \rightarrow {}^3\text{H} + \nu_e$	(0.14)	1.442
$2\text{H} + p \rightarrow {}^3\text{He} + \gamma$	(100)	
${}^3\text{He} + {}^3\text{He} \rightarrow \alpha + 2p$	(85)	
or		
${}^3\text{He} + {}^4\text{He} \rightarrow {}^7\text{Be} + \gamma$	(15)	
${}^7\text{Be} + e^- \rightarrow {}^7\text{Li} + \nu_e$	(15)	$\begin{cases} 0.861 & 90\% \\ 0.383 & 10\% \end{cases}$
${}^7\text{Li} + p \rightarrow 2\alpha$		
or		
${}^7\text{Be} + p \rightarrow {}^8\text{B} + \gamma$	(0.02)	
${}^8\text{B} \rightarrow {}^8\text{Be}^* + e^- + \nu_e$		< 13
${}^8\text{Be}^* \rightarrow 2\alpha$		
or		
${}^3\text{He} + p \rightarrow {}^4\text{He} + e^+ + \nu_e$	(0.000004)	18.8



- pp neutrinos, $E \leq 0.420$ MeV, $\bar{E} = 0.265$ MeV,
- ${}^7\text{Be}$ neutrinos, $E = 0.862$ MeV (89.7% of the flux), 0.384 MeV (10.3%) ,
- ${}^8\text{B}$ neutrinos, $E \leq 14.40$ MeV, $\bar{E} = 6.71$ MeV,
- pep neutrinos, $E = 1.442$ MeV,
- of ${}^{13}\text{N}$, $E \leq 1.199$ MeV, $\bar{E} = 0.707$ MeV,
- of ${}^{15}\text{O}$, $E \leq 1.732$ MeV, $\bar{E} = 0.997$ MeV.

Flux	BP'00	CI-Ar	Ga-Ge
$\Phi_{pp} \times 10^{-10}$	5.95(1 ^{+0.01} _{-0.01})	0.00	69.7
$\Phi_{pep} \times 10^{-8}$	1.40(1 ^{+0.01} _{-0.01})	0.22	2.8
$\Phi_{Be} \times 10^{-9}$	4.77(1 ^{+0.09} _{-0.09})	1.15	34.2
$\Phi_B \times 10^{-6}$	5.93(1 ^{+0.14} _{-0.15})	6.76	14.2
$\Phi_N \times 10^{-8}$	5.48(1 ^{+0.19} _{-0.13})	0.09	3.4
$\Phi_O \times 10^{-8}$	4.80(1 ^{+0.22} _{-0.15})	0.33	5.5
Total		8.55 ^{+1.1} _{-1.2}	129.8 ⁺⁹ ₋₇

Solar Neutrinos ν_e , $E \sim 1$ MeV: **B. Pontecorvo 1946**



R. Davis et al., 1967 - 1996: 615 t C_2Cl_4 ; 0.5 Ar atoms/day, exposure 60 days.



Kamiokande (1986-1994), Super-Kamiokande (1996 -), SNO (2000 - 2006), BOREXINO (2007 -);



Super-Kamiokande: 50000t ultra-pure water;

SNO: 1000t heavy water (D_2O)



SAGE (60t), 1990-; GALLEX/GNO (30t, LNGS), 1991-2003

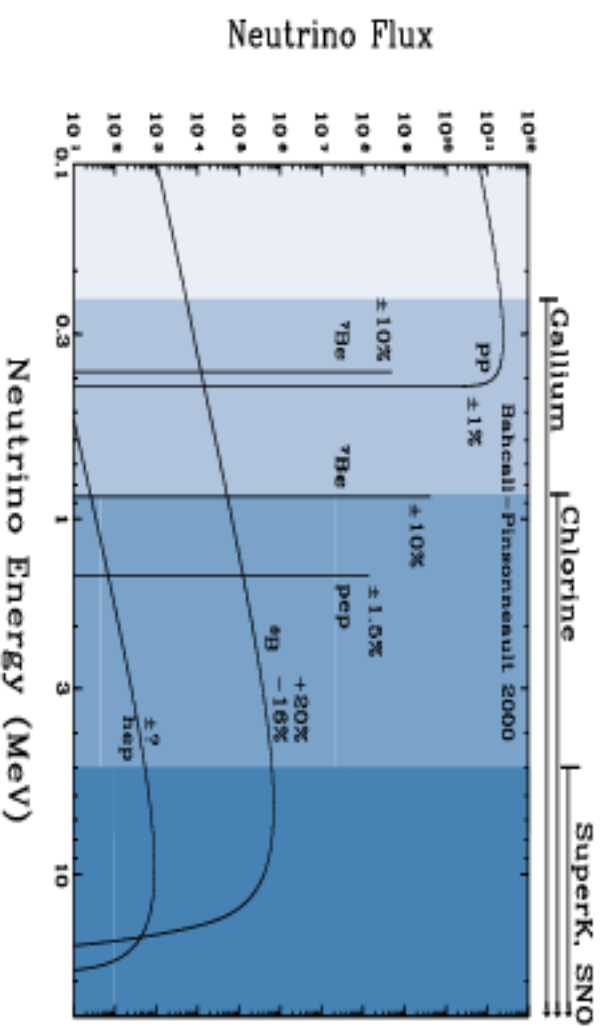


Figure 2: Differential Standard Solar Model neutrino fluxes [14].

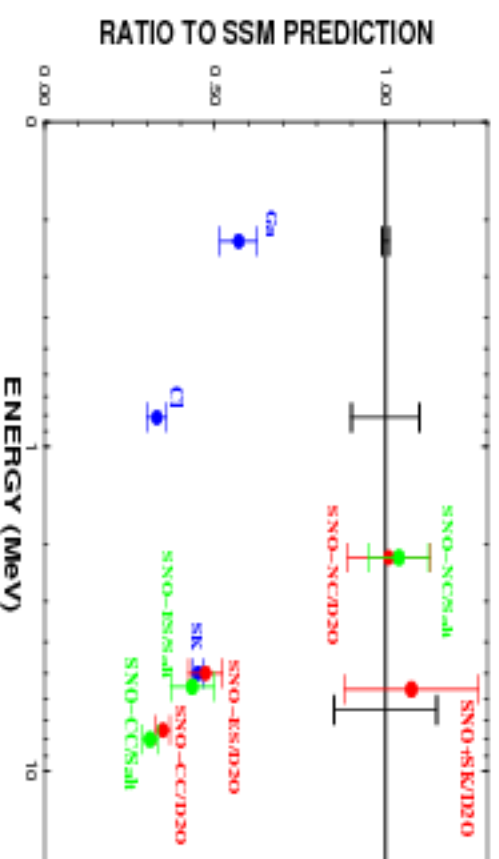
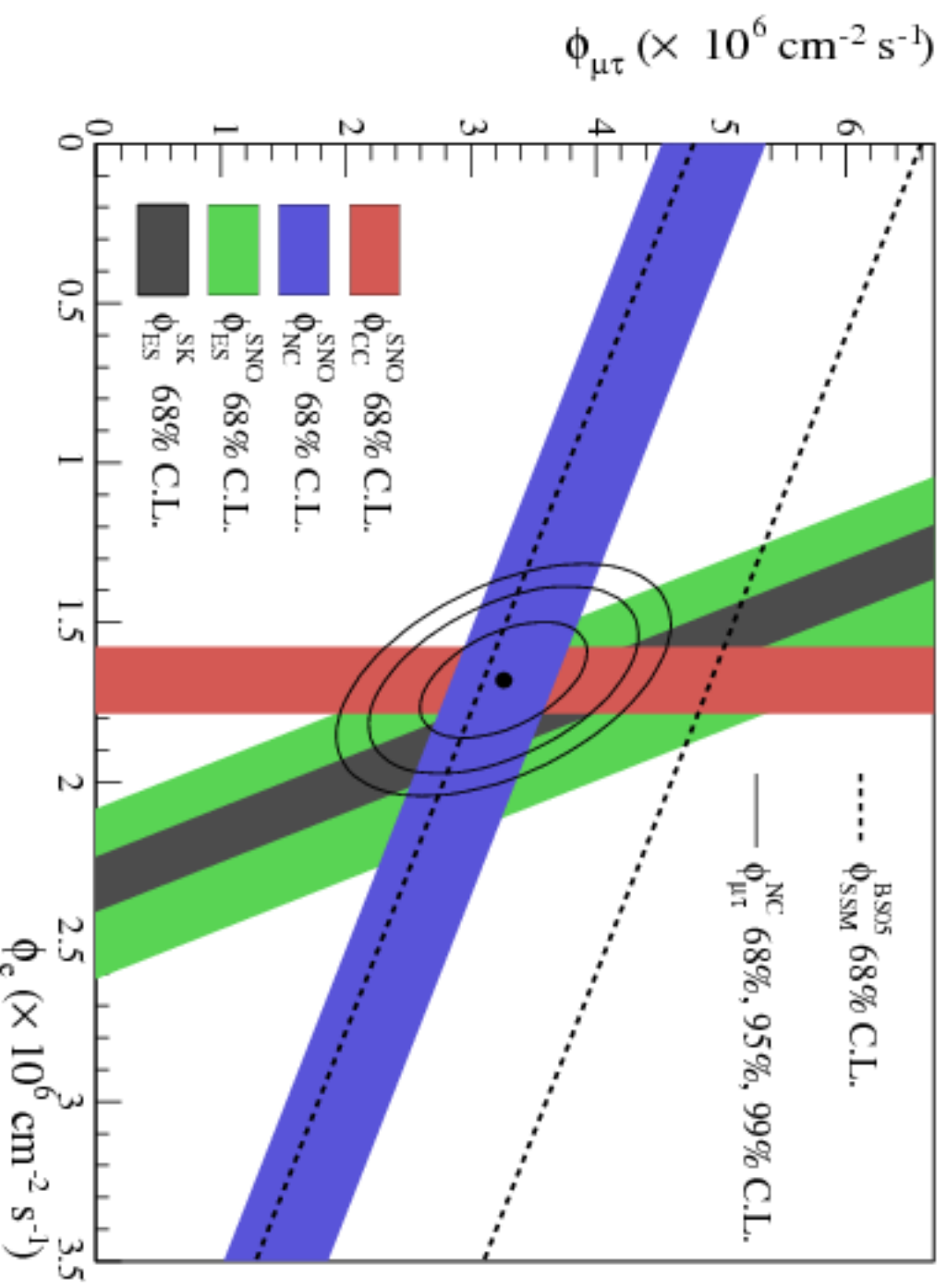


Figure 3: Comparison of measurements to Standard Solar Model predictions.

Flux	Bp'00	Cl-Ar	Ga-Ge
$\Phi_{pp} \times 10^{-10}$	5.95(1 ^{+0.01} _{-0.01})	0.00	69.7
$\Phi_{pep} \times 10^{-8}$	1.40(1 ^{+0.01} _{-0.01})	0.22	2.8
$\Phi_{Be} \times 10^{-9}$	4.77(1 ^{+0.09} _{-0.09})	1.15	34.2
$\Phi_B \times 10^{-6}$	5.93(1 ^{+0.14} _{-0.15})	6.76	14.2
$\Phi_N \times 10^{-8}$	5.48(1 ^{+0.19} _{-0.13})	0.09	3.4
$\Phi_O \times 10^{-8}$	4.80(1 ^{+0.22} _{-0.15})	0.33	5.5
Total		8.55 ^{+1.1} _{-1.2}	129.8 ⁺⁹ ₋₇

Experiment	Observed rate/BP04 prediction	Predicted Rate at global best-fit	Predicted Rate at solar best-fit
Ga	0.52 ± 0.029	0.555	0.540
Cl	0.301 ± 0.027	0.356	0.345
SK(ES)	0.406 ± 0.014	0.394	0.395
SNO(CC)	0.274 ± 0.019	0.289	0.289
SNO(ES)	0.38 ± 0.052	0.386	0.386
SNO(NC)	0.895 ± 0.08	0.889	0.908

The observed rates w.r.t predictions from the latest Standard Solar Model BP04. Shown are also the predicted rates for the best fit values of Δm_{21}^2 and $\sin^2 \theta_{12}$, obtained in the analysis of the i) global solar neutrino data, and ii) global solar neutrino +KamLAND data.



MSW Transitions of Solar Neutrinos in the Sun and the Hydrogen Atom

$$i \frac{d}{dt} \begin{pmatrix} A_{\alpha} \{t, t_0\} \\ A_{\beta} \{t, t_0\} \end{pmatrix} = \begin{pmatrix} -\epsilon(t) & \epsilon'(t) \\ \epsilon'(t) & \epsilon(t) \end{pmatrix} \begin{pmatrix} A_{\alpha} \{t, t_0\} \\ A_{\beta} \{t, t_0\} \end{pmatrix} \quad (2)$$

where $\alpha = \nu_e$, $\beta = \nu_{\mu}(\tau)$.

$$\epsilon(t) = \frac{1}{2} \left[\frac{\Delta m^2}{2E} \cos 2\theta - \sqrt{2} G_F N_e(t) \right],$$

$$\epsilon'(t) = \frac{\Delta m^2}{4E} \sin 2\theta, \text{ with } \Delta m^2 = m_2^2 - m_1^2.$$

- Standard Solar Models

$$N_e(t) = N_e(t_0) \exp \left\{ -\frac{t-t_0}{r_0} \right\}, \quad r_0 \sim 0.1 R_{\odot}, \quad R_{\odot} = 6.96 \times 10^5 \text{ km}$$

The region of ν_{\odot} production: $r \lesssim 0.2R_{\odot}$

$$20 N_A \text{ cm}^{-3} \lesssim N_e(x_0) \lesssim 100 N_A \text{ cm}^{-3}$$

Suppose $N_e(x_0) \gg N_e^{res}$: $|\nu_e\rangle \cong |\nu_2^m\rangle$.

Possible evolution:

The system stays at this level; at the surface: $|\nu_2^m\rangle = |\nu_2\rangle$

$$P(\nu_e \rightarrow \nu_e) \cong |\langle \nu_e | \nu_2 \rangle|^2 = \sin^2 \theta, \quad \text{Adiabatic}$$

At $N_e \equiv N_e^{res}$, where $E_2^m - E_1^m$ is minimal, the system jumps to lower level $|\nu_1^m\rangle$; at the surface: $|\nu_1^m\rangle = |\nu_1\rangle$

$$P(\nu_e \rightarrow \nu_e) \cong |\langle \nu_e | \nu_1 \rangle|^2 = \cos^2 \theta, \quad \text{Nonadiabatic}$$

Type of transition: $P^l \equiv P(\nu_2^m(t_0) \rightarrow \nu_1)$, **jump probability**

Introducing the dimensionless variable

$$Z = ir_0 \sqrt{2G_F N_e(t_0)} e^{-\frac{t-t_0}{r_0}}, \quad Z_0 = Z(t = t_0),$$

and making the substitution

$$A_e(t, t_0) = (Z/Z_0)^{c-a} e^{-\int_{t_0}^t \epsilon(t') dt'} A'_e(t, t_0),$$

$A'_e(t, t_0)$ satisfies the confluent hypergeometric equation (CHE):

$$\left\{ Z \frac{d^2}{dZ^2} + (c - Z) \frac{d}{dZ} - a \right\} A'_e(t, t_0) = 0,$$

where

$$a = 1 + ir_0 \frac{\Delta m^2}{2E} \sin^2 \theta, \quad c = 1 + ir_0 \frac{\Delta m^2}{2E}.$$

The confluent hypergeometric equation describing the ν_e oscillations in the Sun, coincides in form with the Schrodinger (energy eigenvalue) equation obeyed by the radial part, $\psi_{kl}(\tau)$, of the non-relativistic wave function of the hydrogen atom,

$$\Psi(\vec{r}) = \frac{1}{r} \psi_{kl}(\tau) Y_{lm}(\theta', \phi'),$$

τ , θ' and ϕ' are the spherical coordinates of the electron in the proton's rest frame, l and m are the orbital momentum quantum numbers ($m = -l, \dots, l$), k is the quantum number labeling (together with l) the electron energy (the principal quantum number is equal to $(k+l)$), E_{kl} ($E_{kl} < 0$), and $Y_{lm}(\theta', \phi')$ are the spherical harmonics. The function

$$\psi'_{kl}(Z) = Z^{-c/2} e^{Z/2} \psi_{kl}(\tau)$$

satisfies the confluent hypergeometric equation in which the variable Z and the parameters a and c are in this case related to the physical quantities characterizing the hydrogen atom:

$$Z = 2 \frac{r}{a_0} \sqrt{-E_{kl}/E_I}, \quad a \equiv a_{kl} = l+1 - \sqrt{-E_I/E_{kl}}, \quad c \equiv c_l = 2(l+1),$$

$a_0 = \hbar/(m_e e^2)$ is the Bohr radius and $E_I = m_e e^4/(2\hbar^2) \cong 13.6 \text{ eV}$ is the ionization energy of the hydrogen atom.

Quite remarkably, the behavior of such different physical systems as solar neutrinos undergoing MSW transitions in the Sun and the non-relativistic hydrogen atom are governed by one and the same differential equation.

Any solution - linear combination of two linearly independent solutions:

$\Phi(a, c; Z)$, $Z^{1-c} \Phi(a - c + 1, 2 - c; Z)$; $\Phi(a', d'; Z = 0) = 1$, $a', d' \neq 0, -1, -2, \dots$

$$A(\nu_e \rightarrow \nu_{\mu}(\tau)) = \frac{1}{2} \sin 2\theta \left\{ \Phi(a - c, 2 - c; Z_0) - e^{i(t-t_0) \frac{\Delta m^2}{2E}} \Phi(a - 1, c; Z_0) \right\}.$$

Sun: $N_e(x) \cong N_e(x_0) e^{-\frac{x}{r_0}}$, $r_0 \cong 0.1 R_{\odot}$, $R_{\odot} \cong 7 \times 10^5$ km

The region of ν_{\odot} production:

$20 N_A \text{ cm}^{-3} \lesssim N_e(x_0) \lesssim 100 N_A \text{ cm}^{-3}$; $|Z_0| > 500$ (!)

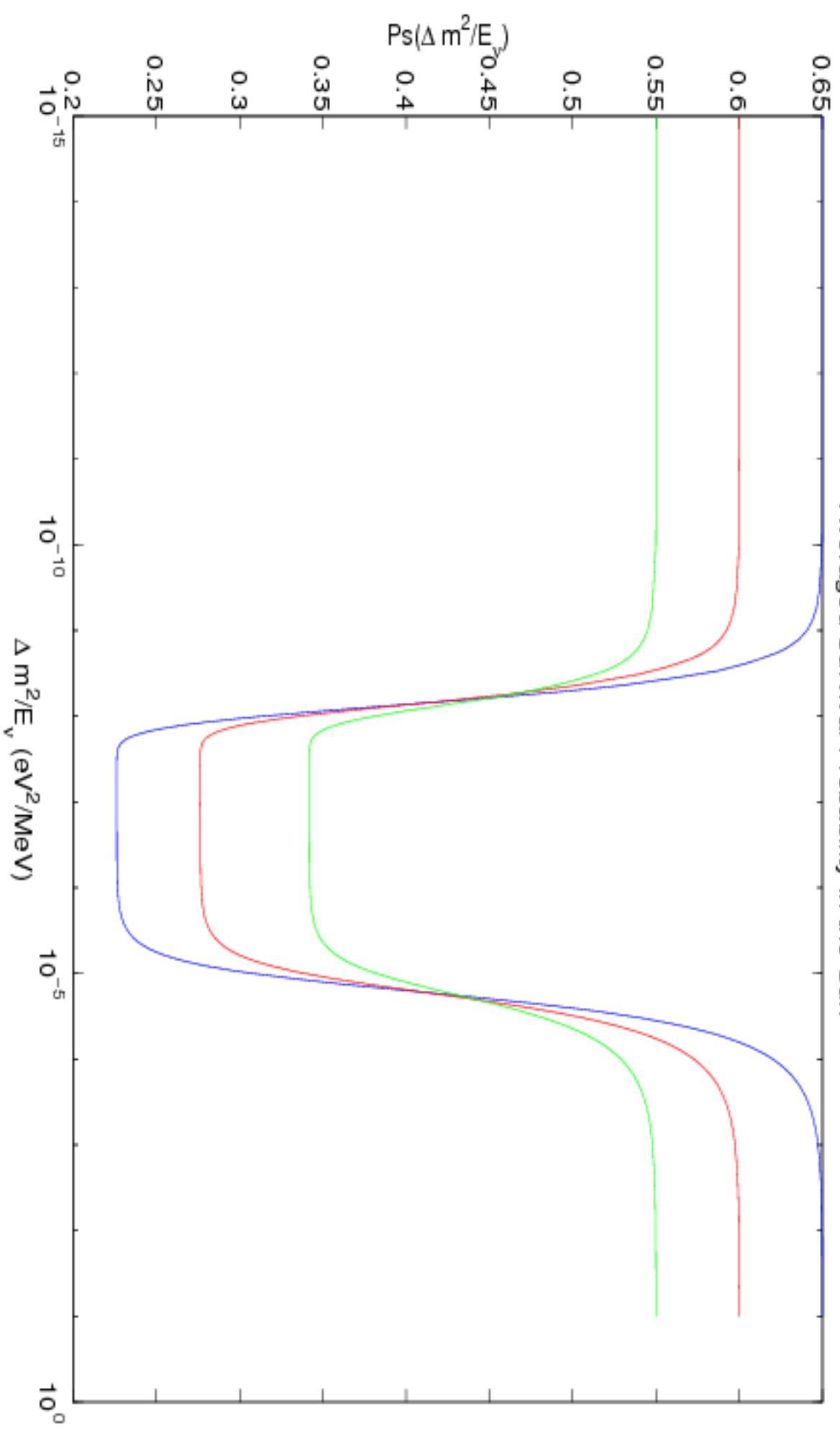
The solar ν_e survival probability:

$$\bar{P}(\nu_e \rightarrow \nu_e) = \frac{1}{2} + \left(\frac{1}{2} - P'\right) \cos 2\theta_m^0 \cos 2\theta,$$

$$P' = \frac{e^{-2\pi r_0 \frac{\Delta m^2}{2E}} \sin^2 \theta - e^{-2\pi r_0 \frac{\Delta m^2}{2E}}}{1 - e^{-2\pi r_0 \frac{\Delta m^2}{2E}}}$$

$\nu_e \rightarrow \nu_e$

Averaged Survival Probability in the Sun



The solar ν_e survival probability:

$$\bar{P}(\nu_e \rightarrow \nu_e) = \frac{1}{2} + \left(\frac{1}{2} - P'\right) \cos 2\theta_m^0 \cos 2\theta,$$

$$P' = \frac{e^{-2\pi r_0 \frac{\Delta m^2}{2E}} \sin^2 \theta - e^{-2\pi r_0 \frac{\Delta m^2}{2E}}}{1 - e^{-2\pi r_0 \frac{\Delta m^2}{2E}}}$$

Case 1: $\cos 2\theta_m^0 = -1$, $P' = 0$, $\bar{P} = \frac{1}{2}(1 - \cos 2\theta)$.

Case 2: $\theta_m^0 = \theta$, $P' = 0$, $\bar{P}(\nu_e \rightarrow \nu_e) = 1 - \frac{1}{2} \sin^2 2\theta$

Case 1: SNO, Super Kamiokande; $\bar{P} \cong 0.3$: $\cos 2\theta > 0$!

Case 2: pp neutrinos.

PMNS Matrix: Standard Parametrization

$$U = VP, \quad P = \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\frac{\alpha_{21}}{2}} & 0 \\ 0 & 0 & e^{i\frac{\alpha_{31}}{2}} \end{pmatrix},$$

$$V = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

- $s_{ij} \equiv \sin \theta_{ij}$, $c_{ij} \equiv \cos \theta_{ij}$, $\theta_{ij} = [0, \frac{\pi}{2}]$,
- δ - Dirac CP-violation phase, $\delta = [0, 2\pi]$,
- α_{21} , α_{31} - the two Majorana CP-violation phases.

S.M. Bilenky, J. Hosek, S.T.P., 1980

- $\Delta m_{\odot}^2 \equiv \Delta m_{21}^2 \cong 7.59 \times 10^{-5} \text{ eV}^2 > 0$, $\sin^2 \theta_{12} \cong 0.318$, $\cos 2\theta_{12} \gtrsim 0.26$ (3σ),
- $|\Delta m_{\text{atm}}^2| \equiv |\Delta m_{31}^2| \cong 2.4 \times 10^{-3} \text{ eV}^2$, $\sin^2 2\theta_{23} \cong 1$,
- θ_{13} - the CHOOZ angle: $\sin^2 \theta_{13} < 0.031$ (0.047) 2σ (3σ) (see further).
Mezzetto, Schwetz *et al.*, arXiv:1003.5800

3- ν Mixing Analysis: $\Delta m_{\odot}^2 \ll |\Delta m_{\text{atm}}^2|$

$$P_{\odot}^{3\nu} \cong \sin^4 \theta_{13} + \cos^4 \theta_{13} \quad P_{\odot}^{2\nu},$$

$$P_{\odot}^{2\nu} = \bar{P}_{\odot}^{2\nu} + P_{\odot}^{2\nu} \text{osc},$$

$$\bar{P}_{\odot}^{2\nu} = \frac{1}{2} + \left(\frac{1}{2} - P'\right) \cos 2\theta_{12}^m(t_0) \cos 2\theta_{12} \quad (\theta_{12} \equiv \theta_{\odot}),$$

$P' = 0$: L. Wolfenstein, 1978; S. Mikheyev, A. Smirnov, 1985;

$P' \neq 0$ (general or LZ): S. Parke, W. Haxton, 1986;

P' -double exponential, $P_{\odot}^{2\nu} \text{osc}$: S.T.P., 1988

$$N_e \rightarrow N_e \cos^2 \theta_{13},$$

$$P' = \frac{e^{-2\pi\tau_0 \frac{\Delta m_{\odot}^2}{2E}} \sin^2 \theta_{12} - e^{-2\pi\tau_0 \frac{\Delta m_{\odot}^2}{2E}}}{1 - e^{-2\pi\tau_0 \frac{\Delta m_{\odot}^2}{2E}}}, \quad \tau_0 \sim 0.1 R_{\odot}$$

S.T.P., 1988

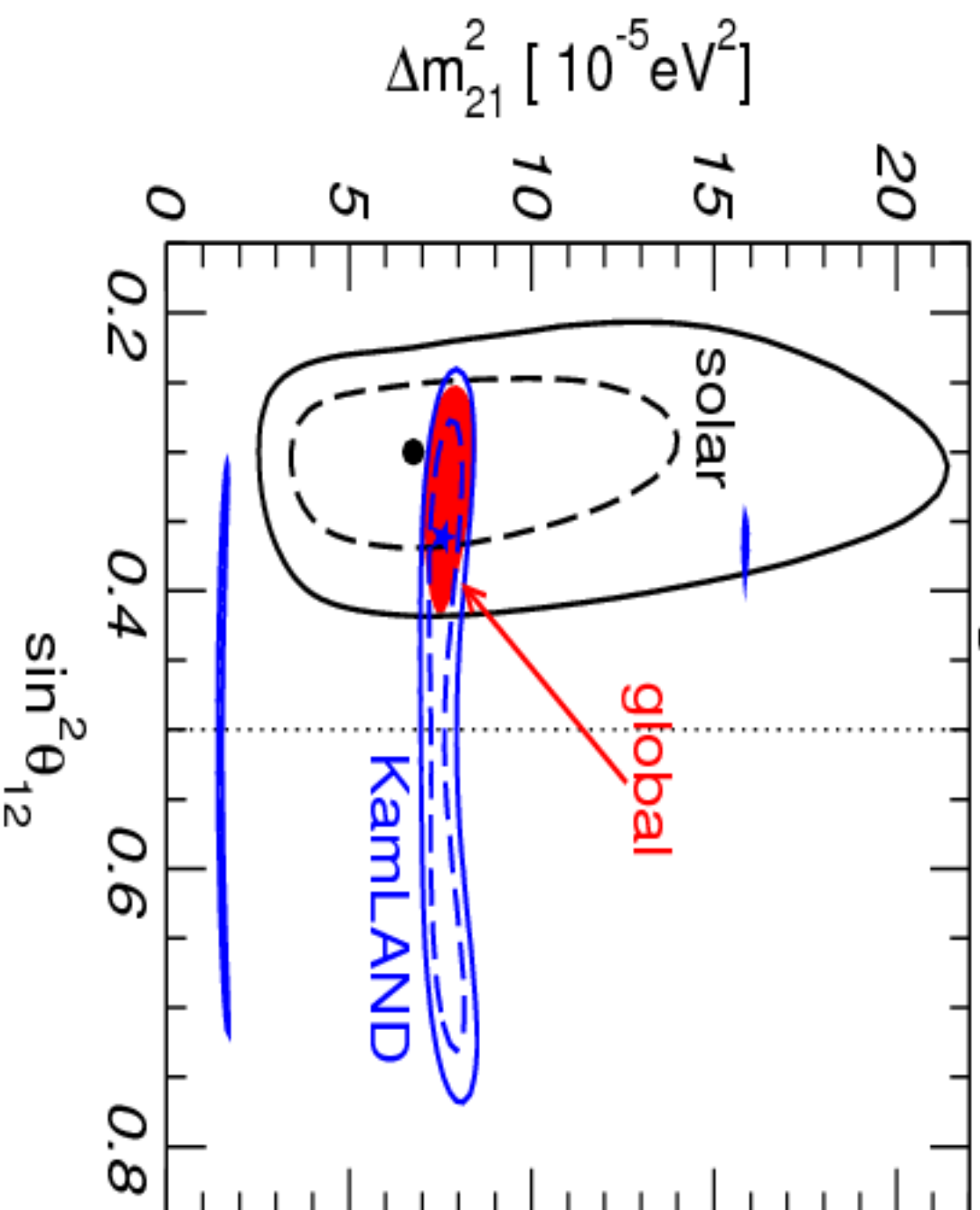
$$\text{LMA: } P' \ll 1, \quad < P_{\odot}^{2\nu} \text{osc} > \cong 0$$

J. Rich, S.T.P., 1988

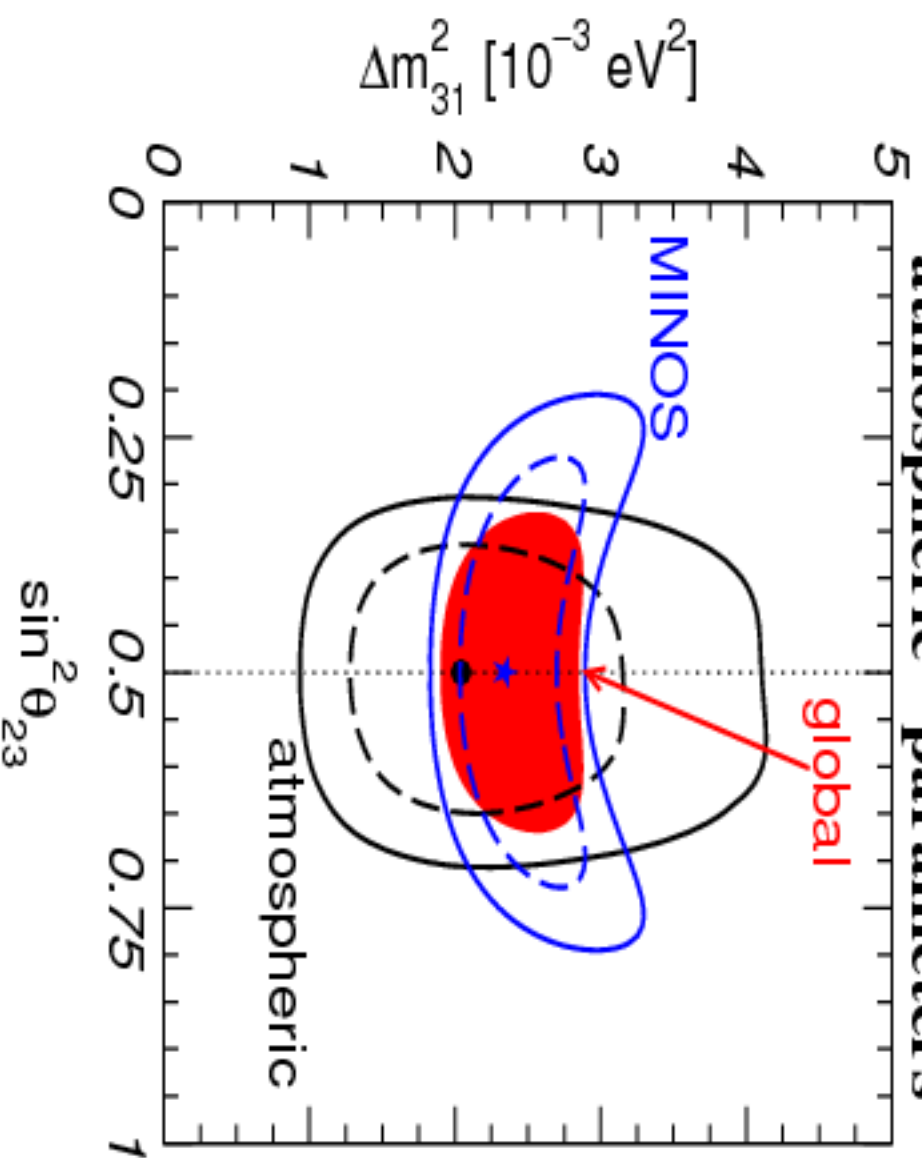
$$P_{\text{KL}}^{3\nu} \cong \sin^4 \theta_{13} + \cos^4 \theta_{13} \left[1 - \sin^2 2\theta_{12} \sin^2 \left(\frac{\Delta m_{\odot}^2}{4E} L \right) \right]$$

$$P_{\text{CHOOZ}}^{3\nu} \cong 1 - \sin^2 2\theta_{13} \sin^2 \left(\frac{\Delta m_{\text{atm}}^2}{4E} L \right)$$

"solar" parameters



"atmospheric" parameters



T. Schwetz, arXiv:0710.5027 [hep-ph]

- sign of Δm_{atm}^2 not determined;

3- ν mixing: $\Delta m_{31}^2 > 0$, $m_1 < m_2 < m_3$ (normal ordering (NO));

$\Delta m_{31}^2 < 0$, $m_3 < m_1 < m_2$ (Inverted ordering (IO)).

- If $\theta_{23} \neq \frac{\pi}{4}$: θ_{23} , $(\frac{\pi}{4} - \theta_{23})$ ambiguity.

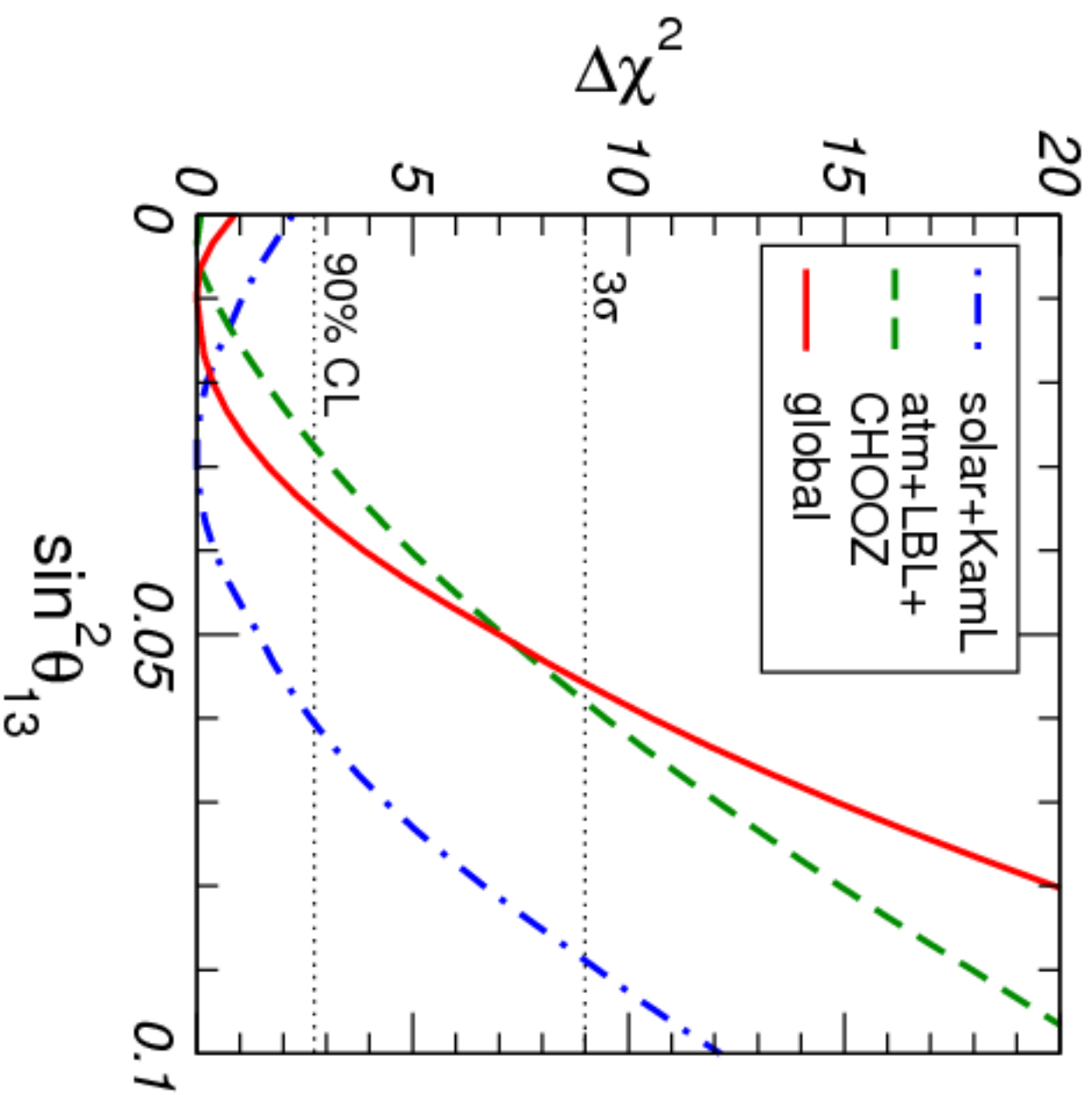
$$\sin^2 \theta_{13} = 0.016 \pm 0.010, \quad \sin \theta_{13} = (0.077 - 0.161), \quad 1\sigma$$

E. Lisi *et al.*, arXiv:0806.2649

Atmospheric ν data: $\cos \delta = -1$ favored over $\cos \delta = +1$

J. Escamilla *et al.*, arXiv:0805.2924

New data from T2K and MINOS (June 2011), to be discussed further, strengthen these indications.



Neutrino Oscillation Parameters

parameter	bf $\pm 1\sigma$	1σ acc.	2σ range	3σ range
Δm_{21}^2 [10^{-5}]	7.65 ± 0.23	3%	$7.25 - 8.11$	$7.05 - 8.34$
$ \Delta m_{31}^2 $ [10^{-3}]	$2.4^{+0.12}_{-0.11}$	5%	$2.18 - 2.64$	$2.07 - 2.75$
$\sin^2 \theta_{12}$	$0.304^{+0.022}_{-0.016}$	7%	$0.27 - 0.35$	$0.25 - 0.37$
$\sin^2 \theta_{23}$	$0.50^{+0.07}_{-0.06}$	14%	$0.38 - 0.64$	$0.36 - 0.67$
$\sin^2 \theta_{13}$	0.016 ± 0.010	$0.01 - 0.026$	≤ 0.04	≤ 0.056

Best fit values (bf), 1σ errors, relative accuracies at 1σ , and 2σ and 3σ allowed ranges of three-flavor neutrino oscillation parameters from a combined analysis of global data.

M. Maltoni, T. Schwetz *et al.*, [arXiv:0812.3161](#); E. Lisi *et al.*, [arXiv:0806.2649](#) (θ_{13})

- $\text{sgn}(\Delta m_{\text{atm}}^2) = \text{sgn}(\Delta m_{31}^2)$ not determined

$$\Delta m_{\text{atm}}^2 \equiv \Delta m_{31}^2 > 0, \quad \text{normal mass ordering}$$

$$\Delta m_{\text{atm}}^2 \equiv \Delta m_{32}^2 < 0, \quad \text{inverted mass ordering}$$

Convention: $m_1 < m_2 < m_3$ - **NMO**, $m_3 < m_1 < m_2$ - **IMO**

$$m_1 \ll m_2 < m_3, \quad \text{NH,}$$

$$m_3 \ll m_1 < m_2, \quad \text{IH,}$$

$$m_1 \cong m_2 \cong m_3, \quad m_{1,2,3}^2 \gg \Delta m_{\text{atm}}^2, \quad \text{QD; } m_j \gtrsim 0.10 \text{ eV.}$$

- **Dirac phase δ :** $\nu_l \leftrightarrow \nu_{l'}, \bar{\nu}_l \leftrightarrow \bar{\nu}_{l'}, l \neq l'$; $A_{\text{CP}}^{(ll')} \propto J_{\text{CP}} \propto \sin \theta_{13} \sin \delta$

- **Majorana phases α_{21}, α_{31} :**

- $\nu_l \leftrightarrow \nu_{l'}, \bar{\nu}_l \leftrightarrow \bar{\nu}_{l'}$ not sensitive;

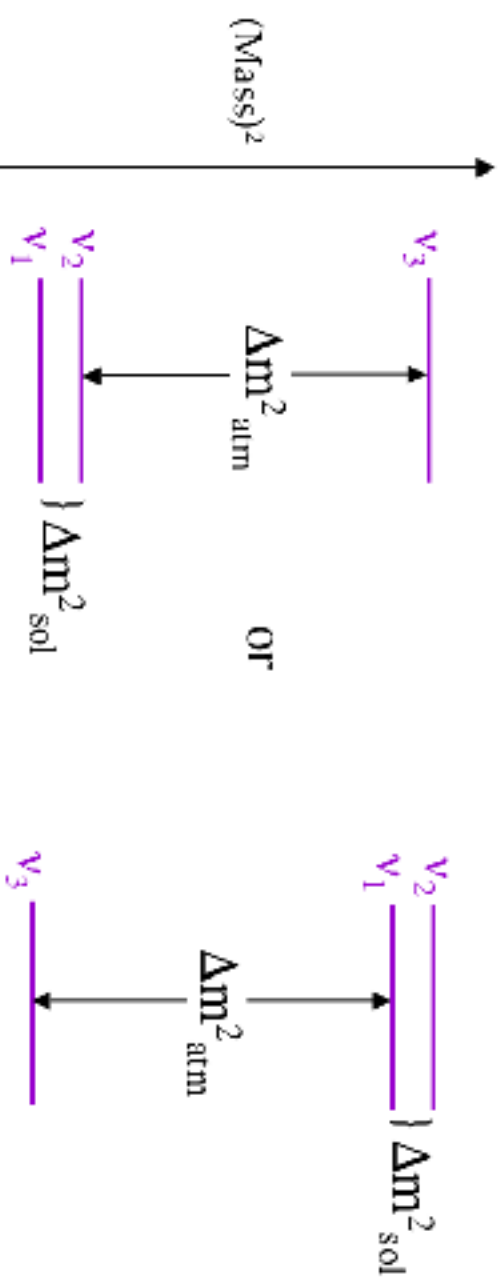
S.M. Bilenky, J. Hosek, S.T.P., 1980;
P. Langacker, S.T.P., G. Steigman, S. Toshev, 1987

- $|\langle m \rangle|$ in $(\beta\beta)_{0\nu}$ -decay depends on α_{21}, α_{31} ;

- $\Gamma(\mu \rightarrow e + \gamma)$ etc. in SUSY theories depend on $\alpha_{21,31}$;

- BAU, leptogenesis scenario: $\alpha_{21,31}$!

The $(\text{Mass})^2$ Spectrum

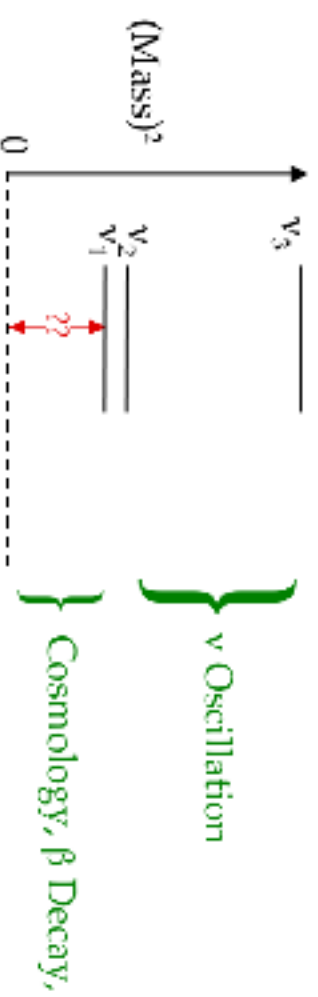


$$\Delta m_{\text{sol}}^2 \cong 7.6 \times 10^{-5} \text{ eV}^2, \quad \Delta m_{\text{atm}}^2 \cong 2.4 \times 10^{-3} \text{ eV}^2$$

Are there *more* mass eigenstates, as LSND suggests, and MiniBooNE recently hints?

3

The Absolute Scale of Neutrino Mass



How far above zero
is the whole pattern?

Oscillation Data $\Rightarrow \sqrt{\Delta m_{\text{atm}}^2} < \text{Mass}[\text{Heaviest } \nu_i]$

4

Future Progress

- Determination of the nature - Dirac or Majorana, of ν_j .
- Determination of $\text{sgn}(\Delta m_{\text{atm}}^2)$, type of ν - mass spectrum

$$m_1 \lll m_2 \lll m_3, \quad \text{NH,}$$

$$m_3 \lll m_1 < m_2, \quad \text{IH,}$$

$$m_1 \cong m_2 \cong m_3, \quad m_{1,2,3}^2 \gg \Delta m_{\text{atm}}^2, \quad \text{QD; } m_j \gtrsim 0.10 \text{ eV.}$$

- Determining, or obtaining significant constraints on, the absolute scale of ν_j - masses, or $\min(m_j)$.
- Status of the CP-symmetry in the lepton sector: violated due to δ (Dirac), and/or due to α_{21} , α_{31} (Majorana)?
- High precision determination of Δm_{\odot}^2 , θ_{\odot} , Δm_{atm}^2 , θ_{atm} .
- Measurement of, or improving by at least a factor of (5 - 10) the existing upper limit on, $\sin^2 \theta_{13}$.
- Searching for possible manifestations, other than ν_l -oscillations, of the non-conservation of L_l , $l = e, \mu, \tau$, such as $\mu \rightarrow e + \gamma$, $\tau \rightarrow \mu + \gamma$, etc. decays.

- Understanding at fundamental level the mechanism giving rise to the ν - masses and mixing and to the L_f -non-conservation. Includes understanding
 - the origin of the observed patterns of ν -mixing and ν -masses ;
 - the physical origin of CPV phases in U_{PMNS} ;
 - Are the observed patterns of ν -mixing and of $\Delta m_{21,31}^2$ related to the existence of a new symmetry?
 - Is there any relations between q -mixing and ν - mixing? Is $\theta_{12} + \theta_e \equiv \pi/4$?
 - Is $\theta_{23} = \pi/4$, or $\theta_{23} > \pi/4$ or else $\theta_{23} < \pi/4$?
 - Is there any correlation between the values of CPV phases and of mixing angles in U_{PMNS} ?
- Progress in the theory of ν -mixing might lead to a better understanding of the origin of the BAU.
 - Can the Majorana and/or Dirac $CPVP$ in U_{PMNS} be the leptogenesis CPV parameters at the origin of BAU?

HOW?

- $\nu_{\odot} \rightarrow \nu_{\text{atm}}$ experiments

SK (ν_{atm});

INO (ν_{atm}); MEMPHYS

MINOS (ν_{μ}^{atm}); ATLAS, CMS (ν_{μ}^{atm}) (?)

SNO (2006)

SAGE

BOREXINO

LowNu (XMASS, LENS,...)

- **Reactor Experiments** $\sim (1 - 180)$ km (SKGd)

- **Accelerator Experiments**

MINOS 732 km

CNGS (OPERA) 732 km

- Super Beams

T2K, SK (HK) 295 km

NO ν A \sim 800 km

LBNE (Fermilab-DUSEL) \sim 1200 km

SPL+ β -beams, MEMPHYS (0.5 megaton):

CERN-Frejus \sim 140 km

ν -Factories \sim 3000, 7000 km

- $(\beta\beta)_{0\nu}$ -Decay, ${}^3\text{H}$ β -Decay

- Astrophysics, Cosmology



(c) 2000 ESHI

T2K: First results March 2011

Observed 1 e^- -event passing all cuts and having all the characteristics of being due to $\nu_\mu \rightarrow \nu_e$ oscillations. The estimated background is: 0.30 ± 0.07 (syst.) events; 29% probability to observe 1 event when the expected average is 0.3 events.

NH: $\sin^2 2\theta_{13} < 0.44$; IH: $\sin^2 2\theta_{13} < 0.53$ (90% C.L.)

Assumed: $|\Delta m_{23}^2| = 2.4 \times 10^{-3} \text{ eV}^2$, $\sin^2 2\theta_{23} = 1$, $\delta = 0$.

T2K: Latest results June 14, 2011 (1.43×10^{20} pot, full data analysis)

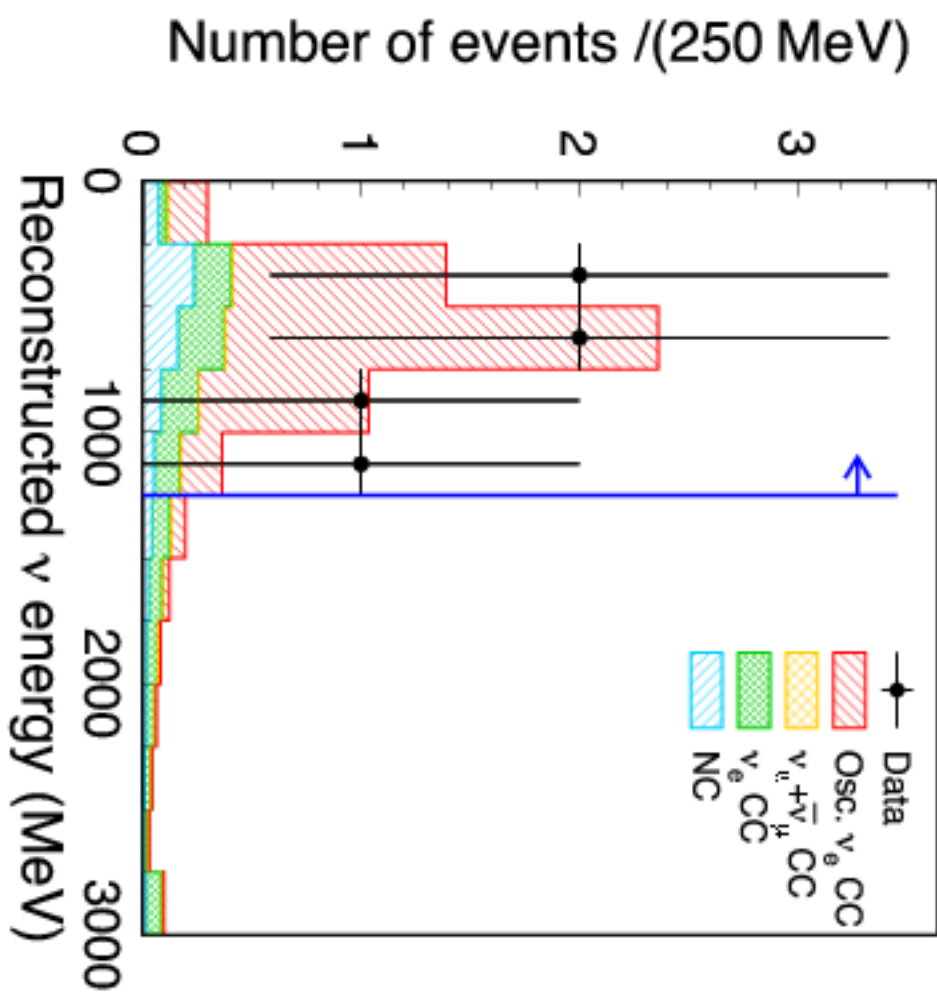
Observed 6 e^- -events passing all criteria for being due to $\nu_\mu \rightarrow \nu_e$ oscillations. The estimated background ($\theta_{13} = 0$): 1.5 ± 0.03 (syst.) events; the probability to observe ≥ 6 events given 1.5 are expected: 7×10^{-3} .

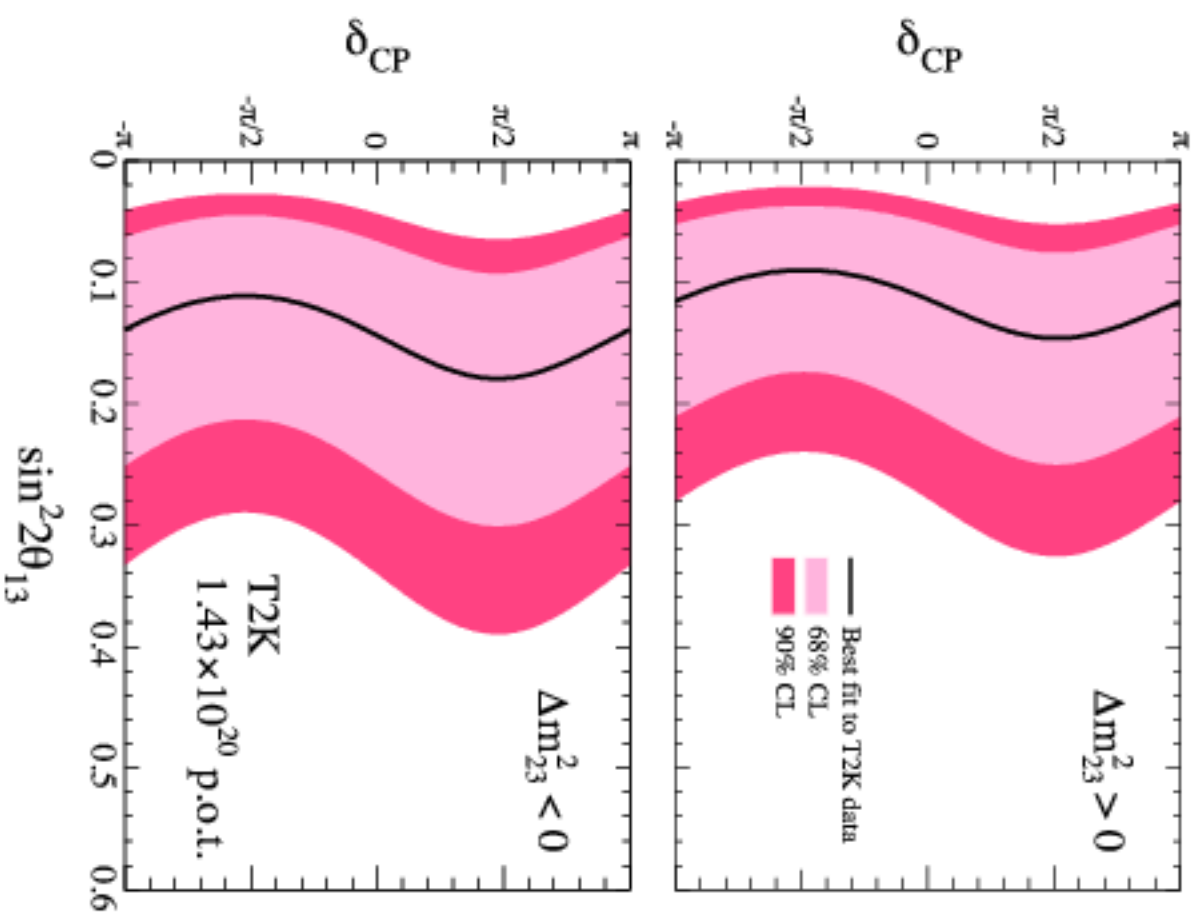
Evidence for $\theta_{13} \neq 0$ at 2.5σ .

For $|\Delta m_{23}^2| = 2.4 \times 10^{-3} \text{ eV}^2$, $\sin^2 2\theta_{23} = 1$, $\delta = 0$, NO (IO) spectrum:

$(2 \sin^2 \theta_{23}) \sin^2 2\theta_{13} = 0.11$ (0.14), best fit;

$0.03(0.04) < (2 \sin^2 \theta_{23}) \sin^2 2\theta_{13} < 0.28(0.34)$, 90% C.L.





Japan experienced very severe earthquake on March 11th 2011 at 14:46 JST. The J-PARC facility suffered some damages. Fortunately, the Tsunami did not hit the J-PARC.

“Our present priority is to restore life-supporting infrastructure such as electricity, water supply and gas at J-PARC. It may take some time, but we promise the full recovery of the J-PARC accelerator and T2K experiment in the near future. I thank you for the messages of solidarity and sympathy”.

Director of the Institute of Particle and Nuclear Studies, KEK

Koichiro Nishikawa

Spokesperson of the T2K experiment

Takashi Kobayashi

We wish success to our colleagues from T2K, J-PARC and KEK in their reconstruction efforts.

MINOS: Latest results June 24, 2011 (8.2×10^{20} pot, **prelim.**)
Observed 62 e^- -events passing all criteria for being due to $\nu_\mu \rightarrow \nu_e$ oscillations. Estimated background: $49.5 \pm 2.8 \pm 7.0$ (stat.) events.

1.7 σ excess above background.

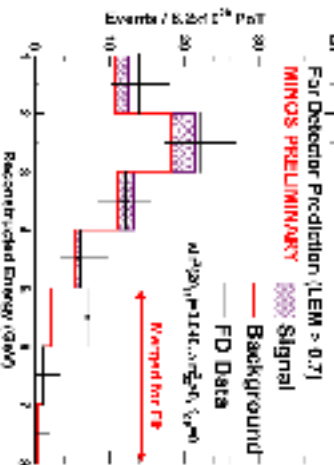
For $|\Delta m_{23}^2| = 2.4 \times 10^{-3} \text{ eV}^2$, $\sin^2 2\theta_{23} = 1$, $\delta = 0$, **NO (IO) spectrum:**

$(2 \sin^2 \theta_{23}) \sin^2 2\theta_{13} = 0.04$ (0.08), best fit;

$0 \leq (2 \sin^2 \theta_{23}) \sin^2 2\theta_{13} \leq 0.12$ (0.19), 90% C.L.



Results on
appearance of
electron-neutrinos
with 8.2×10^{20} POT

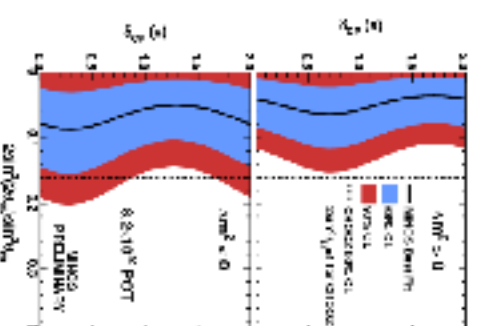


The reconstructed energy spectrum of ν_e -like candidate events
 Made with ν_e are overlaid using mono-energetic ν_e flux



Results on
appearance of
electron-neutrinos
with 8.2×10^{20} POT

For $\delta_{\nu\tau} = 0$ if allowed values of
 $2 \sin^2(\theta_{12}) \sin^2(\theta_{13})$ at 90% C.L. are:
 0 to 0.12 (central) central value: 0.04
 0 to 0.19 (inverted) central value: 0.04



backgrounds based on the identity,
 similar to that of neutrinos.

G.L. Fogli *et al.*, June 29, 2011: global data analysis.

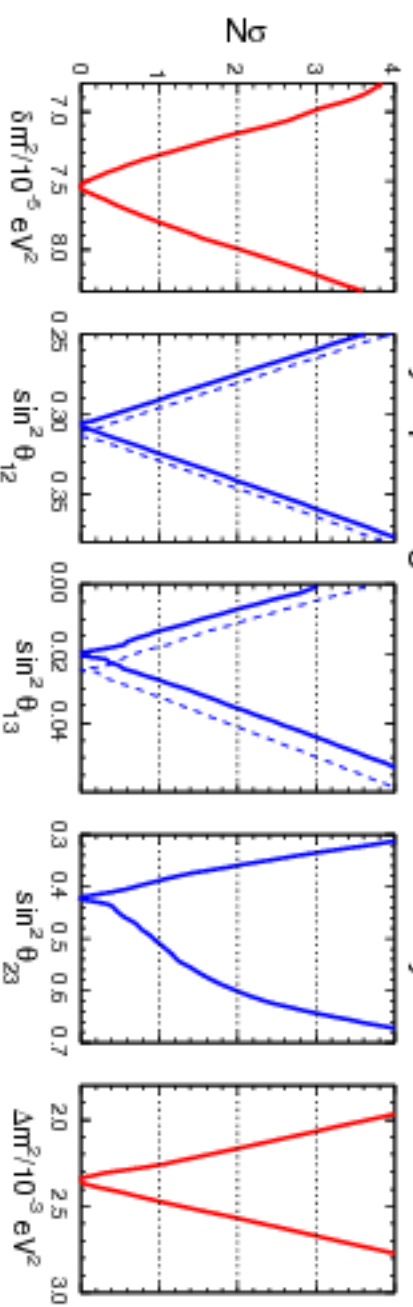
Evidence for $\theta_{13} \neq 0$ at $\geq 3\sigma$.

$\sin^2 \theta_{13} = 0.021 (0.025) \pm 0.007$, old (new) reactor fluxes;
 $0.001(0.005) < \sin^2 \theta_{13} < 0.044(0.050)$, 3σ .

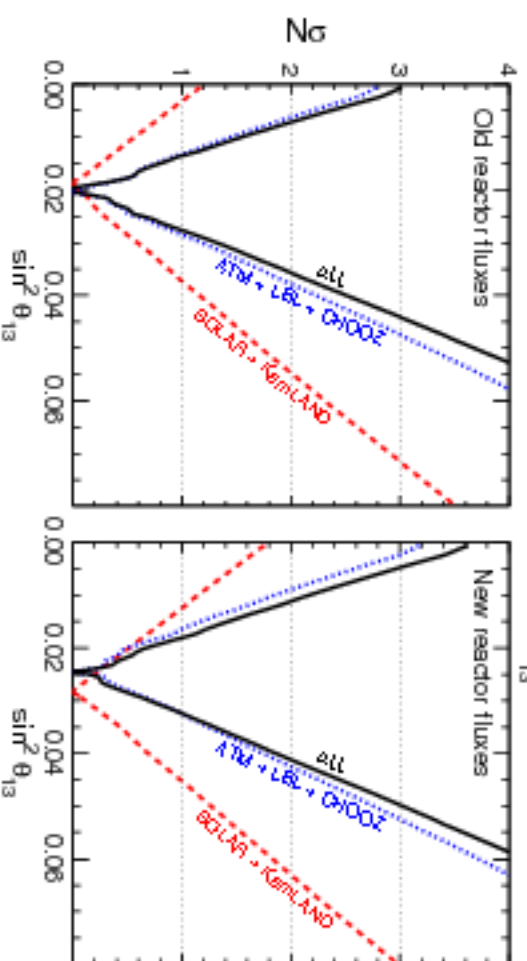
Global data: $\cos \delta = -1$ clearly favored over $\cos \delta = +1$;

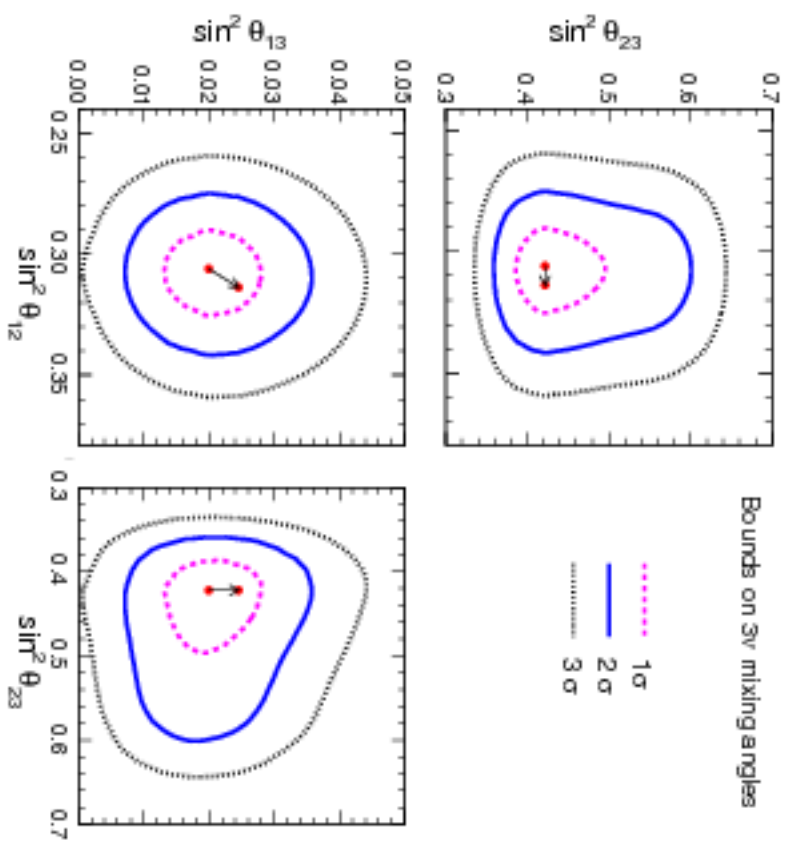
best fit: $\sin \theta_{13} \cos \delta = -0.14$.

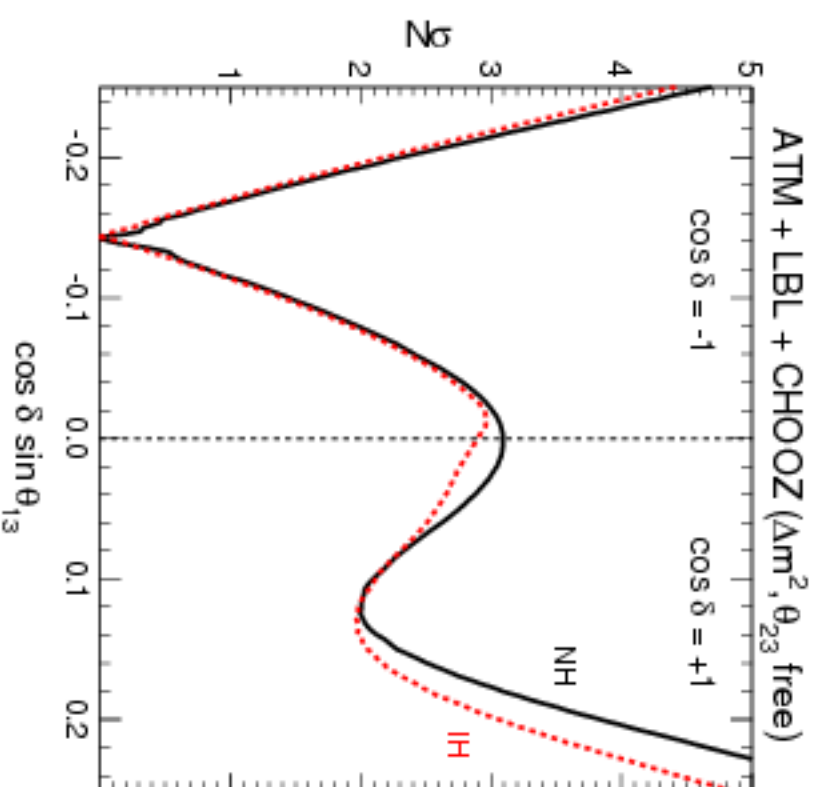
Synopsis of global 3ν oscillation analysis



Global evidence for $\theta_{13} > 0$







Global data: $\cos \delta = -1$ clearly favored over $\cos \delta = +1$;

best fit: $\sin \theta_{13} \cos \delta = -0.14$.

Neutrino oscillation parameters summary.

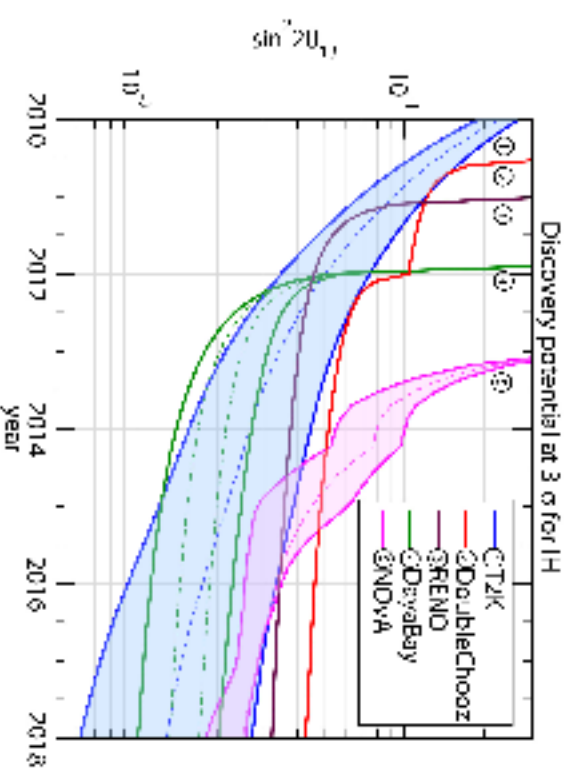
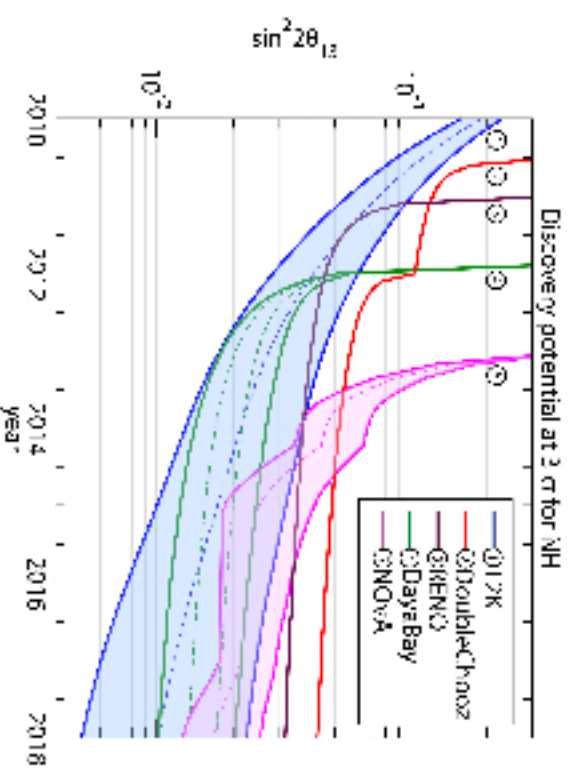
Results of the global 3ν oscillation analysis, in terms of best-fit values and allowed 1 , 2 and 3σ ranges for the mass-mixing parameters, assuming old reactor neutrino fluxes. By using new reactor fluxes, the corresponding best fits and ranges for $\sin^2\theta_{12}$ and $\sin^2\theta_{13}$ (in parentheses) are basically shifted by about $+0.006$ and $+0.004$, respectively, while the other parameters are essentially unchanged.

Parameter	$\delta m^2/10^{-5} \text{ eV}^2$	$\sin^2\theta_{12}$	$\sin^2\theta_{13}$	$\sin^2\theta_{23}$	$\Delta m^2/10^{-3} \text{ eV}^2$
Best fit	7.58	0.306 (0.312)	0.021 (0.025)	0.42	2.35
1σ range	7.32 – 7.80	0.291 – 0.324 (0.296 – 0.329)	0.013 – 0.028 (0.018 – 0.032)	0.39 – 0.50	2.26 – 2.47
2σ range	7.16 – 7.99	0.275 – 0.342 (0.280 – 0.347)	0.008 – 0.036 (0.012 – 0.041)	0.36 – 0.60	2.17 – 2.57
3σ range	6.99 – 8.18	0.259 – 0.359 (0.265 – 0.364)	0.001 – 0.044 (0.005 – 0.050)	0.34 – 0.64	2.06 – 2.67

Large $\sin^2 \theta_{13} \cong 0.021$ - far-reaching implications for the program of research in neutrino physics:

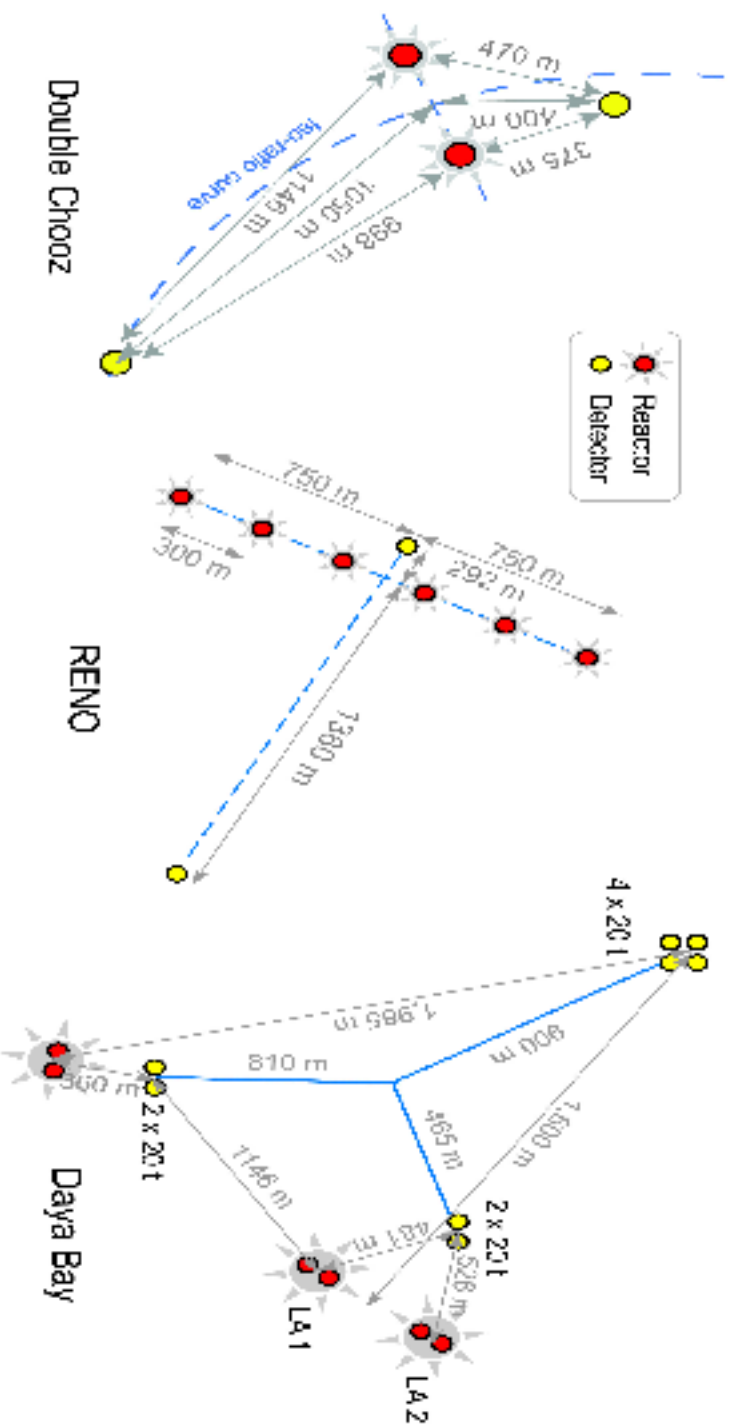
- For the searches for CP violation in ν -oscillations;
- For the determination of the type of ν -mass spectrum (or of $\text{sgn}(\Delta m_{atm}^2)$) in neutrino oscillation experiments.
- For understanding the pattern of the neutrino mixing and its origins (symmetry, etc.?).
- For the observation of the Earth mantle-core enhancement (Neutrino Oscillation Length Resonance) in the $\nu_{e(\mu)} \rightarrow \nu_{\mu(e)}$ (or $\bar{\nu}_{e(\mu)} \rightarrow \bar{\nu}_{\mu(e)}$) oscillations of the atmospheric neutrinos crossing the Earth core.
- For the predictions for the $(\beta\beta)_{0\nu}$ -decay effective Majorana mass in the case of NH light ν mass spectrum (possibility of a strong suppression).
- Important implications also for the “flavoured” leptogenesis scenario of generation of the baryon asymmetry of the Universe.

Prospects for θ_{13}



Width: experiments with ν beams - dependence on the CP phase; DayaBay - syst. uncert. 0.18% – 0.6%

Mezzetto, Schwetz, 1003.5800



M. Mezzetto, T. Schwetz, arXiv:1003.5800[hep-ph]

The 3-neutrino mixing - still the reference framework.

3- ν oscillation parameters summary.

parameter	best fit $\pm 1\sigma$	2σ	3σ
Δm_{21}^2 [10^{-5}eV^2]	$7.58^{+0.22}_{-0.26}$	$7.16-7.99$	$6.99-8.18$
$ \Delta m_{31}^2 $ [10^{-3}eV^2]	$2.35^{+0.12}_{-0.09}$	$2.26-2.47$	$2.06-2.67$
$\sin^2 \theta_{12}$	$0.306^{+0.018}_{-0.015}$	$0.275-0.342$	$0.259-0.359$
$\sin^2 \theta_{23}$	$0.42^{+0.08}_{-0.03}$	$0.36-0.60$	$0.34-0.64$
$\sin^2 \theta_{13}$	$0.021^{+0.007}_{-0.008}$ ($0.025^{+0.007}_{-0.007}$)	$0.08-0.036$ ($0.012-0.041$)	$0.001-0.044$ ($0.005-0.050$)

Fogli, Lisi, Marrone, Palazzo, Rotunno, arXiv:1106.6028

$\sin^2 \theta_{13}$: upper (lower) row - old (new) reactor fluxes.

Neutrino Mixing:

- $\theta_{12} = \theta_{\odot} \cong \frac{\pi}{5.4}$,

$$\theta_{23} = \theta_{\text{atm}} \cong \frac{\pi}{4},$$

$$\theta_{13} < \frac{\pi}{13}$$

$$U_{\text{PMNS}} \cong \begin{pmatrix} \sqrt{\frac{2}{3}} & \sqrt{\frac{1}{3}} & \epsilon \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & -\sqrt{\frac{1}{2}} \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & \sqrt{\frac{1}{2}} \end{pmatrix};$$

Very different from the CKM-matrix!

- $\cos \theta_{12} \cong \cos(\frac{\pi}{4} - \frac{\pi}{12}) = \frac{1}{\sqrt{2}}(1 + \lambda)$, $\sin \theta_{12} \cong \frac{1}{\sqrt{2}}(1 - \lambda)$,
- $\lambda \cong (0.20 - 0.25)$: $\theta_{\odot} + \theta_c = (47 \pm 1.2)^{\text{deg}} = \pi/4$?
- U_{PMNS} **due to new approximate symmetry?**

A Natural Possibility:

$$U = U_{\text{lep}}^{\dagger}(\lambda) U_{\text{bim}(\text{tri})} P(\alpha_{21}, \alpha_{31}),$$

with

$$U_{\text{tri}} = \begin{pmatrix} \frac{\sqrt{2}}{3} & \frac{\sqrt{1}}{3} & 0 \\ -\frac{\sqrt{1}}{6} & \frac{\sqrt{1}}{3} & -\frac{\sqrt{1}}{2} \\ -\frac{\sqrt{1}}{6} & \frac{\sqrt{1}}{3} & \frac{\sqrt{1}}{2} \end{pmatrix}; \quad U_{\text{bim}} = \begin{pmatrix} \frac{1}{\sqrt{2}} & \pm \frac{1}{\sqrt{2}} & 0 \\ -\frac{1}{2} & \pm \frac{1}{2} & \pm \frac{1}{2} \\ \frac{1}{2} & \mp \frac{1}{2} & \frac{1}{\sqrt{2}} \end{pmatrix}.$$

- $U_{\text{lep}}^{\dagger}(\lambda)$ - from diagonalization of the l^- mass matrix,
- $U_{\text{bim}(\text{tri})} P$ - from diagonalization of the ν -mass matrix.
- $U_{(\text{tri})}$: $s_{12}^2 = 1/3$, $s_{23}^2 = 1/2$, $s_{13}^2 = 0$, in agreement with the current data.

Harrison, Perkins, Scott, 2002

$U_{(\text{tri})}$: Groups A_4, S_4, \dots (vast literature)

(Reviews: Altarelli, Feruglio, 1002.0211, Tanimoto et al., 1003.3552)

Typically, $\theta_{13} \sim (\lambda_c)^2$ is predicted.

- U_{bim} : $s_{12}^2 = 1/2$, $s_{23}^2 = 1/2$, $s_{13}^2 = 0$; $s_{12}^2 = 1/2$ must be corrected.

U_{bim} : Groups S_4, \dots ; typically $\theta_{13} \sim \lambda_c$.

- U_{bim} : alternatively $U(1)$,

$$U = L_e - L_\mu - L_\tau \quad (\Delta m_{\odot}^2 \ll |\Delta m_{\text{atm}}^2|)$$

S.T.P., 1982

$U_{\text{bim(tri)}}$ requires a $\mu - \tau$ symmetry of M_ν

- Additional possibility: $\sin^2 \theta_{12} = \frac{2}{5+\sqrt{5}} \cong 0.28$, “Golden ratio”; A_5 .

Kajiyama et al., 2007; Everett, Stuart, 2008, 2011

$$U_{\text{GR}} = \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\frac{\sin \theta_{12}}{2} & \frac{\cos \theta_{12}}{2} & \frac{1}{\sqrt{2}} \\ -\frac{\sin \theta_{12}}{2} & \frac{\cos \theta_{12}}{2} & -\frac{1}{\sqrt{2}} \end{pmatrix}.$$

Feruglio, 2011

Which is the correct approximate form of U_{PMNS} ? Perhaps none of the above(?).

For $\sin^\ell \theta_{ij} \equiv \lambda_{ij}$ “small”, $\lambda_{12} \gg \lambda_{13}$ (natural),

$$\sin^2 \theta_{12} = \frac{1}{2} - \sin 2\theta'_{12} \sin \theta_{13} \cos \delta, \quad U_{\text{bim}},$$

δ is the Dirac CPV phase;

U_{bim} : $\sin 2\theta'_{12} = \pm 1$, **only** $\sin 2\theta'_{12} = -1$ **is possible**
if $\sin \theta_{13} \cos \delta < 0$.

$$\sin^2 \theta_{12} = \frac{1}{3} \mp 2 \frac{\sqrt{2}}{3} \sin \theta_{13} \cos \delta, \quad U_{\text{tri}}.$$

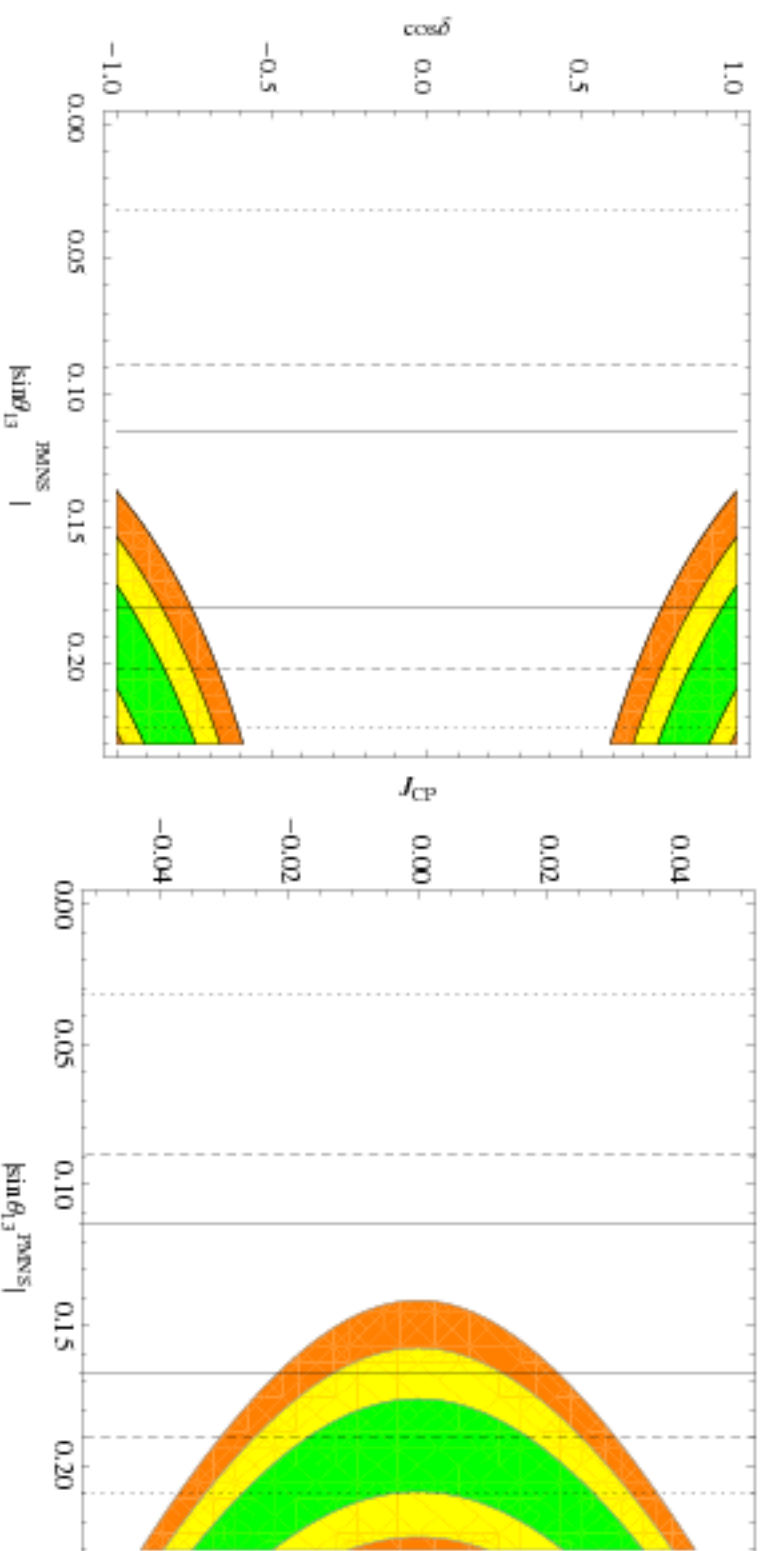
P. Frampton, S.T.P., W. Rodejohann, 2004;

S. King, 2005; S. Antusch, S. King, 2005; I. Masina, 2006;

K. Hochmuth, S.T.P., W. Rodejohann, 2007

Can be tested experimentally.

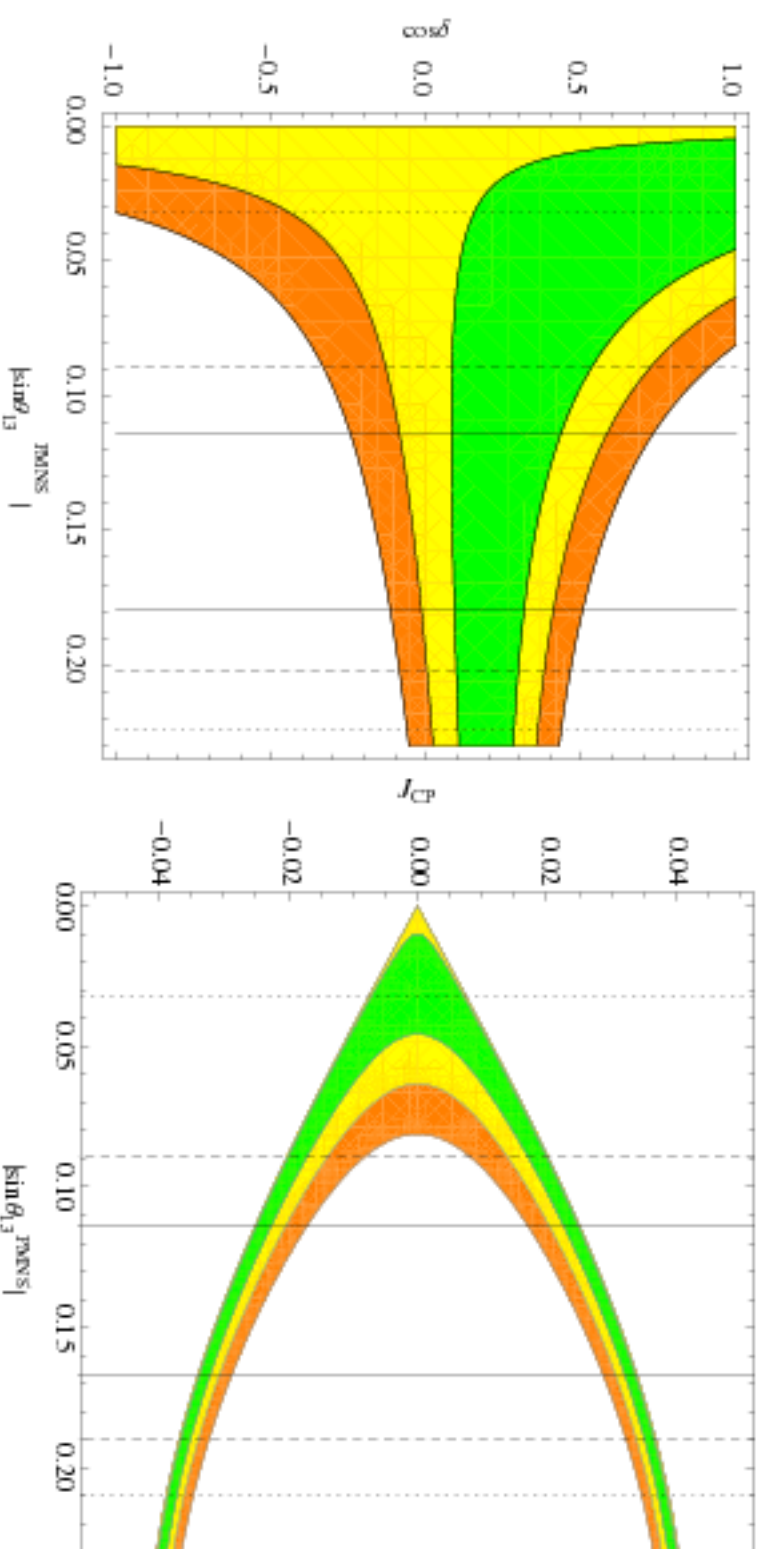
The case of U_{bim}



$$J_{CP} = \text{Im} \{ U_{e1} U_{\mu 2} U_{e 2}^* U_{\mu 1}^* \} = \frac{1}{8} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \cos \theta_{13} \sin \delta$$

D. Marzocca, S.T.P., A. Romanino, M. Spinrath, 2011

The case of U_{tri}



D. Marzocca, S.T.P., A. Romanino, M. Spinrath, 2011

Rephasing Invariants Associated with CPVP

Dirac phase δ :

$$J_{CP} = \text{Im} \{ U_{e1} U_{\mu 2} U_{e2}^* U_{\mu 1}^* \} .$$

C. Jarlskog, 1985 (for quarks)

CP-, T- violation effects in neutrino oscillations

P. Krastev, S.T.P., 1988

Majorana phases α_{21} , α_{31} :

$$S_1 = \text{Im} \{ U_{e1} U_{e3}^* \}, \quad S_2 = \text{Im} \{ U_{e2} U_{e3}^* \} \quad (\text{not unique}); \quad \text{or}$$
$$S'_1 = \text{Im} \{ U_{\tau 1} U_{\tau 2}^* \}, \quad S'_2 = \text{Im} \{ U_{\tau 2} U_{\tau 3}^* \}$$

J.F. Nieves and P. Pal, 1987, 2001

G.C. Branco et al., 1986

J.A. Aguilar-Saavedra and G.C. Branco, 2000

CP-violation: both $\text{Im} \{ U_{e1} U_{e3}^* \} \neq 0$ and $\text{Re} \{ U_{e1} U_{e3}^* \} \neq 0$.

S_1, S_2 appear in $|\langle m \rangle|$ in $(\beta\beta)_{\nu\nu}$ -decay.

In general, J_{CP} , S_1 and S_2 are independent.

Dirac CP-Nonconservation: δ in U_{PMNS}

Observable manifestations in

$$\nu_l \leftrightarrow \nu_{l'}, \quad \bar{\nu}_l \leftrightarrow \bar{\nu}_{l'}, \quad l, l' = e, \mu, \tau$$

- not sensitive to Majorana CPVP α_{21} , α_{31}

CP-Invariance:

N. Cabibbo, 1978
S.M. Bilenky, J. Hosek, S.T.P., 1980;
V. Barger et al., 1980.

$$P(\nu_l \rightarrow \nu_{l'}) = P(\bar{\nu}_l \rightarrow \bar{\nu}_{l'}), \quad l \neq l' = e, \mu, \tau$$

CPT-invariance:

$$P(\nu_l \rightarrow \nu_{l'}) = P(\bar{\nu}_{l'} \rightarrow \bar{\nu}_l)$$

$$l = l': \quad P(\nu_l \rightarrow \nu_l) = P(\bar{\nu}_l \rightarrow \bar{\nu}_l)$$

T-Invariance:

$$P(\nu_l \rightarrow \nu_{l'}) = P(\nu_{l'} \rightarrow \nu_l), \quad l \neq l'$$

3ν —mixing:

$$A_{\text{CP}}^{(ll')} \equiv P(\nu_l \rightarrow \nu_{l'}) - P(\bar{\nu}_l \rightarrow \bar{\nu}_{l'}), \quad l \neq l' = e, \mu, \tau$$

$$A_{\tau}^{(ll')} \equiv P(\nu_l \rightarrow \nu_{l'}) - P(\nu_{l'} \rightarrow \nu_l), \quad l \neq l'$$

$$A_{\tau}^{(e;\mu)} = A_{\tau}^{(\mu;\tau)} = -A_{\tau}^{(e;\tau)}$$

In vacuum:

$$A_{\text{CP}(\tau)}^{(e,\mu)} = J_{\text{CP}} F_{\text{osc}}^{\text{vac}}$$

$$J_{\text{CP}} = \text{Im} \{ U_{e1} U_{\mu 2} U_{e2}^* U_{\mu 1}^* \} = \frac{1}{8} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \cos \theta_{13} \sin \delta$$

$$F_{\text{osc}}^{\text{vac}} = \sin\left(\frac{\Delta m_{21}^2}{2E} L\right) + \sin\left(\frac{\Delta m_{32}^2}{2E} L\right) + \sin\left(\frac{\Delta m_{13}^2}{2E} L\right)$$

P.I. Krastev, S.T.P., 1988

In matter: Matter effects violate

$$\text{CP} : P(\nu_l \rightarrow \nu_l) \neq P(\bar{\nu}_l \rightarrow \bar{\nu}_l)$$

$$\text{CPT} : P(\nu_l \rightarrow \nu_l) \neq P(\bar{\nu}_l \rightarrow \bar{\nu}_l)$$

P. Langacker et al., 1987

Can conserve the T-invariance (**Earth**)

$$P(\nu_l \rightarrow \nu_l) = P(\nu_l \rightarrow \nu_l), l \neq l'$$

In matter with constant density: $A_{\tau}^{(e,\mu)} = J_{\text{CP}}^{\text{mat}} F_{\text{osc}}^{\text{mat}}$

$$J_{\text{CP}}^{\text{mat}} = J_{\text{CP}}^{\text{vac}} R_{\text{CP}}$$

R_{CP} does not depend on θ_{23} and δ ; $|R_{\text{CP}}| \lesssim 2.5$

P.I. Krastev, S.T.P., 1988

Up to 2nd order in the two small parameters $|\alpha| \equiv |\Delta m_{21}^2|/|\Delta m_{31}^2| \ll 1$ and $\sin^2 \theta_{13} \ll 1$:

$$P_m^{3\nu \text{ man}}(\nu_e \rightarrow \nu_\mu) \cong P_0 + P_{\sin \delta} + P_{\cos \delta} + P_3,$$

$$P_0 = \sin^2 \theta_{23} \frac{\sin^2 2\theta_{13}}{(A-1)^2} \sin^2[(A-1)\Delta],$$

$$P_3 = \alpha^2 \cos^2 \theta_{23} \frac{\sin^2 2\theta_{12}}{A^2} \sin^2(A\Delta),$$

$$P_{\sin \delta} = \alpha \frac{8J_{GP}}{A(1-A)} (\sin \Delta) (\sin A\Delta) (\sin[(1-A)\Delta]),$$

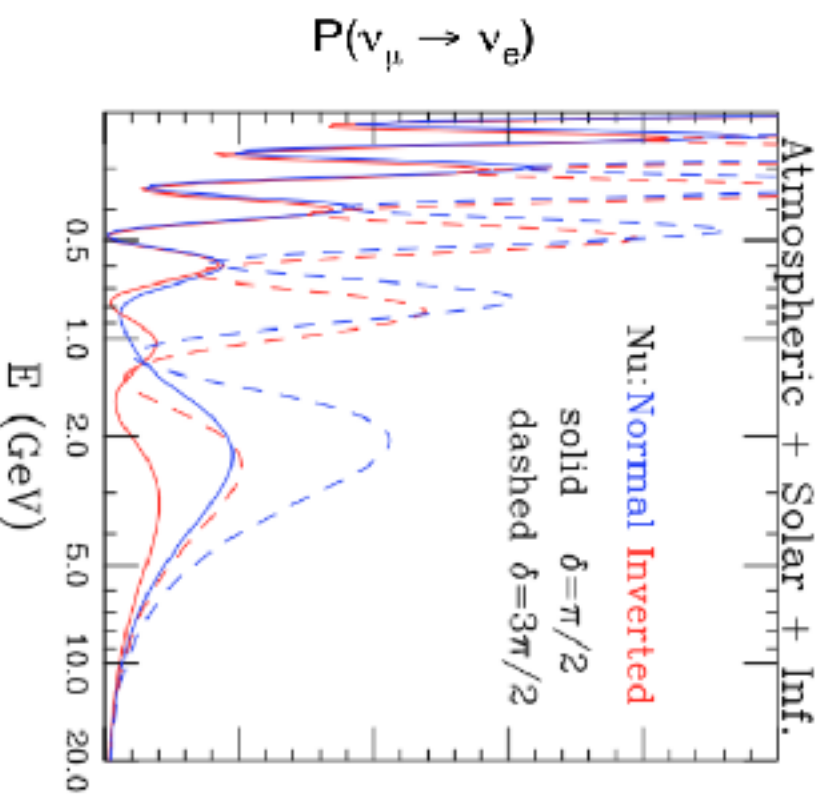
$$P_{\cos \delta} = \alpha \frac{8J_{GP} \cot \delta}{A(1-A)} (\cos \Delta) (\sin A\Delta) (\sin[(1-A)\Delta]),$$

$$\Delta = \frac{\Delta m_{31}^2 L}{4E}, \quad A = \sqrt{2} G_F N_e^{man} \frac{2E}{\Delta m_{31}^2}.$$

$$\bar{\nu}_e \rightarrow \bar{\nu}_\mu: \delta, A \rightarrow (-\delta), (-A)$$

For $L = 1200$ km (\sim Fermilab to DUSEL),
and $\sin^2 2\theta_{13} = 0.04$

(Parke)



*Note how the
2nd maximum
can help.*

HOW?

- Reactor Experiments at $L \sim 1$ km: D-CHOOZ, Daya Bay, RENO (start: 2010; 2012; 2011)
- MINOS, CNGS (OPERA), $L \sim 730$ km:

$$\sin^2 \theta_{13}$$

- Super Beams: θ_{13} , δ , ...

JHF (T2K), SK (HK) 295 km (started)

NUMI (NO ν A) ~ 800 km (2013)

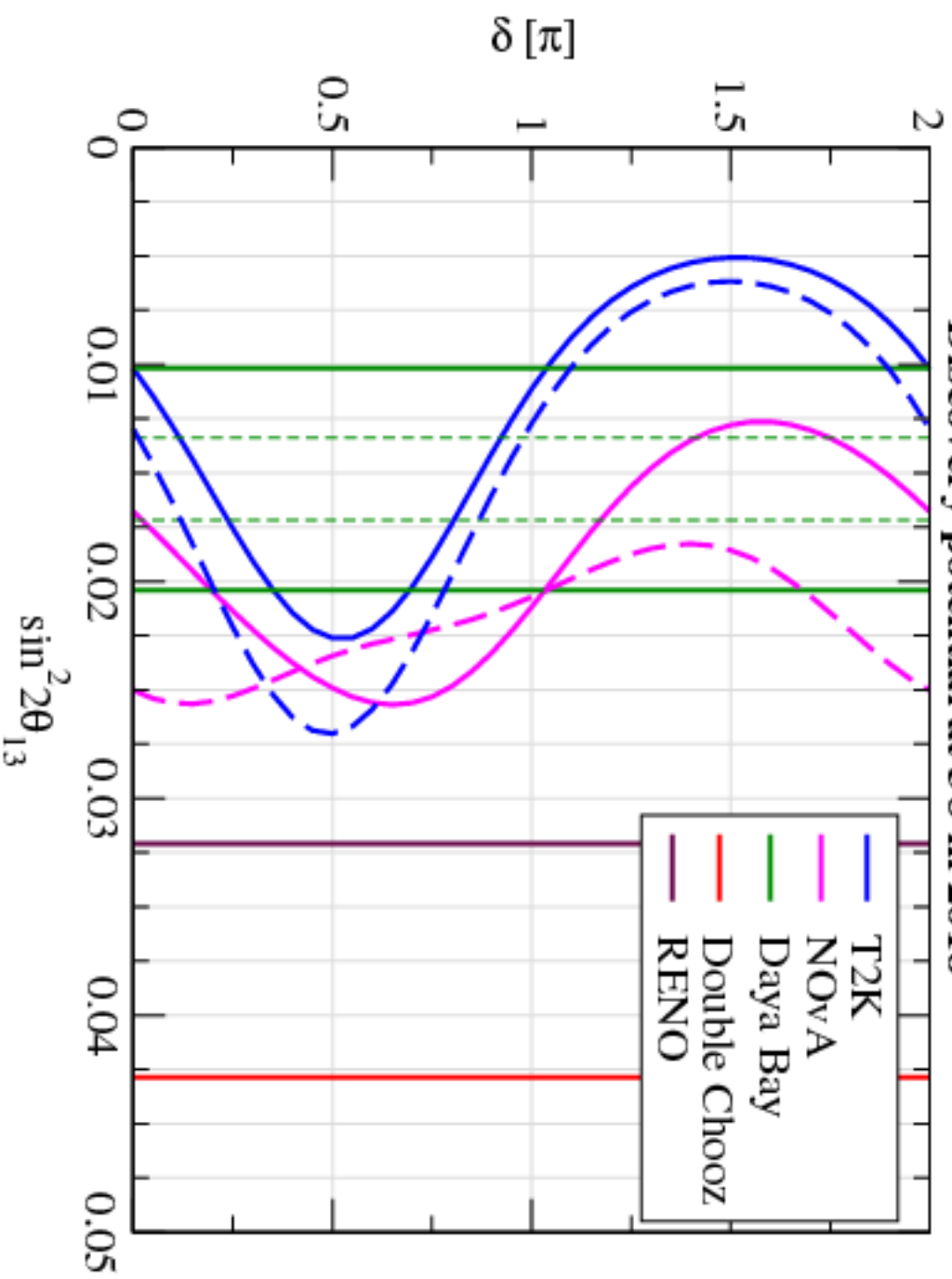
LBNE (Fermilab-DUSEL) ~ 1200 km (2020)

SPL+ β -beams, UNO (1 megaton):

CERN-Frejus ~ 140 km

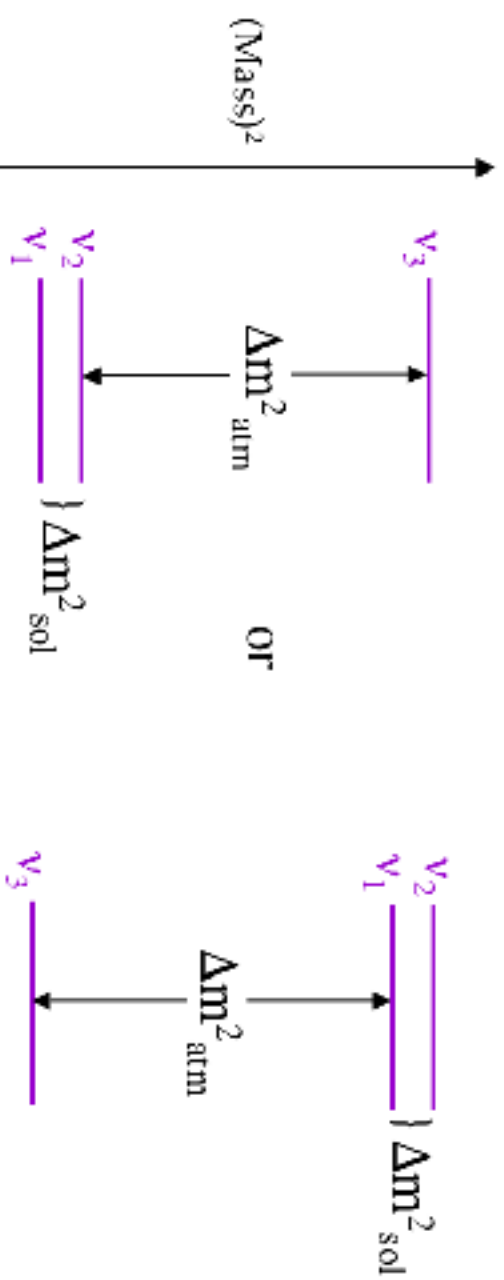
ν -Factories $\sim 3000, 7000$ km

Discovery potential at 3σ in 2018



M. Mezzetto, T. Schwetz, arXiv:1003.5800[hep-ph]

The $(\text{Mass})^2$ Spectrum



$$\Delta m^2_{\text{sol}} \cong 7.6 \times 10^{-5} \text{ eV}^2, \quad \Delta m^2_{\text{atm}} \cong 2.4 \times 10^{-3} \text{ eV}^2$$

Are there *more* mass eigenstates, as LSND suggests,
and MiniBoONE recently hints?

3

If ν_j — Majorana particles, U_{PMNS} contains (3- ν mixing)

δ -Dirac, α_{21} , α_{31} - Majorana physical CPV phases

ν -oscillations $\nu_l \leftrightarrow \nu_{l'}$, $\bar{\nu}_l \leftrightarrow \bar{\nu}_{l'}$, $l, l' = e, \mu, \tau$,

• are not sensitive to the nature of ν_j ,

S.M. Bilenky et al., 1980;
P. Langacker et al., 1987

• provide information on $\Delta m_{jk}^2 = m_j^2 - m_k^2$, but not on the absolute values of ν_j masses.

The Majorana nature of ν_j can manifest itself in the existence of $\Delta L = \pm 2$ processes:



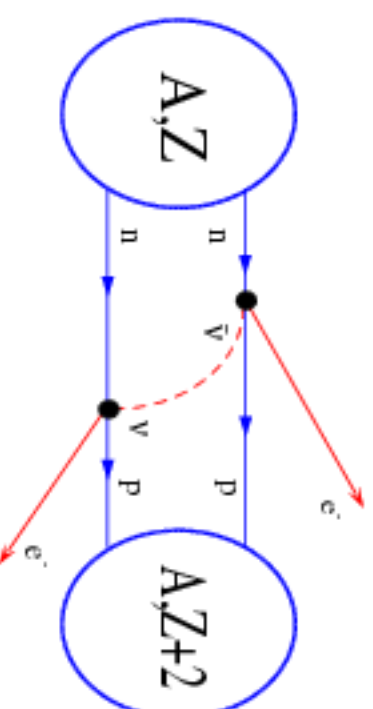
The process most sensitive to the possible Majorana nature of ν_j - $(\beta\beta)_{0\nu}$ -decay



of even-even nuclei, ^{48}Ca , ^{76}Ge , ^{82}Se , ^{100}Mo , ^{116}Cd , ^{130}Te , ^{136}Xe , ^{150}Nd .

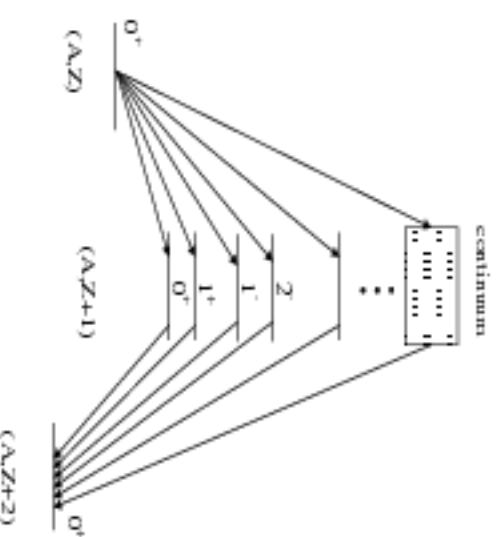
$2n$ from (A, Z) exchange a virtual Majorana ν_j (via the CC weak interaction) and transform into $2p$ of $(A, Z+2)$ and two free e^- .

Nuclear $0\nu\beta\beta$ -decay



strong in-medium modification of the basic process

$$dd \rightarrow uue^-e^-(\bar{\nu}_e\nu_e)$$



virtual excitation
of states of all multiplicities
in $(A, Z+1)$ nucleus

$(\beta\beta)_{0\nu}$ —Decay Experiments:

- Majorana nature of ν_j
 - Type of ν —mass spectrum (NH, IH, QD)
 - Absolute neutrino mass scale
- ^3H β -decay , cosmology: m_ν (QD, IH)
- CPV due to Majorana CPV phases

ν_j — Dirac or Majorana particles, fundamental problem

ν_j —Dirac: **conserved lepton charge exists**, $L = L_e + L_\mu + L_\tau$, $\nu_j \neq \bar{\nu}_j$

ν_j —Majorana: **no lepton charge is exactly conserved**, $\nu_j \equiv \bar{\nu}_j$

The observed patterns of ν —mixing and of Δm_{atm}^2 and Δm_{\odot}^2 can be related to Majorana ν_j and an **approximate** symmetry:

$$L' = L_e - L_\mu - L_\tau$$

S.T.P., 1982

See-saw mechanism: ν_j — Majorana

Establishing that ν_j are Majorana particles would be as important as the discovery of ν —oscillations.

$A(\beta\beta)_{0\nu} \sim \langle m \rangle M(A,Z), \quad M(A,Z) - \text{NME},$

$$\begin{aligned} |\langle m \rangle| &= |m_1| |U_{e1}|^2 + m_2 |U_{e2}|^2 e^{i\alpha_{21}} + m_3 |U_{e3}|^2 e^{i\alpha'_{31}} \\ &= |m_1 c_{12}^2 c_{13}^2 + m_2 s_{12}^2 c_{13}^2 e^{i\alpha_{21}} + m_3 s_{13}^2 e^{i\alpha'_{31}}|, \quad \theta_{12} \equiv \theta_{\odot}, \theta_{13} - \text{CHOOZ} \end{aligned}$$

α_{21}, α_{31} - the two Majorana CPVP of the PMNS matrix; $\alpha'_{31} \equiv \alpha_{31} - 2\delta$

CP-invariance: $\alpha_{21} = 0, \pm\pi, \alpha_{31} = 0, \pm\pi;$

$$\eta_{21} \equiv e^{i\alpha_{21}} = \pm 1, \quad \eta_{31} \equiv e^{i\alpha_{31}} = \pm 1$$

relative CP-parities of \mathcal{U}_1 and \mathcal{U}_2 , and of \mathcal{U}_1 and \mathcal{U}_3 .

L. Wolfenstein, 1981;

S.M. Bilenky, N. Nedelcheva, S.T.P., 1984;

B. Kayser, 1984.

$$|\langle m \rangle| : m_j, \theta_{\odot} \equiv \theta_{12}, \theta_{13}, \alpha_{21,31}$$

$m_{1,2,3}$ - in terms of $\min(m_j)$, Δm_{atm}^2 , Δm_{\odot}^2

S.T.P., A.Yu. Smirnov, 1994

Convention: $m_1 < m_2 < m_3$ - **NMO**, $m_3 < m_1 < m_2$ - **IMO**

$$\Delta m_{\odot}^2 \equiv \Delta m_{21}^2, \quad m_2 = \sqrt{m_1^2 + \Delta m_{\odot}^2},$$

while either

$$\Delta m_{\text{atm}}^2 \equiv \Delta m_{31}^2 > 0, \quad m_3 = \sqrt{m_1^2 + \Delta m_{\text{atm}}^2}, \quad \text{normal mass ordering, or}$$

$$\Delta m_{\text{atm}}^2 \equiv \Delta m_{32}^2 < 0, \quad m_1 = \sqrt{m_3^2 + |\Delta m_{\text{atm}}^2| - \Delta m_{\odot}^2}, \quad \text{inverted mass ordering}$$

The neutrino mass spectrum –

Normal hierarchical (NH) if $m_1 \ll m_2 \ll m_3$,

Inverted hierarchical (IH) if $m_3 \ll m_1 \cong m_2$,

Quasi-degenerate (QD) if $m_1 \cong m_2 \cong m_3 = m$, $m_j^2 \gg \gg |\Delta m_{\text{atm}}^2|$; $m_j \gtrsim 0.1$ eV

Given $|\Delta m_{\text{atm}}^2|$, Δm_{\odot}^2 , θ_{\odot} , θ_{13} ,

$$|\langle m \rangle| = |\langle m \rangle| (\mathbf{m}_{\min}, \alpha_{21}, \alpha_{31}; \mathbf{S}), \quad \mathbf{S} = \text{NO(NH), IO(IH)}.$$

$A(\beta\beta)_{0\nu} \sim \langle m \rangle$ M(A,Z), M(A,Z) - NME,

$$|\langle m \rangle| \cong \left| \sqrt{\Delta m_{20}^2} \sin^2 \theta_{12} e^{i\alpha} + \sqrt{\Delta m_{31}^2} \sin^2 \theta_{13} e^{i\beta} \right|, \quad m_1 \ll m_2 \ll m_3 \text{ (NH)},$$

$$|\langle m \rangle| \cong \sqrt{m_3^2 + \Delta m_{13}^2} |\cos^2 \theta_{12} + e^{i\alpha} \sin^2 \theta_{12}|, \quad m_3 < (\ll) m_1 < m_2 \text{ (IH)},$$

$$|\langle m \rangle| \cong m |\cos^2 \theta_{12} + e^{i\alpha} \sin^2 \theta_{12}|, \quad m_{1,2,3} \cong m \gtrsim 0.10 \text{ eV (QD)},$$

$\theta_{12} \equiv \theta_{\odot}$, θ_{13} -CHOOZ; $\alpha \equiv \alpha_{21}$, $\beta + 2\delta \equiv \alpha_{31}$.

CP-invariance: $\alpha = 0, \pm\pi$, $\beta_M = 0, \pm\pi$;

$$|\langle m \rangle| \lesssim 5 \times 10^{-3} \text{ eV, NH};$$

$$\sqrt{\Delta m_{13}^2} \cos 2\theta_{12} \cong 0.013 \text{ eV} \lesssim |\langle m \rangle| \lesssim \sqrt{\Delta m_{13}^2} \cong 0.055 \text{ eV, IH};$$

$$m \cos 2\theta_{12} \lesssim |\langle m \rangle| \lesssim m, \quad m \gtrsim 0.10 \text{ eV, QD}.$$

Best sensitivity: Heidelberg-Moscow ^{76}Ge experiment.

Claim for a positive signal at $> 3\sigma$:

H. Klappdor-Kleingrothaus et al., PL B586 (2004),

$$|\langle m \rangle| = (0.1 - 0.9) \text{ eV (99.73\% C.L.)}.$$

$$\text{IGEX } ^{76}\text{Ge: } |\langle m \rangle| < (0.33 - 1.35) \text{ eV (90\% C.L.)}.$$

Taking data - NEMO3 (^{100}Mo), CUORICINO (^{130}Te):

$$|\langle m \rangle| < (0.7-1.2) \text{ eV, } |\langle m \rangle| < (0.18-0.90) \text{ eV (90\% C.L.)}.$$

Large number of projects: $|\langle m \rangle| \sim (0.01 - 0.05) \text{ eV}$

CUORE - ^{130}Te ,

GERDA - ^{76}Ge ,

SuperNEMO,

COBRA - ^{116}Cd ,

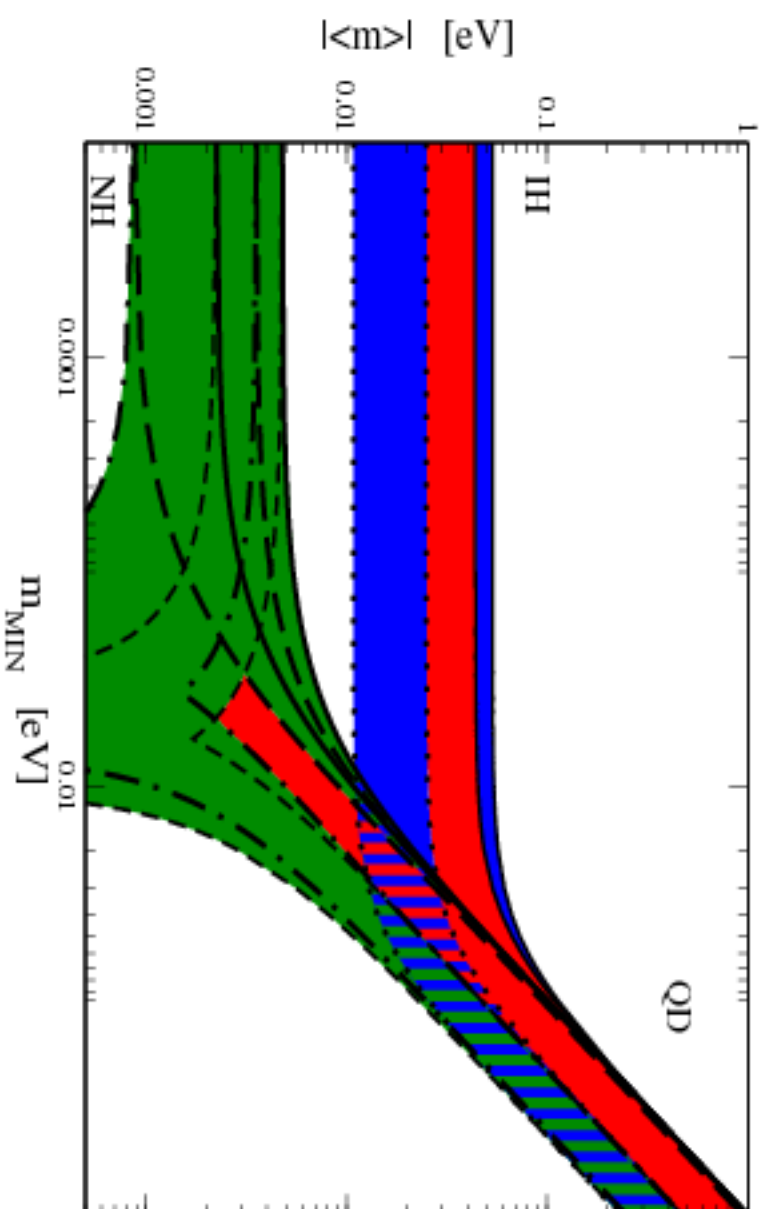
EXO - ^{136}Xe ,

MAJORANA - ^{76}Ge ,

MOON - ^{100}Mo ,

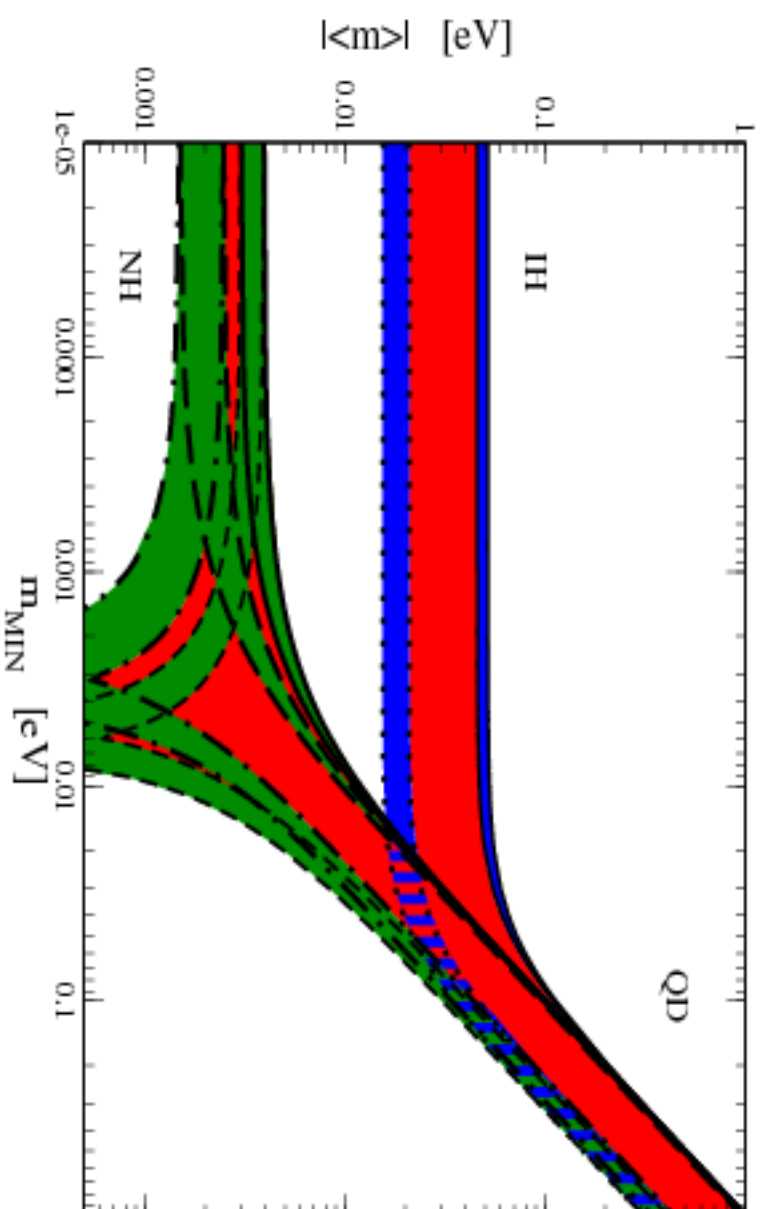
CANDLES - ^{48}Ca ,

XMASS - ^{136}Xe .



S. Pascoli, S.T.P., 2006

The current 2σ ranges of values of the parameters used.



$\sin^2 \theta_{13} = 0.015 \pm 0.006$; $1\sigma(\Delta m_{\odot}^2) = 4\%$, $1\sigma(\sin^2 \theta_{\odot}) = 4\%$, $1\sigma(|\Delta m_{\text{atm}}^2|) = 6\%$;

$2\sigma(|\langle m \rangle|)$ used.

Majorana CPV Phases and $|\langle m \rangle|$

CPV can be established provided

- $|\langle m \rangle|$ measured with $\Delta \lesssim 15\%$;
- Δm_{atm}^2 (IH) or m_0 (QD) measured with $\delta \lesssim 10\%$;
- $\xi \gtrsim 1.5$;
- α_{21} (QD): in the interval $\sim [\frac{\pi}{4} - \frac{3\pi}{4}]$, or $\sim [\frac{5\pi}{4} - \frac{3\pi}{2}]$;
- $\tan^2 \theta_{\odot} \gtrsim 0.40$.

S. Pascoli, S.T.P., W. Rodejohann, 2002

S. Pascoli, S.T.P., L. Wolfenstein, 2002

S. Pascoli, S.T.P., T. Schwetz, hep-ph/0505226

No “No-go for detecting CP-Violation via $(\beta\beta)_{0\nu}$ -decay”

V. Barger et al., 2002

Absolute Neutrino Mass Measurements

The Troitzk and Mainz ${}^3\text{H}$ β -decay experiments

$$m_{\nu_e} < 2.3 \text{ eV} \quad (95\% \text{ C.L.})$$

There are prospects to reach sensitivity

$$\text{KATRIN : } m_{\nu_e} \sim 0.2 \text{ eV}$$

Cosmological and astrophysical data: the WMAP result combined with data from large scale structure surveys (2dFGRS, SDSS)

$$\sum_j m_j \equiv \Sigma < (0.4 - 1.7) \text{ eV}$$

The WMAP and future PLANCK experiments can be sensitive to

$$\sum_j m_j \cong 0.4 \text{ eV}$$

Data on weak lensing of galaxies by large scale structure, combined with data from the WMAP and PLANCK experiments may allow to determine

$$\sum_j m_j : \quad \delta \cong 0.04 \text{ eV.}$$

Improved β energy resolution requires a **BIG** β spectrometer.





M_ν from the See-Saw Mechanism

P. Minkowski, 1977.
M. Gell-Mann, P. Ramond, R. Slansky, 1979;
T. Yanagida, 1979;
R. Mohapatra, G. Senjanovic, 1980.

- Explains the smallness of ν -masses.
- Through **leptogenesis theory** links the ν -mass generation to the generation of baryon asymmetry of the Universe Y_B .

S. Fukugita, T. Yanagida, 1986.

- In SUSY GUT's with see-saw mechanism of ν -mass generation, the LFV decays

$$\mu \rightarrow e + \gamma, \quad \tau \rightarrow \mu + \gamma, \quad \tau \rightarrow e + \gamma, \text{ etc.}$$

are predicted to take place with rates within the reach of present and future experiments.

F. Borzumati, A. Masiero, 1986.

- The ν_j are **Majorana particles**; $(\beta\beta)_{0\nu}$ -decay is allowed.

See-Saw: Dirac ν -mass m_D + Majorana mass M_R for N_R

Instead of Conclusions

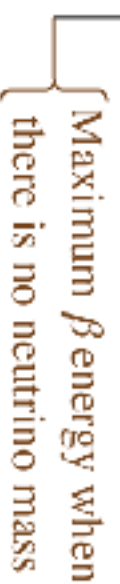
We are at the beginning of the Road...


The future of neutrino physics is bright.

Supporting Slides

The β energy spectrum is modified according to —

$$(E_0 - E)^2 \Theta[E_0 - E] \Rightarrow \sum_i |U_{ei}|^2 (E_0 - E) \sqrt{(E_0 - E)^2 - m_i^2} \Theta[(E_0 - m_i) - E]$$





Present experimental energy resolution is insufficient to separate the thresholds.

Measurements of the spectrum bound the average neutrino mass —

$$\langle m_\beta \rangle = \sqrt{\sum_i |U_{ei}|^2 m_i^2}$$

Presently: $\langle m_\beta \rangle < 2 \text{ eV}$

Mainz & Troitzk

?